

# SCHWARZ LEMMA AND QUASICONFORMAL MAPPINGS

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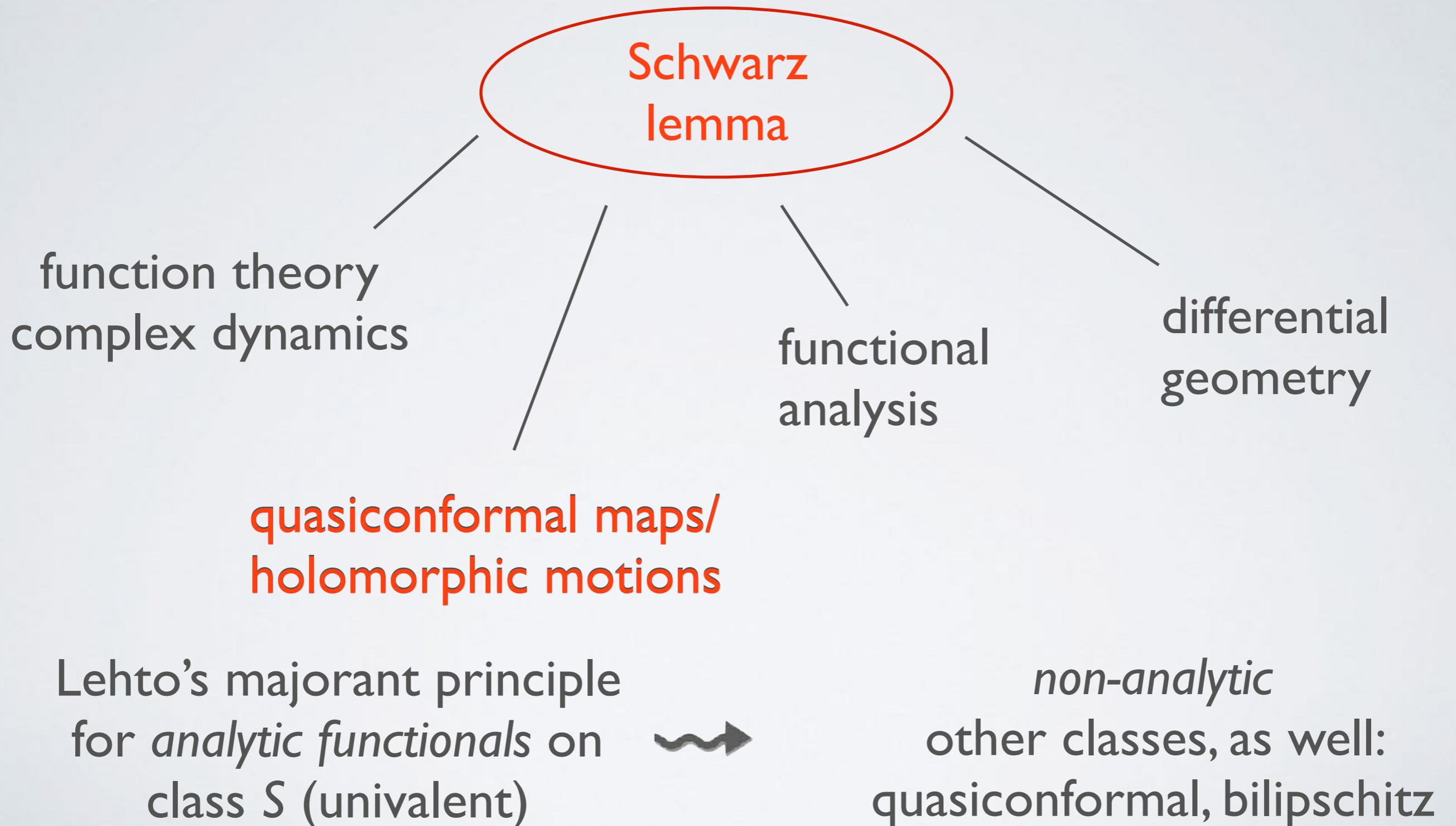


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# SCHWARZ LEMMA

“A good lemma is worth a thousand theorems.”



# HOLOMORPHIC MOTIONS

$$\Phi: \mathbb{D} \times E \rightarrow \mathbb{C}, \quad E \subset \mathbb{C}$$

- $z \mapsto \Phi(\lambda, z) = \Phi_\lambda(z)$  is **injective** for all  $\lambda \in \mathbb{D}$ ,
- $\lambda \mapsto \Phi(\lambda, z)$  is **holomorphic** for all  $z \in E$ ,
- $\Phi(\mathbf{0}, z) \equiv z$ .

Mañé-Sad-Sullivan, Slodkowski's  **$\lambda$ -lemma**:

“*holomorphic motions = quasiconformal maps*”

$\{\Phi_\lambda(z)\}$  (extends to) an analytic family of qc maps



# $\lambda$ -LEMMA AND QUASISYMMETRY

$$g(\lambda) = \frac{\phi_\lambda(z_1) - \phi_\lambda(z_2)}{\phi_\lambda(z_1) - \phi_\lambda(z_3)} \quad g: \mathbb{D} \rightarrow \hat{\mathbb{C}} \setminus \{0, 1, \infty\} \quad \{z_1, z_2, z_3\}$$

holomorphic distinct

Schwarz lemma: contracts in hyperbolic metric

$$\rho(g(\lambda), g(0)) \leq \rho_{\mathbb{D}}(0, \lambda) = \log \frac{1 + |\lambda|}{1 - |\lambda|}$$

→  $|g(\lambda)| \leq \eta_{|\lambda|}(|g(0)|)$

For a fixed  $\lambda \in \mathbb{D}$   $\phi_\lambda$  is quasimetric

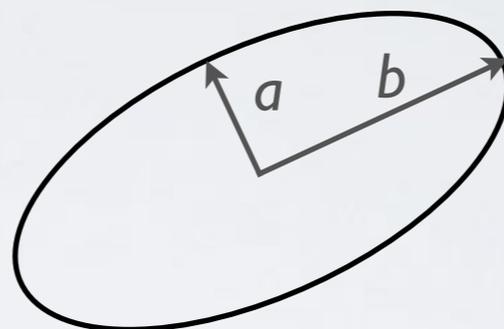
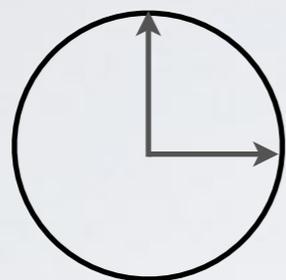
**Quasimetric:** “shapes are preserved”  $\eta: [0, \infty) \rightarrow [0, \infty)$

$$\left| \frac{f(z_1) - f(z_2)}{f(z_1) - f(z_3)} \right| \leq \eta \left( \left| \frac{z_1 - z_2}{z_1 - z_3} \right| \right) \quad \text{for any triple}$$

# QUASICONFORMAL MAPS

$$f: \mathbb{C} \rightarrow \mathbb{C}$$

$$f \in W_{loc}^{1,2}(\mathbb{C}) \quad \text{homeomorphism}$$



$$\frac{b}{a} \leq K$$

**Beltrami equation**

$$\bar{f}_z = \mu f_z$$

$$\|\mu\|_\infty \leq k = \frac{K-1}{K+1} < 1$$

**Corollary:**  $\phi_\lambda$  is  $|\lambda|$ -quasiconformal

$$\lambda \mapsto \mu_\lambda$$

$$\mathbb{D} \rightarrow L^\infty(\mathbb{C})$$

Banach-space valued

$$\|\mu_\lambda\|_\infty \leq |\lambda|$$

$$\mu_0 \equiv 0$$

$$\|\mu_\lambda\|_\infty < 1$$

Schwarz lemma



W H A T I S . . .

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# a Quasiconformal Mapping?

quasiconformal maps = “**complex interpolation** class”

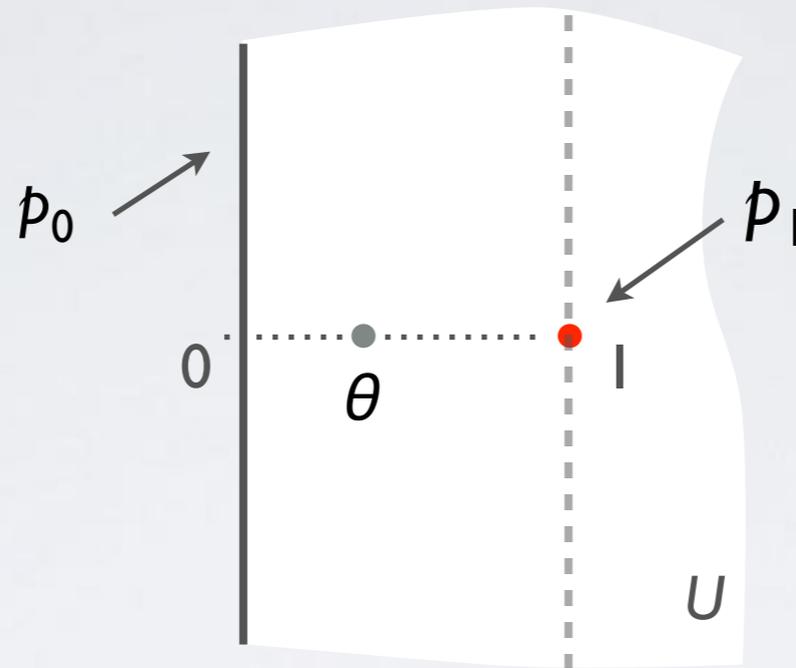
$$f_{\bar{z}}^{\lambda} = \lambda \mu f_z^{\lambda} \quad \lambda \in \mathbb{D}$$

cf. **Mañé-Sad-Sullivan, Slodkowski's  $\lambda$ -lemma**



# INTERPOLATION LEMMA

Astala-Iwaniec-Prause-Saksman



$$0 < p_0, p_1 \leq \infty, \quad \theta \in (0, 1)$$

$\phi_\lambda(z)$  analytic family,  $\lambda \in U = \{\operatorname{Re} \lambda > 0\}$

**non-vanishing**  $\phi_\lambda(z) \neq 0$

$$\|\phi_\lambda\|_{p_0} \leq M_0$$

$$\|\phi_1\|_{p_1} \leq M_1$$

$\Rightarrow$

$$\|\phi_\theta\|_{p_\theta} \leq M_0^{1-\theta} \cdot M_1^\theta$$

$$\frac{1}{p_\theta} = \frac{1-\theta}{p_0} + \frac{\theta}{p_1}$$

# cf. RIESZ-THORIN

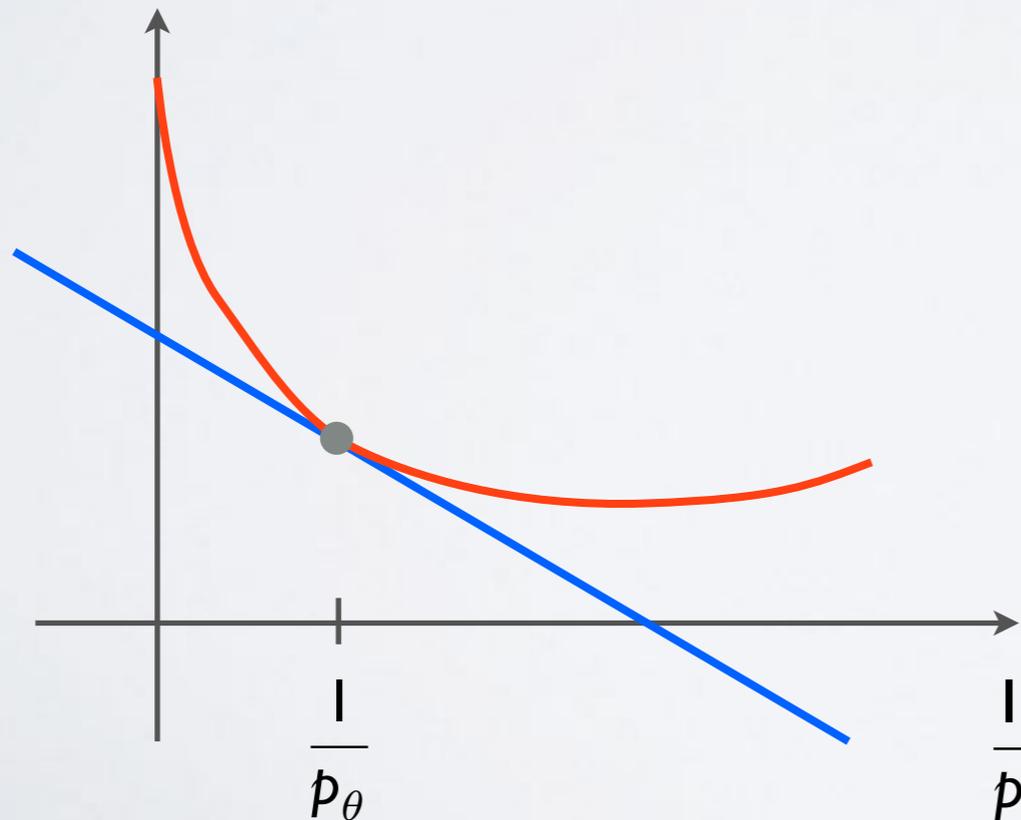
*duality*



*log-convexity*

change  $p$   
subharmonic  
Hadamard

freeze  $p$   
harmonic  
Harnack



$$\log \|\phi_\theta\|_p \geq A \cdot \frac{1}{p} + B$$

# PROOF OF THE LEMMA

$$\log \|\phi_\lambda\|_p \geq A \cdot \frac{1}{p} + B(\lambda)$$

non-vanishing  $\rightsquigarrow$  harmonic

$$A \cdot \frac{1}{p_0} + B(\theta) \leq \theta \left( A \cdot \frac{1}{p_0} + B(1) \right)$$

Harnack

$$\log \|\phi_\theta\|_{p_\theta} = A \cdot \frac{1}{p_\theta} + B(\theta) = A \cdot \frac{1}{p_0} + B(\theta) + \theta \cdot A \left( \frac{1}{p_1} - \frac{1}{p_0} \right)$$

$$\leq \theta \left( A \cdot \frac{1}{p_1} + B(1) \right) \leq \theta \log \|\phi_1\|_{p_1} \leq 0 \quad \square$$

# VARIATION OF DIM OF JULIA SETS

Astala, Ransford

$(q_\lambda)_\lambda, \lambda \in D$  analytic family of rational maps,  $d \geq 2$

$D$  simply connected,  $q_\lambda$  hyperbolic

**Theorem:**  $1 / \dim J_\lambda = \inf_{u \in \mathcal{H}} u(\lambda)$   $\mathcal{H}$  a collection of **harmonic** functions

**Corollary:**  $\frac{1 / \dim(J_{\lambda_1}) - \frac{1}{2}}{1 / \dim(J_{\lambda_2}) - \frac{1}{2}} \leq \exp \rho_D(\lambda_1, \lambda_2)$

**Pf:**  $\dim \leq 2, u - \frac{1}{2} \geq 0 \xrightarrow{\text{Harnack}} \frac{u(\lambda_1) - \frac{1}{2}}{u(\lambda_2) - \frac{1}{2}} \leq \exp \rho_D(\lambda_1, \lambda_2)$

# THERMODYNAMICS

variational principle (Ruelle, Bowen)

$$\dim(J_\lambda) = \sup_{\mu \in \mathcal{M}_\lambda} \frac{h_\mu(q_\lambda)}{\int_{J_\lambda} \log |q'_\lambda| d\mu}$$

$$\varphi_\lambda : J_{\lambda_0} \rightarrow J_\lambda$$

Mañé-Sad-Sullivan

$$q_\lambda = \varphi_\lambda \circ q_{\lambda_0} \circ \varphi_\lambda^{-1}$$

holomorphic motion

$$\frac{1}{\dim J_\lambda} = \inf_{\mu \in \mathcal{M}_{\lambda_0}} \frac{\int_{J_{\lambda_0}} \log |q'_\lambda \circ \varphi_\lambda| d\mu}{h_\mu(q_{\lambda_0})} \leftarrow \text{harmonic}$$

**EXAMPLE:**  $q_\lambda = z^d + \lambda z, \quad \lambda \in \mathbb{D}$

**Cor:**  $\dim J(q_\lambda) \leq 1 + |\lambda|$  **Smirnov**  $1 + \left(\frac{|\lambda|}{2}\right)^2 + \dots$

**Ruelle:**  $\dim J(q_\lambda) = 1 + \frac{(d-1)^2}{d^2 \log d} \left(\frac{|\lambda|}{2}\right)^2 + \dots$

$\varphi_\lambda: \mathbb{D}^* \rightarrow \mathbb{C}$  conformal conjugacy has

**Astala-Ivrii-Perälä-Prause:**  $\frac{d^{1/(d-1)}}{2} |\lambda| + \mathcal{O}(|\lambda|^2)$  qc extension

efficient representation of

$\text{spt } \mu \subset \mathbb{D}$

$$\dot{\varphi}_0 = C\mu$$

&  $\min \|\mu\|_\infty$

**Cor:**  $J(q_\lambda)$  is a  $k$ -quasicircle with dimension  $> 1 + 0.879 k^2$   
for  $k$  small ( $d=20$ )

# ON DIM OF QUASICIRCLES

Smirnov:  $\dim \leq 1 + k^2$

Astala-Ivrii-Perälä-Prause:

For any holomorphic family of conformal maps  $\{\varphi_\lambda\}_{\lambda \in \mathbb{D}}$   $\varphi_0 = \text{id}$

“asymptotic variance”  $\sigma^2(\dot{\varphi}'_0) \leq 1$

$$\sigma^2(g) = \frac{1}{2\pi} \limsup_{r \rightarrow 1} \frac{1}{|\log(1-r)|} \int_{|z|=r} |g|^2 d\theta$$

Hedenmalm:  $\sigma^2 \leq 1 - \varepsilon_0$

Ivrii:  $\dim < 1 + k^2$

# HOLOMORPHIC INTERPOLATION

variation of dimension	Riesz-Thorin
holomorphic motions	holomorphic exponent
dimension	norm
variational principle	duality
apriori bounds	endpoint estimates
Harnack's inequality	Hadamard's three lines theorem

# INTERPOLATION THEME

interpolate	$p$ -norm of gradient	complex powers	complex powers
end-point estimates	Jacobian null-Lagrangian	$\dim \leq 2$	$B(2)=1$
maximum principle	Harnack	Schwarz lemma	Nevanlinna-Pick in bidisk
application	quasiconvexity	stretching and rotation spectrum	twisting of the Riemann map

# MARTINGALE INEQUALITY

## Burkholder

$X_n \prec Y_n$  **subordinated** martingales

$$|X_n - X_{n-1}| \leq |Y_n - Y_{n-1}| \text{ a.s.}$$

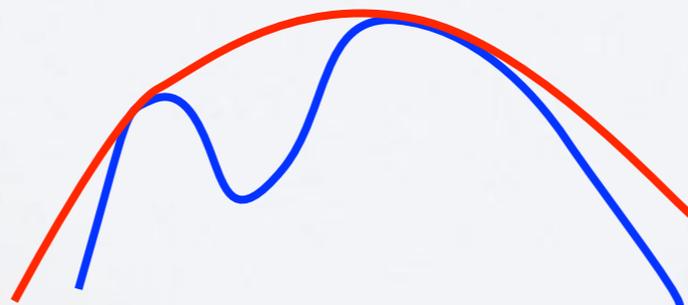
$$p \geq 2$$

$$\Rightarrow \|X_n\|_p \leq (p-1) \|Y_n\|_p.$$

$$B_p(z, w) = (|z| - (p-1)|w|) \cdot (|z| + |w|)^{p-1}$$

$$|z|^p - (p-1)^p |w|^p \leq c_p B_p(z, w)$$

$$\mathbb{E} B_p(X_n, Y_n) \leq \mathbb{E} B_p(X_{n-1}, Y_{n-1}) \leq \dots \leq 0$$



# Rank-one convexity vs Quasiconvexity

*local*

**Morrey**

*global*

$$\mathbf{E}: \mathbb{R}^{n \times n} \rightarrow \mathbb{R}$$

$$\text{rank } X = 1$$

$$t \mapsto \mathbf{E}(A + tX) \text{ convex}$$

*(ellipticity of Euler-Lagrange)*

$$\Leftrightarrow \int_{\Omega} \mathbf{E}(Df) \geq \int_{\Omega} \mathbf{E}(A) = \mathbf{E}(A) |\Omega|$$

$$f \in A + C_0^\infty(\Omega, \mathbb{R}^n)$$

*(lower semicontinuity)*

$$n \geq 3 \quad \text{\text{Šverák}} \quad \not\Rightarrow$$

$$n = 2 \quad ? \quad \text{Faraco-Székelyhidi: "localization"}$$

**Burkholder:**  $B_p(Df) = B_p(f_z, f_{\bar{z}})$  **rank-one concave**

$$B_p(A) = \left( \frac{p}{2} \det A + \left(1 - \frac{p}{2}\right) |A|^2 \right) \cdot |A|^{p-2}$$

# Quasiconvexity result

Astala-Iwaniec-Prause-Saksman

$$B_p(\mathbf{z}, \mathbf{w}) = (|\mathbf{z}| - (p-1)|\mathbf{w}|) \cdot (|\mathbf{z}| + |\mathbf{w}|)^{p-1} \quad p \geq 2$$

$$B_p(Df) = B_p(f_z, f_{\bar{z}})$$

**Theorem:**  $f(\mathbf{z}) \in \mathbf{z} + C_0^\infty(\Omega)$ ,  $B_p(Df) \geq 0$ ,  $\mathbf{z} \in \Omega$

$$\int_{\Omega} B_p(Df) \leq \int_{\Omega} B_p(\text{Id}) = |\Omega|$$

full quasiconvexity



$$\|S\|_{L^p(\mathbb{C})} = p - 1$$

$$Sf(\mathbf{z}) = -\frac{1}{\pi} \int_{\mathbb{C}} \frac{f(\zeta)}{(\zeta - \mathbf{z})^2} dm(\zeta)$$



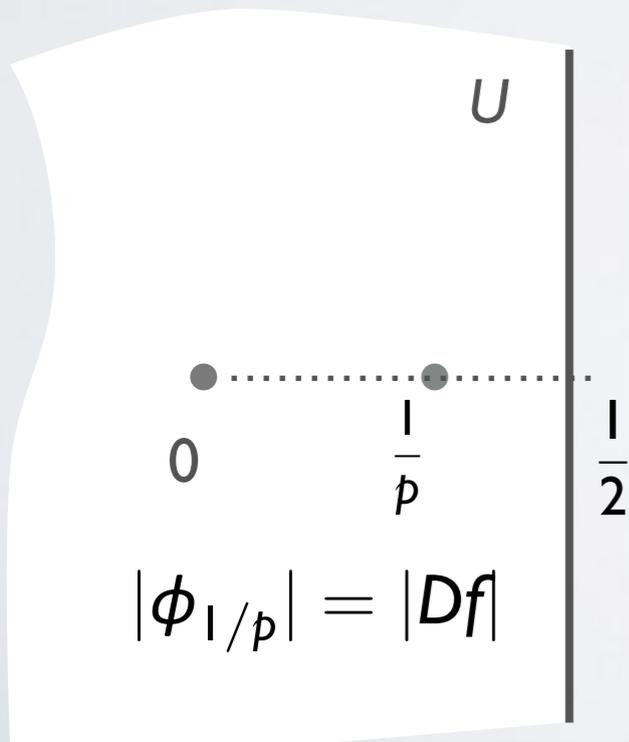
$$f_{\bar{z}} = \mu f_z$$

$$\lambda \in U = \{\operatorname{Re} \lambda < 1/2\}$$

$$f_{\bar{z}}^\lambda = \mu_\lambda f_z^\lambda$$

Ahlfors-Bers

$$\frac{\frac{|\mu|}{\mu} \cdot \mu_\lambda}{1 + \frac{|\mu|}{\mu} \cdot \mu_\lambda} = \lambda p \frac{|\mu|}{1 + |\mu|}$$



$$\frac{1}{\pi} \int_D \left( 1 - p \frac{|\mu|}{1 + |\mu|} \right) |Df|^p \leq 1$$

weight
interpolate

$$\phi_\lambda(z) = f_z^\lambda(z) + \frac{|\mu(z)|}{\mu(z)} f_{\bar{z}}^\lambda(z)$$

# Stretching and Rotation

stretching exponent

$$\alpha(z_0) = \lim_{|z-z_0|=r_n \rightarrow 0} \frac{\log |f(z) - f(z_0)|}{\log |z - z_0|}$$

$$\alpha > 0$$

radial stretching

$$\frac{z}{|z|} |z|^\alpha$$

$K$ -quasiconformal

$$K = \max\{\alpha, 1/\alpha\}$$

rate of rotation

$$\gamma(z_0) = \lim_{r_n \rightarrow 0} \frac{\arg(f(z_0 + r_n) - f(z_0))}{\log |f(z_0 + r_n) - f(z_0)|}$$

$$\gamma \in \mathbb{R}$$

logarithmic spiral

$$z |z|^{i\gamma}$$

$L$ -bilipschitz

$$L - \frac{1}{L} = \max\{\gamma, -\gamma\}$$

# STRETCHING

vs

# ROTATION

*harmonic dependence*

*“conjugate harmonic”*

stretching	rotation
<b>quasiconformal</b>	<b>bilipschitz</b>
Grötzsch problem	John's problem
Hölder exponent	rate of spiralling
$\log J(z,f) \in \text{BMO}$	$\arg f_z \in \text{BMO}$
higher integrability	exponential integrability
multifractal spectrum	

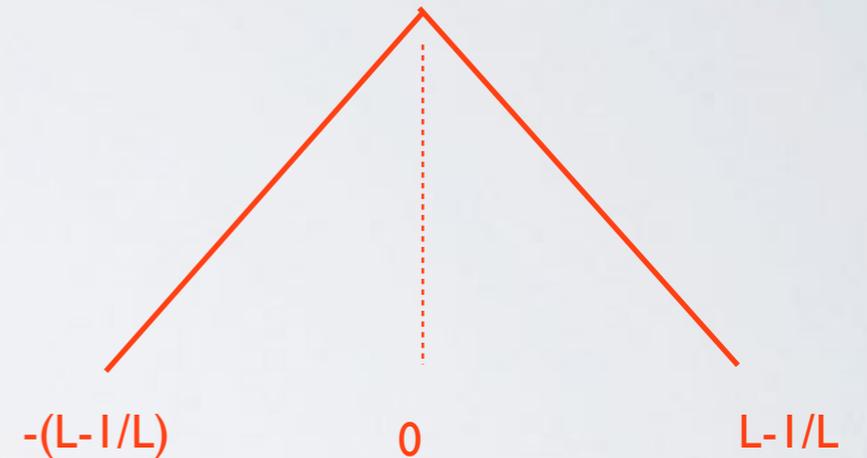
# MULTIFRACTAL SPECTRA

Astala-Iwaniec-Prause-Saksman

$K$ -quasiconformal

$$f: \mathbb{C} \rightarrow \mathbb{C}$$

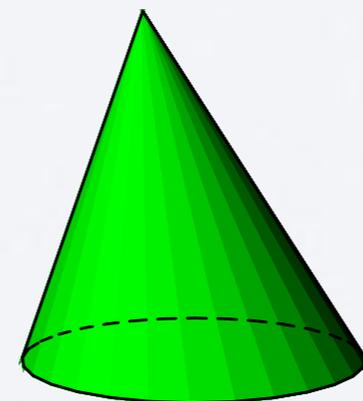
$L$ -bilipschitz



$$\dim_H\{z \in \mathbb{C} : \alpha(z) = \alpha\} \leq 1 + \alpha - \frac{|1 - \alpha|}{k}$$

$$\dim_H\{z : \gamma(z) = \gamma\} \leq 2 - \frac{2L}{L^2 - 1} |\gamma|$$

$$\alpha(z_0) = \lim_{|z-z_0|=r_n \rightarrow 0} \frac{\log |f(z) - f(z_0)|}{\log |z - z_0|}$$



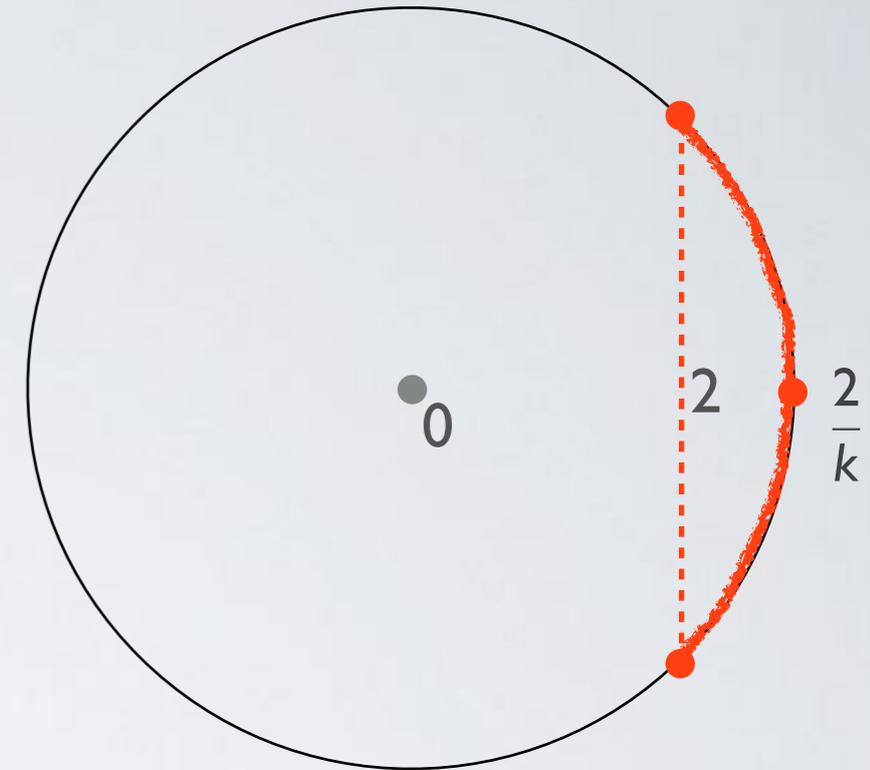
$$\sigma = \alpha(1 + i\gamma)$$

$$\gamma(z_0) = \lim_{r_n \rightarrow 0} \frac{\arg(f(z_0 + r_n) - f(z_0))}{\log |f(z_0 + r_n) - f(z_0)|}$$

# TWISTING OF THE RIEMMANN MAP

Thm (P):  $f: \mathbb{D} \rightarrow \Omega$  conformal  
with  $k$ -qc extension

$$\int_{\mathbb{D}} |(f')^t| < \infty \quad |t| < \frac{2}{k}, \operatorname{Re} t \geq 2$$



“Circular” Brennan’s  
conjecture:  
(Becker-Pommerenke)

true for every  $|t| < \frac{2}{k}$

*holomorphic amplification from  $t=2$  case*

Schwarz lemma



3-point Nevanlinna-Pick interpolation problem in the bidisk

# HOLOMORPHIC MOTION IN $\mathbb{D}^2$

$$R > 1$$

$$|\mu| \leq \chi_{\{|z| \geq R\}}$$

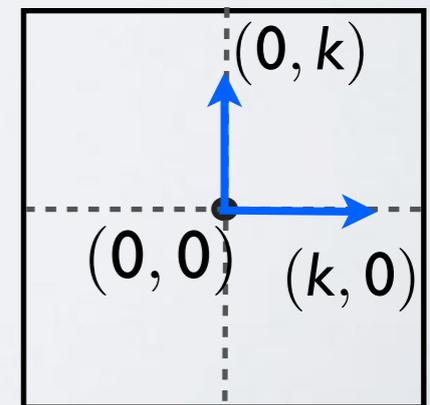
$$\mu_{\lambda, \eta}(\mathbf{z}) = \begin{cases} \lambda \mu(\mathbf{z}) & \text{for } |\mathbf{z}| > 1, \\ \eta \mu(1/\bar{\mathbf{z}}) & \text{for } |\mathbf{z}| < 1. \end{cases} \quad (\lambda, \eta) \in \mathbb{D}^2$$

$$\bar{\partial} f_{\lambda, \eta} = \mu_{\lambda, \eta} \partial f_{\lambda, \eta} \quad \{0, 1, \infty\} \text{-normalization}$$

$$f'(z) \rightsquigarrow \frac{z f'(z)}{f(z)}$$

$$\varphi_{\lambda, \eta}(\mathbf{z}) = \frac{z f_{\lambda, \eta}'(\mathbf{z})}{f_{\lambda, \eta}(\mathbf{z})}, \quad \mathbf{z} \in S^1$$

$$f_{\lambda, \eta}(\mathbf{z}) = \frac{1}{f_{\bar{\eta}, \bar{\lambda}}(1/\bar{\mathbf{z}})} \longrightarrow \varphi_{\lambda, \eta} = \overline{\varphi_{\bar{\eta}, \bar{\lambda}}}$$



# CLASSICAL LÖWNER CHAINS

Löwner, Kufarev, Pommerenke

Any univalent function  $f: \mathbb{D} \rightarrow \mathbb{C}$ ,  $f(0) = 0$ ,  $f'(0) = 1$  is the initial element ( $f = f_0$ ) of some

classical *radial Löwner chain*  $(f_t)_{t \geq 0} \subset \text{Hol}(\mathbb{D}, \mathbb{C})$

- $f_t$  is univalent in  $\mathbb{D}$   $f_t(0) = 0$ ,  $f_t'(0) = e^t$
- $f_s(\mathbb{D}) \subset f_t(\mathbb{D})$ ,  $s \leq t$

**Löwner equation**  $\dot{f}_t = -G(z, t)f_t'$  a.e. in  $t$   
 $G(z, t) = -zp(z, t)$

classical Herglotz function  $p: \mathbb{D} \times [0, +\infty) \rightarrow \mathbb{C}$

- $p(z, \cdot)$  is measurable
- $p(\cdot, t)$  holomorphic with  $\text{Re } p \geq 0$ ,  $p(0, t) = 1$

# QUASICONFORMAL EXTENSIONS

**Becker:**  $p(\mathbb{D}, t) \subset U(k) = \left\{ \zeta: \left| \frac{\zeta - 1}{\zeta + 1} \right| \leq k \right\}, \quad \text{a.e. } t$

→ each  $f_t$  has (an explicit)  $k$ -quasiconformal extension to  $\overline{\mathbb{C}}$

reverse direction: Schwarz lemma? **No**

Includes many other sufficiency criteria

**Bracci-Contreras-Díaz-Madrigal-Gumenyuk** (non-autonomous framework)

Löwner chains from time-dependent Herglotz vector fields

$$G(z, t) = (\tau(t) - z)(1 - \overline{\tau(t)}z)p(z, t) \quad \begin{array}{l} \tau: [0, \infty) \rightarrow \overline{\mathbb{D}} \text{ measurable} \\ t \mapsto p(0, t) \in L^1_{loc} \end{array}$$

Becker's condition still ensures  $k$ -qc extendability

**Becker** radial ( $\tau \equiv 0$ ) **Gumenyuk-Hotta** chordal ( $\tau \equiv 1$ ) **Hotta** general

**Gumenyuk-Prause**

short proof via  
holomorphic motions

$$p \rightsquigarrow p_\lambda$$