

# 1 Mie scattering, or scattering by a spherical particle

An exact solution for scattering by electromagnetic waves by a spherical particle was presented by Mie and this kind of scattering is commonly called Mie scattering. Lately, the contribution by Lorenz has also been recognized, but his solution was not based on Maxwell's equations.

The solution of the scattering problem is composed of several fundamental stages. To start with, the scalar Helmholtz equation is solved in spherical coordinates, introducing the spherical harmonics and Bessel, Neumann, and Hankel special functions of fractional order (the so-called spherical Bessel functions, etc.).

In solving the vector Helmholtz wave equation, a general expansion in electric and magnetic multipoles is introduced and, in particular, the vector spherical harmonics. The energy and angular distributions of multipole fields are illustrated with examples, underscoring the power of the multipole analysis. To cope with the boundary conditions in the spherical geometry, the original incident plane wave field must be presented as a multipole expansion.

The actual scattering problem for a spherical particle can then be solved in a straightforward way. With the help of the multipole expansion, we can have a look at the boundary conditions for a nonspherical particle. In this case, the coefficients of the vector spherical harmonics can no longer be obtained analytically.

## 2 Scalar wave equation in spherical geometry

In order to prepare for the treatment of the vector wave equation, we consider the scalar wave equation for scalar field  $\Psi(\mathbf{x}, t)$ ,

$$\nabla^2 \Psi(\mathbf{x}, t) - \frac{1}{c^2} \frac{\partial^2}{\partial t^2} \Psi(\mathbf{x}, t) = 0 \quad (1)$$

We can Fourier-transform the wave equation with respect to time,

$$\Psi(\mathbf{x}, t) = \int_{-\infty}^{\infty} d\omega \Psi(\mathbf{x}, \omega) e^{-i\omega t}, \quad (2)$$

in which case each Fourier-component fulfils the wave equation

$$(\nabla^2 + k^2)\Psi(\mathbf{x}, \omega) = 0, \quad k^2 = \omega^2/c^2 \quad (3)$$

In the case of a single small particle, it is advantageous to search for the solution of the wave equation in the spherical coordinate system. Scattering extends to the full solid angle  $4\pi$  and the small particle is located in a constrained region near the origin. In the spherical coordinates  $r, \theta, \varphi$ , the wave equation is of the form (see Arfken, Jackson)

$$\frac{1}{r^2} \frac{\partial}{\partial r} \left( r^2 \frac{\partial \Psi}{\partial r} \right) + \frac{1}{r^2 \sin \theta} \frac{\partial}{\partial \theta} \left( \sin \theta \frac{\partial \Psi}{\partial \theta} \right) + \frac{1}{r^2 \sin^2 \theta} \frac{\partial^2 \Psi}{\partial \varphi^2} + k^2 \Psi = 0 \quad (4)$$

The scalar wave equation can be solved by separating the variables so that the part including the angular coordinates is represented by the scalar spherical harmonics functions and the part including the radial dependence is represented by the spherical Bessel, Neumann, and Hankel functions,

$$\Psi(\mathbf{x}, \omega) = \sum_{l,m} f_{lm}(r) Y_{lm}(\theta, \varphi) \quad (5)$$

The radial part ( $f_{lm}(r)$ ) fulfils its differential equation independently of the index  $m$ ,

$$\left[ \frac{d^2}{dr^2} + \frac{2}{r} \frac{d}{dr} + k^2 - \frac{l(l+1)}{r^2} \right] f_l(r) = 0. \quad (6)$$

By writing

$$f_l(r) = \frac{1}{\sqrt{r}} u_l(r) \quad (7)$$

we obtain

$$\left[ \frac{d^2}{dr^2} + \frac{1}{r} \frac{d}{dr} + k^2 - \frac{(l + \frac{1}{2})^2}{r^2} \right] u_l(r) = 0, \quad (8)$$

which is the Bessel equation with order  $l + \frac{1}{2}$ . Then, in the most general way,

$$\begin{aligned} f_{lm}(r) &= A_{lm} j_l(kr) + B_{lm} n_l(kr) \\ &= \tilde{A}_{lm} h_l^{(1)}(kr) + \tilde{B}_{lm} h_l^{(2)}(kr), \\ h_l^{(1)}(x) &= j_l(x) + i n_l(x), \quad h_l^{(2)}(x) = j_l(x) - i n_l(x), \end{aligned} \quad (9)$$

where  $j_l, n_l, h_l^{(1)}$  and  $h_l^{(2)}$  are the spherical Bessel, Neumann, and Hankel functions.

For example,

$$\begin{aligned}
j_0(x) &= \frac{\sin x}{x}, \\
j_1(x) &= \frac{\sin x}{x^2} - \frac{\cos x}{x}, \\
j_2(x) &= \left(\frac{3}{x^3} - \frac{1}{x}\right) \sin x - \frac{3 \cos x}{x^2}, \\
n_0(x) &= -\frac{\cos x}{x}, \\
n_1(x) &= -\frac{\cos x}{x^2} - \frac{\sin x}{x}, \\
n_2(x) &= -\left(\frac{3}{x^3} - \frac{1}{x}\right) \cos x - \frac{3 \sin x}{x^2}, \\
h_0^{(1)}(x) &= \frac{e^{ix}}{ix}, \\
h_1^{(1)}(x) &= -\frac{e^{ix}}{x} \left(1 + \frac{i}{x}\right), \\
h_2^{(1)}(x) &= \frac{ie^{ix}}{x} \left(1 + \frac{3i}{x} - \frac{3}{x^2}\right). \tag{10}
\end{aligned}$$

The functions  $j_l$  and  $n_l$  can be analytically generated using the so-called Rodrigues' formulae

$$j_l(x) = (-x)^l \left(\frac{1}{x} \frac{d}{dx}\right)^l \left(\frac{\sin x}{x}\right) \tag{11}$$

$$n_l(x) = -(-x)^l \left(\frac{1}{x} \frac{d}{dx}\right)^l \left(\frac{\cos x}{x}\right) \tag{12}$$

In the limit  $x \ll 1, l$ , the functions can be calculated using the leading terms of their series expansions,

$$\begin{aligned} j_l(x) &= \frac{x^l}{(2l+1)!!} \left( 1 - \frac{x^2}{2(2l+3)} + \dots \right), \\ n_l(x) &= -\frac{(2l-1)!!}{x^{l+1}} \left( 1 - \frac{x^2}{2(1-2l)} + \dots \right). \end{aligned} \quad (13)$$

Correspondingly, in the limit  $x \gg l$ , we obtain

$$\begin{aligned} j_l(x) &\approx \frac{1}{x} \sin\left(x - \frac{l\pi}{2}\right), \\ n_l(x) &\approx -\frac{1}{x} \cos\left(x - \frac{l\pi}{2}\right), \\ h_l^{(1)}(x) &\approx (-i)^{l+1} \frac{e^{ix}}{x}. \end{aligned} \quad (14)$$

The functions obey the recursive relations

$$\begin{aligned} \frac{2l+1}{x} z_l(x) &= z_{l-1}(x) + z_{l+1}(x), \\ z_l'(x) &= \frac{1}{2l+1} [l z_{l-1}(x) - (l+1) z_{l+1}(x)], \\ \frac{d}{dx} [x z_l(x)] &= x z_{l-1}(x) - l z_l(x), \end{aligned} \quad (15)$$

where  $z_l(x)$  can be any of the functions  $j_l$ ,  $n_l$ ,  $h_l^{(1)}$  or  $h_l^{(2)}$ . In practical numerical computations, special attention needs to be paid to numerical stability, for example, to the direction the recursive relations are utilized.

The Wronskian determinants are, pair-wise,

$$W(j_l, n_l) = \frac{1}{i}W(j_l, h_l^{(1)}) = -W(n_l, h_l^{(1)}) = \frac{1}{x^2}. \quad (16)$$

Thus, the general solution of the scalar wave equation in spherical coordinates can be presented in the form

$$\Psi(\mathbf{x}) = \sum_{l,m} \left[ A_{lm}^{(1)} h_l^{(1)}(kr) + A_{lm}^{(2)} h_l^{(2)}(kr) \right] Y_{lm}(\theta, \varphi) \quad (17)$$

that is, as a sum of outgoing and incoming waves.

Consider next the properties of the spherical-harmonics functions  $Y_{lm}(\theta, \varphi)$ . According to the definition,

$$\begin{aligned} Y_{lm}(\theta, \varphi) &= \sqrt{\frac{2l+1}{4\pi} \frac{(l-m)!}{(l+m)!}} P_l^m(\cos \theta) e^{im\varphi}, \\ l &= 0, 1, 2, \dots, \\ m &= -l, -l+1, \dots, 0, \dots, l-1, l. \end{aligned} \quad (18)$$

The functions  $P_l^m(x)$  are associated Legendre functions that can be derived from the Legendre polynomials  $P_l(x)$  by the Rodrigues' formula,

$$\begin{aligned} P_l^m(x) &= (-1)^m (1-x^2)^{m/2} \frac{d^m}{dx^m} P_l(x) \\ &= (-1)^m \frac{1}{2^l l!} (1-x^2)^{m/2} \frac{d^{l+m}}{dx^{l+m}} (x^2-1)^l. \end{aligned} \quad (19)$$

For  $P_l^m(x)$ , it is generally true that

$$P_l^{-m}(x) = (-1)^m \frac{(l-m)!}{(l+m)!} P_l^m(x) \quad (20)$$

so that

$$Y_{l,-m}(\theta, \varphi) = (-1)^m Y_{l,m}^*(\theta, \varphi) \quad (21)$$

The spherical-harmonics functions constitute a complete orthonormal set of functions,

$$\int_{4\pi} d\Omega Y_{l',m'}^*(\theta, \varphi) Y_{l,m}(\theta, \varphi) = \delta_{ll'} \delta_{mm'}, \quad (22)$$

with the closure relation

$$\sum_{l=0}^{\infty} \sum_{m=-l}^l Y_{l,m}^*(\theta', \varphi') Y_{l,m}(\theta, \varphi) = \delta(\varphi - \varphi') \delta(\cos \theta - \cos \theta') \quad (23)$$

For example,

$$\begin{aligned} Y_{00} &= \frac{1}{\sqrt{4\pi}}, \\ Y_{10} &= \sqrt{\frac{3}{4\pi}} \cos \theta, & Y_{11} &= -\sqrt{\frac{3}{8\pi}} \sin \theta e^{i\varphi}, \\ Y_{20} &= \sqrt{\frac{5}{4\pi}} \left( \frac{3}{2} \cos^2 \theta - \frac{1}{2} \right), & Y_{21} &= -\sqrt{\frac{15}{8\pi}} \sin \theta \cos \theta e^{i\varphi}, \\ Y_{22} &= \frac{1}{4} \sqrt{\frac{15}{2\pi}} \sin^2 \theta e^{i2\varphi}. \end{aligned} \quad (24)$$

For example, the following recursive relations can be derived for the associated Legendre functions:

$$\begin{aligned}
& P_l^{m+1} - \frac{2mx}{\sqrt{1-x^2}}P_l^m + [l(l+1) - m(m-1)]P_l^{m-1} = 0 \\
(2l+1)xP_l^m &= (l+m)P_{l-1}^m + (l-m+1)P_{l+1}^m \\
(2l+1)\sqrt{1-x^2}P_l^m &= P_{l+1}^{m+1} - P_{l-1}^{m+1} \\
&= (l+m)(l+m-1)P_{l-1}^{m-1} - (l-m+1)(l-m+2)P_{l+1}^{m-1} \\
\sqrt{1-x^2}P_l^m &= \frac{1}{2}P_l^{m+1} - \frac{1}{2}(l+m)(l-m+1)P_l^{m-1}. \tag{25}
\end{aligned}$$

Let us study the spherical wave expansion of the Green's function corresponding to an outgoing wave. The Green's function fulfils the inhomogeneous wave equation

$$(\nabla^2 + k^2)G(\mathbf{x}, \mathbf{x}') = -\delta(\mathbf{x} - \mathbf{x}') \tag{26}$$

and is of the form

$$G(\mathbf{x}, \mathbf{x}') = \frac{e^{ik|\mathbf{x}-\mathbf{x}'|}}{4\pi|\mathbf{x}-\mathbf{x}'|} \tag{27}$$

Let us write

$$G(\mathbf{x}, \mathbf{x}') = \sum_{lm} g_l(r, r') Y_{lm}^*(\theta', \varphi') Y_{lm}(\theta, \varphi) \tag{28}$$

and insert this expression into the partial differential equation above.



Then, we obtain

$$\left[ \frac{d^2}{dr^2} + \frac{2}{r} \frac{d}{dr} + k^2 - \frac{l(l+1)}{r^2} \right] g_l = -\frac{1}{r^2} \delta(r-r') \quad (29)$$

with the following wave solution that is finite at the origin and an outgoing wave at infinity,

$$g_l(r, r') = A j_l(kr_{<}) h_l^{(1)}(kr_{>}) \quad (30)$$

where  $r_{>} = \max(r, r')$  and  $r_{<} = \min(r, r')$  and  $A = ik$ , so that the discontinuity of the derivative is correct at  $r = r'$ . The spherical wave expansion of the Green's function is thus

$$\frac{e^{ik|\mathbf{x}-\mathbf{x}'|}}{4\pi|\mathbf{x}-\mathbf{x}'|} = ik \sum_{l=0}^{\infty} j_l(kr_{<}) h_l^{(1)}(kr_{>}) \sum_{m=-l}^l Y_{lm}^*(\theta', \varphi') Y_{lm}(\theta, \varphi) \quad (31)$$

In order to solve the vector wave equation, we return one more time to the angular part of the scalar wave equation and introduce useful auxiliary tools. The spherical harmonics are solutions of the following equation:

$$-\left[\frac{1}{\sin\theta}\frac{\partial}{\partial\theta}\left(\sin\theta\frac{\partial}{\partial\theta}\right)+\frac{1}{\sin^2\theta}\frac{\partial^2}{\partial\varphi^2}\right]Y_{lm}=l(l+1)Y_{lm},$$

which can be written in the form (cf. quantum mechanics)

$$L^2Y_{lm}=l(l+1)Y_{lm}$$

where

$$L^2=L_x^2+L_y^2+L_z^2$$

$$\mathbf{L}=\frac{1}{i}(\mathbf{r}\times\nabla)$$

so that  $\mathbf{L}$  is  $\hbar^{-1}$  times the orbital impulse momentum operator in wave mechanics.  $\mathbf{L}$  can be presented conveniently using the operators  $L_+$ ,  $L_-$ , and  $L_z$ ,

$$L_+=L_x+iL_y=e^{i\varphi}\left(\frac{\partial}{\partial\theta}+i\cot\theta\frac{\partial}{\partial\varphi}\right)$$

$$L_-=L_x-iL_y=e^{-i\varphi}\left(-\frac{\partial}{\partial\theta}+i\cot\theta\frac{\partial}{\partial\varphi}\right) \tag{32}$$

$$L_z=-i\frac{\partial}{\partial\varphi} \tag{33}$$

$\mathbf{L}$  only operates on the angular variables and  $\mathbf{r} \cdot \mathbf{L} = 0$ . For what follows, it is useful to notice that, based on the recursive relations of the spherical harmonics,

$$\begin{aligned} L_+ Y_{lm} &= \sqrt{(l-m)(l+m+1)} Y_{l,m+1} \\ L_- Y_{lm} &= \sqrt{(l+m)(l-m+1)} Y_{l,m-1} \end{aligned} \quad (34)$$

$$L_z Y_{lm} = m Y_{lm} \quad (35)$$

In addition,  $\mathbf{L}$ ,  $L^2$  and  $\nabla^2$  fulfil the following commutation rules:

$$\begin{aligned} L^2 \mathbf{L} &= \mathbf{L} L^2 \\ \mathbf{L} \times \mathbf{L} &= i \mathbf{L} \end{aligned} \quad (36)$$

$$L_j \nabla^2 = \nabla^2 L_j \quad (37)$$

where

$$\nabla^2 = \frac{1}{r} \frac{\partial^2}{\partial r^2} (r) - \frac{L^2}{r^2}$$

### 3 Multipole expansions of electromagnetic fields

In free space, Maxwell's equations take the form (time dependence  $e^{-i\omega t}$ )

$$\nabla \times \mathbf{E} = ik\zeta_0\mathbf{H}, \quad \nabla \times \mathbf{H} = -ik\mathbf{E}/\zeta_0 \quad (38)$$

$$\nabla \cdot \mathbf{E} = 0, \quad \nabla \cdot \mathbf{H} = 0 \quad (39)$$

where  $k = \omega/c$ . If the  $\mathbf{E}$ -field is eliminated, one obtains

$$(\nabla^2 + k^2)\mathbf{H} = 0, \quad \nabla \cdot \mathbf{H} = 0$$

$$\mathbf{H} = -\frac{i}{k\zeta_0}\nabla \times \mathbf{E}$$

Alternatively, eliminating the  $\mathbf{H}$ -field yields

$$(\nabla^2 + k^2)\mathbf{E} = 0, \quad \nabla \cdot \mathbf{E} = 0$$

$$\mathbf{E} = \frac{i\zeta_0}{k}\nabla \times \mathbf{H}.$$

Both groups of three equations are equivalent to the original Maxwell's equations. We attempt to find multipole solutions for the vector fields  $\mathbf{E}$  and  $\mathbf{H}$ . It is clear that each Cartesian component of  $\mathbf{E}$  and  $\mathbf{H}$  fulfil the scalar wave equation so that each component could be developed into series in multipoles of the scalar wave equation. However, the conditions about the sourceless nature of both  $\mathbf{E}$  and  $\mathbf{H}$  would be difficult to account for and it would be difficult to construct pure multipoles for the vector wave equation.

Instead, we start from the scalar quantity  $\mathbf{r} \cdot \mathbf{A}$ , where  $\mathbf{A}$  is a regularly behaving vector field. First,

$$\nabla^2(\mathbf{r} \cdot \mathbf{A}) = \mathbf{r} \cdot (\nabla^2 \mathbf{A}) + 2\nabla \cdot \mathbf{A}$$

so that

$$\nabla^2(\mathbf{r} \cdot \mathbf{E}) = \mathbf{r} \cdot (-k^2 \mathbf{E}) \Leftrightarrow (\nabla^2 + k^2)(\mathbf{r} \cdot \mathbf{E}) = 0$$

and, in a corresponding way,

$$(\nabla^2 + k^2)(\mathbf{r} \cdot \mathbf{H}) = 0$$

Therefore, the general solution for  $\mathbf{r} \cdot \mathbf{E}$  and  $\mathbf{r} \cdot \mathbf{H}$  can be presented as series of basis functions of the scalar wave equation.

We define the magnetic multipole of order  $(l, m)$  by the conditions

$$\begin{aligned} \mathbf{r} \cdot \mathbf{H}_{lm}^{(M)} &= \frac{l(l+1)}{k} g_l(kr) Y_{lm}(\theta, \varphi) \\ \mathbf{r} \cdot \mathbf{E}_{lm}^{(M)} &= 0 \end{aligned} \tag{40}$$

where  $g_l(kr) = A_l^{(1)} h_l^{(1)}(kr) + A_l^{(2)} h_l^{(2)}(kr)$  (the coefficient  $l(l+1)/k$  has been introduced for convenience).

Now

$$\zeta_0 k \mathbf{r} \cdot \mathbf{H} = \frac{1}{i} \mathbf{r} \cdot (\nabla \times \mathbf{E}) = \frac{1}{i} (\mathbf{r} \times \nabla) \cdot \mathbf{E} = \mathbf{L} \cdot \mathbf{E}$$

where  $\mathbf{L}$  is the operator shown up when solving the scalar wave equation. When  $\mathbf{r} \cdot \mathbf{H} = \mathbf{r} \cdot \mathbf{H}_{lm}^{(M)}$ , it must be true that

$$\mathbf{L} \cdot \mathbf{E}_{lm}^{(M)}(r, \theta, \varphi) = l(l+1) \zeta_0 g_l(kr) Y_{lm}(\theta, \varphi)$$

and

$$\mathbf{r} \cdot \mathbf{E}_{lm}^{(M)} = 0$$

Since  $\mathbf{L}$  only operates on the angular variables  $(\theta, \varphi)$ , the  $r$ -dependence of  $\mathbf{E}_{lm}^{(M)}$  is  $g_l(kr)$ . In order for  $\mathbf{L} \cdot \mathbf{E}_{lm}^{(M)}$  to produce a pure  $Y_{lm}(\theta, \varphi)$  angular dependence,  $\mathbf{E}_{lm}^{(M)}$  need to be prepared using the  $L_z$ ,  $L_+$ , and  $L_-$ -operators so that, ultimately,

$$\begin{aligned} \mathbf{E}_{lm}^{(M)} &= \zeta_0 g_l(kr) \mathbf{L} Y_{lm}(\theta, \varphi) \\ \mathbf{H}_{lm}^{(M)} &= -\frac{1}{k \zeta_0} \nabla \times \mathbf{E}_{lm}^{(M)} \end{aligned} \quad (41)$$

This is the definition for the electromagnetic fields of the magnetic multipole of order  $(l, m)$ . Occasionally, this is also called the transverse electric multipole (TE).

The electromagnetic fields of an electric or transverse magnetic (TM) multipole of order  $(l, m)$  follow from the conditions

$$\begin{aligned}\mathbf{r} \cdot \mathbf{E}_{lm}^{(E)} &= -\zeta_0 \frac{l(l+1)}{k} f_l(kr) Y_{lm}(\theta, \varphi) \\ \mathbf{r} \cdot \mathbf{H}_{lm}^{(E)} &= 0\end{aligned}$$

and are of the form

$$\begin{aligned}\mathbf{H}_{lm}^{(E)} &= f_l(kr) \mathbf{L} Y_{lm}(\theta, \varphi) \\ \mathbf{E}_{lm}^{(E)} &= \frac{i\zeta_0}{k} \nabla \times \mathbf{H}_{lm}^{(E)}\end{aligned}\tag{42}$$

where the  $r$ -dependent part  $f_l(kr)$  is again a combination of the spherical Hankel or Bessel and Neumann functions.

It can be shown that the electric and magnetic multipole fields constitute a complete vectorial set of solutions for Maxwell's equations in source-free space. In what follows, the terminology of electric and magnetic multipoles is being used as, physically, the sources are the electric charge density and the magnetic moment density, respectively.

In the consideration of vector spherical harmonics, the vector spherical harmonics functions  $\mathbf{L}Y_{lm}$  assume a central role. For convenience, the vector functions are normalized so that the final vector spherical harmonics are

$$\mathbf{X}_{lm}(\theta, \varphi) \equiv \frac{1}{\sqrt{l(l+1)}} \mathbf{L}Y_{lm}(\theta, \varphi)$$

We define  $\mathbf{X}_{00} \equiv 0$ , since spherically symmetric solutions to Maxwell's equations only exist in source-free space at the static limit  $k \rightarrow 0$ . For  $\mathbf{X}_{lm}$ , the following orthogonality relations can be ascertained,

$$\int_{(4\pi)} d\Omega \mathbf{X}_{l',m'}^* \cdot \mathbf{X}_{lm} = \delta_{l'l} \delta_{m'm}$$

$$\int_{(4\pi)} d\Omega \mathbf{X}_{l',m'}^* \cdot (\mathbf{r} \times \mathbf{X}_{lm}) = 0$$

The proof is left for an exercise.



The general solution for Maxwell's equations can now be written as an expansion of electric and magnetic multipoles,

$$\mathbf{H} = \sum_{l,m} \left[ a_E(l, m) f_l(kr) \mathbf{X}_{lm} - \frac{i}{k} a_M(l, m) \nabla \times g_l(kr) \mathbf{X}_{lm} \right]$$

$$\mathbf{E} = \zeta_0 \sum_{l,m} \left[ \frac{i}{k} a_E(l, m) \nabla \times f_l(kr) \mathbf{X}_{lm} + a_M(l, m) g_l(kr) \mathbf{X}_{lm} \right]$$

where the coefficients  $a_E(l, m)$  and  $a_M(l, m)$  give the amount of electric and magnetic multipoles of order  $(l, m)$ . The functions  $f_l(kr)$  and  $g_l(kr)$  are linear combinations of  $h_l^{(1,2)}$  or  $j_l$  and  $n_l$ . The coefficients  $a_E(l, m)$  and  $a_M(l, m)$  are determined by the sources and the boundary conditions. Explicitly, this is seen by the scalar quantities  $\mathbf{r} \cdot \mathbf{H}$  and  $\mathbf{r} \cdot \mathbf{E}$  being sufficient to determine the unknown coefficients:

$$a_M(l, m) g_l(kr) = \frac{k}{\sqrt{l(l+1)}} \int_{(4\pi)} d\Omega Y_{lm}^* \mathbf{r} \cdot \mathbf{H}$$

$$\zeta_0 a_E(l, m) f_l(kr) = -\frac{k}{\sqrt{l(l+1)}} \int_{(4\pi)} d\Omega Y_{lm}^* \mathbf{r} \cdot \mathbf{E}$$

When  $\mathbf{r} \cdot \mathbf{H}$  and  $\mathbf{r} \cdot \mathbf{E}$  are known at two distances differing from one another in the source-free region, the fields can be unambiguously determined, all the way to the mutual proportions of the two parts in the radial dependences  $f_l$  and  $g_l$ .

## 4 Energy in multipole fields

Consider multipole fields in the near zone  $kr \ll 1$ . Then, the leading contribution derives from the Neumann function so that  $f_l \propto n_l$ ; assume that the coefficient of the multipole in question differs from zero. We obtain

$$\mathbf{H}_{lm}^{(E)} \rightarrow -\frac{k}{l} \mathbf{L} \frac{Y_{lm}}{r^{l+1}}$$

where the factor  $-k/l$  is introduced for convenience. In order to calculate the electric field, we must compute the curl of the right-hand side of the equation; in doing this, we make use of the result

$$i\nabla \times \mathbf{L} = \mathbf{r}\nabla^2 - \nabla\left(1 + r\frac{\partial}{\partial r}\right)$$

The electric field is

$$\mathbf{E}_{lm}^{(E)} \rightarrow -\frac{i}{l}\zeta_0 \nabla \times \mathbf{L} \left( \frac{Y_{lm}}{r^{l+1}} \right)$$

and, since  $Y_{lm}/r^{l+1}$  obeys the Laplace equation,

$$\nabla^2 \frac{Y_{lm}}{r^{l+1}} = 0$$

and, for the electric field, we obtain

$$\mathbf{E}_{lm}^{(E)} \rightarrow -\zeta_0 \nabla \frac{Y_{lm}}{r^{l+1}}$$

which is the multipole field of electrostatics. The magnetic field  $\mathbf{H}_{lm}^{(E)}$  is smaller than  $\mathbf{E}_{lm}^{(E)}/\zeta_0$  by a factor of  $kr$  so that, in the near zone, the magnetic field of the electric multipole is considerably smaller than the electric field (cf. earlier treatment for an electric dipole moment).

By exchanging  $\mathbf{E}$  and  $\mathbf{H}$  in the previous analysis, we can obtain the case of the magnetic multipole,

$$\mathbf{E}^{(E)} \rightarrow -\zeta_0 \mathbf{H}^{(M)}, \quad \mathbf{H}^{(E)} \rightarrow \mathbf{E}^{(M)} / \zeta_0$$

Let us study the multipole fields in the far zone  $kr \gg 1$ . The fields depend on the boundary conditions set and, as an example, we study outgoing waves that are applicable to the case of radiation by a localized source, too. Now  $f_l(kr) \propto h_l^{(1)}(kr)$  and

$$\mathbf{H}_{lm}^{(E)} \rightarrow (-i)^{l+1} \frac{e^{ikr}}{kr} \mathbf{L}Y_{lm}$$

and the electric field is of the form

$$\mathbf{E}_{lm}^{(E)} = \zeta_0 \frac{(-i)^l}{k^2} \left[ \nabla \left( \frac{e^{ikr}}{r} \right) \times \mathbf{L}Y_{lm} + \frac{e^{ikr}}{r} \nabla \times \mathbf{L}Y_{lm} \right]$$

The asymptotic form of  $h_l^{(1)}$  is already used in the expression of the electric field so only factors proportional to  $r^{-1}$  can be conserved in the expressions. By using, again, the aforescribed result for  $\nabla \times \mathbf{L}$ , we obtain

$$\mathbf{E}_{lm}^{(E)} = -\zeta_0 (-i)^{l+1} \frac{e^{ikr}}{kr} \left[ \mathbf{n} \times \mathbf{L}Y_{lm} - \frac{1}{k} (\mathbf{r} \nabla^2 - \nabla) Y_{lm} \right]$$

where  $\mathbf{n} = \mathbf{r}/r$ . The second term on the right is of the order of  $1/kr$  and can be omitted from the expression in parentheses in the limit  $kr \gg 1$ . We obtain

$$\mathbf{E}_{lm}^{(E)} = \zeta_0 \mathbf{H}_{lm}^{(E)} \times \mathbf{n}$$

where  $\mathbf{H}_{lm}^{(E)}$  is asymptotic form given above.

The multipole fields can be utilized in the computation of the energy transported by the radiation. As an example, consider the linear superposition of electric multipoles of order  $(l, m)$  with different values of  $m$ , when  $l$  is kept constant. The fields are of the form

$$\mathbf{H}_l = \sum_m a_E(l, m) \mathbf{X}_{lm} h_l^{(1)}(kr) e^{-i\omega t}$$

$$\mathbf{E}_l = \frac{i}{k} \zeta_0 \nabla \times \mathbf{H}_l$$

The time-averaged energy density of time-harmonic fields is

$$u = \frac{\epsilon_0}{4} (\mathbf{E} \cdot \mathbf{E}^* + \zeta_0^2 \mathbf{H} \cdot \mathbf{H}^*)$$

In the far zone, the two terms of the energy density are equal and, in a spherical shell  $r, r + dr$ , there is the following amount of energy:

$$dU = \frac{\mu_0 dr}{2k^2} \sum_{m, m'} a_E^*(l, m') a_E(l, m) \int_{(4\pi)} d\Omega \mathbf{X}_{lm'}^* \cdot \mathbf{X}_{lm}$$

and, due to the orthogonality,

$$\frac{dU}{dr} = \frac{\mu_0}{2k^2} \sum_m |a_E(l, m)|^2$$

which is independent of  $r$ . In the general case of electric and magnetic multipoles, the summation goes over both  $l$  and  $m$  and  $|a_E|^2 \rightarrow |a_E|^2 + |a_M|^2$ . In the spherical shell in the radiation zone, the total energy is thus the incoherent sum over all multipoles.

## 5 Angular dependence of multipole radiation

For an arbitrary localized source distribution, the fields in the radiation zone are obtained as a superposition

$$\mathbf{H} \rightarrow \frac{e^{ikr-i\omega t}}{kr} \sum_{lm} (-i)^{l+1} \left[ a_E(l, m) \mathbf{X}_{lm} + a_M(l, m) \mathbf{n} \times \mathbf{X}_{lm} \right]$$

$$\mathbf{E} \rightarrow \zeta_0 \mathbf{H} \times \mathbf{n}, \quad \mathbf{n} = \frac{\mathbf{r}}{r}$$

where the coefficients  $a_E(l, m)$  and  $a_M(l, m)$  are connected to the properties of the source. The time-averaged power as per solid angle is

$$\frac{dP}{d\Omega} = \frac{\zeta_0}{2k^2} \left| \sum_{l,m} (-i)^{l+1} \left[ a_E(l, m) \mathbf{X}_{lm} \times \mathbf{n} + a_M(l, m) \mathbf{X}_{lm} \right] \right|^2$$

The dimension of the expression inside the  $||$  marks is the dimension of the magnetic field. The directions of the vectors determine the polarization of the radiation. The angular dependence of the electric and magnetic multipoles of order  $(l, m)$  coincide but the polarizations are perpendicular to one another. It then follows that the order of the multipoles can be determined from the angular dependence but the electric or magnetic nature can be determined only after a polarization measurement.

The angular dependence of a pure multipole of order  $(l, m)$  is

$$\frac{dP(l, m)}{d\Omega} = \frac{\zeta_0}{2k^2} |a(l, m)|^2 |\mathbf{X}_{lm}|^2$$

Based on the definition of  $\mathbf{X}_{lm}$  and the rules of calculus for  $L_+$  and  $L_-$ ,

$$\frac{dP(l, m)}{d\Omega} = \frac{\zeta_0 |a(l, m)|^2}{2k^2 l(l+1)} \left[ \frac{1}{2} (l-m)(l+m+1) |Y_{l,m+1}|^2 + \frac{1}{2} (l+m)(l-m+1) |Y_{l,m-1}|^2 + m^2 |Y_{lm}|^2 \right]$$

Examples of angular dependences  $|\mathbf{X}_{lm}(\theta, \varphi)|^2$  follow:

Dipole: (dipole vibrating in the direction of the  $z$ -axis)

$$l = 1, m = 0 \quad \frac{3}{8\pi} \sin^2 \theta$$

(dipoles vibrating along the  $x$ - and  $y$ -axes with a phase difference  $\frac{\pi}{2}$ )

$$l = 1, m = \pm 1 \quad \frac{3}{16\pi} (1 + \cos^2 \theta)$$

Quadrupole:

$$l = 2, m = 0 \quad \frac{15}{8\pi} \sin^2 \theta \cos^2 \theta$$

$$l = 2, m = \pm 1 \quad \frac{5}{16\pi} (1 - 3 \cos^2 \theta + 4 \cos^4 \theta)$$

$$l = 2, m = \pm 2 \quad \frac{5}{16\pi} (1 - \cos^4 \theta)$$

With the help of the addition rule for spherical harmonics, one can show that

$$\sum_{m=-l}^l |\mathbf{X}_{lm}(\theta, \varphi)|^2 = \frac{2l+1}{4\pi}$$

so that the vector spherical harmonics have their own addition rule. This implies that the angular dependence of radiation is isotropic when the source is composed of incoherently radiating multipoles of order  $l$  with coefficients  $a(l, m)$  independent of  $m$ .

The total power radiated by a pure multipole can be obtained via integration and, due to the orthonormality,

$$P(l, m) = \frac{\zeta_0}{2k^2} |a(l, m)|^2$$

For a general source, the angular dependence follows from the coherent that has been shown above. When computing the total power, due to the orthogonality, the interference terms do not contribute, and the total power is the incoherent sum of the contributions from the different multipoles:

$$P = \frac{\zeta_0}{2k^2} \sum_{l,m} \left[ |a_E(l, m)|^2 + |a_M(l, m)|^2 \right]$$

## 6 Vector spherical harmonics expansion for a plane wave

In the scattering and absorption problem for localized objects, we need the vector spherical-harmonics expansion of the electromagnetic plane wave.

Let us first derive the spherical-harmonics expansion of the scalar plane wave using the Green's function  $e^{ikR}/4\pi R$ :

$$\frac{e^{ik|\mathbf{x}-\mathbf{x}'|}}{4\pi|\mathbf{x}-\mathbf{x}'|} = ik \sum_{l=0}^{\infty} j_l(kr_{<}) h_l^{(1)}(kr_{>}) \sum_{m=-l}^l Y_{lm}^*(\theta', \varphi') Y_{lm}(\theta, \varphi)$$

In the limit  $|\mathbf{x}'| \rightarrow \infty$  pãtee  $|\mathbf{x}-\mathbf{x}'| \approx r' - \frac{\mathbf{x}'}{r'} \cdot \mathbf{x}$  ja  $r_{>} = r'$ ,  $r_{<} = r$  and  $h_l^{(1)}(kr_{>}) \approx (-i)^{l+1} \frac{e^{ikr_{>}}}{kr_{>}}$ . Then,

$$\frac{e^{ikr'}}{4\pi r'} e^{-ik \frac{\mathbf{x}'}{r'} \cdot \mathbf{x}} = ik \frac{e^{ikr'}}{kr'} \sum_{lm} (-i)^{l+1} j_l(kr) Y_{lm}^*(\theta', \varphi') Y_{lm}(\theta, \varphi)$$

After reorganizing the terms and taking the complex conjugate,

$$e^{i\mathbf{k} \cdot \mathbf{x}} = 4\pi \sum_{l=0}^{\infty} i^l j_l(kr) \sum_{m=-l}^l Y_{lm}^*(\theta', \varphi') Y_{lm}(\theta, \varphi)$$

where  $\mathbf{k}$  id the wave vector  $k, \theta', \varphi'$ .



According to the addition rule for the spherical harmonics,

$$P_l(\cos \gamma) = \frac{4\pi}{2l+1} \sum_{m=-l}^l Y_{lm}^*(\theta', \varphi') Y_{lm}(\theta, \varphi) \quad (43)$$

where  $\gamma$  is the great-circle angle between  $(\theta, \varphi)$  and  $(\theta', \varphi')$ . With the help of the addition rule,

$$e^{i\mathbf{k}\cdot\mathbf{x}} = \sum_{l=0}^{\infty} i^l (2l+1) j_l(kr) P_l(\cos \gamma)$$

where  $\gamma$  is now the angle between  $\mathbf{k}$  and  $\mathbf{x}$ . Moreover,

$$e^{i\mathbf{k}\cdot\mathbf{x}} = \sum_{l=0}^{\infty} i^l \sqrt{4\pi(2l+1)} j_l(kr) Y_{l0}(\gamma)$$

In what follows, we develop the corresponding expansion for a circularly polarized vector plane wave

$$\begin{aligned} \mathbf{E}(\mathbf{x}) &= (\hat{\epsilon}_1 \pm i\hat{\epsilon}_2) e^{ikz} \\ c\mathbf{B}(\mathbf{x}) &= \hat{\epsilon}_3 \times \mathbf{E} = \mp i\mathbf{E}(\mathbf{x}), \end{aligned}$$

where  $\hat{\epsilon}_3 = \hat{e}_z$ . Since the plane wave is finite everywhere, we write its multipole expansion using the regular radial functions  $j_l(kr)$ :

$$\begin{aligned} \mathbf{E}(\mathbf{x}) &= \sum_{lm} \left[ a_{\pm}(l, m) j_l(kr) \mathbf{X}_{lm} + \frac{i}{k} b_{\pm}(l, m) \nabla \times j_l(kr) \mathbf{X}_{lm} \right], \\ c\mathbf{B}(\mathbf{x}) &= \sum_{lm} \left[ \frac{-i}{k} a_{\pm}(l, m) \nabla \times j_l(kr) \mathbf{X}_{lm} + b_{\pm}(l, m) j_l(kr) \mathbf{X}_{lm} \right]. \end{aligned}$$

When deriving the coefficients  $a_{\pm}(l, m)$  and  $b_{\pm}(l, m)$ , we make use of the orthogonality properties of the vector spherical-harmonics functions  $\mathbf{X}_{lm}$  that we summarize in the following:

$$\int_{4\pi} d\Omega [f_l(r) \mathbf{X}_{l'm'}]^* \cdot [g_l(r) \mathbf{X}_{lm}] = f_l^* g_l \delta_{l'l'} \delta_{m'm'},$$

$$\int_{4\pi} d\Omega [f_l(r) \mathbf{X}_{l'm'}]^* \cdot [\nabla \times g_l(r) \mathbf{X}_{lm}] = 0,$$

$$\frac{1}{k^2} \int_{4\pi} d\Omega [\nabla \times f_l(r) \mathbf{X}_{l'm'}]^* \cdot [\nabla \times g_l(r) \mathbf{X}_{lm}] = \delta_{l'l'} \delta_{m'm'} \left\{ f_l^* g_l + \frac{1}{k^2 r^2} \frac{d}{dr} \left[ r f_l^* \frac{d}{dr} (r g_l) \right] \right\}.$$

Above,  $f_l(r)$  and  $g_l(r)$  are, again, linear combinations of spherical Bessel, Neumann, and Hankel functions. The second and third relation follow from the results

$$i \nabla \times \mathbf{L} = \mathbf{r} \nabla^2 - \nabla \left( 1 + r \frac{\partial}{\partial r} \right),$$

$$\nabla = \frac{\mathbf{r}}{r} \frac{\partial}{\partial r} - \frac{i}{r^2} \mathbf{r} \times \mathbf{L},$$

$$\left[ \frac{d^2}{dr^2} + \frac{2}{r} \frac{d}{dr} + k^2 - \frac{l(l+1)}{r^2} \right] f_l(r) = 0,$$

and the proof is left for an exercise.

The coefficients  $a_{\pm}(l, m)$  and  $b_{\pm}(l, m)$  are determined via the scalar product between  $\mathbf{X}_{lm}^*$  and the multipole expansions of the fields and the integration over the angular variables:

$$a_{\pm}(l, m)j_l(kr) = \int_{(4\pi)} d\Omega \mathbf{X}_{lm}^* \cdot \mathbf{E}(\mathbf{x}),$$

$$b_{\pm}(l, m)j_l(kr) = \int_{(4\pi)} d\Omega \mathbf{X}_{lm}^* \cdot \mathbf{B}(\mathbf{x}).$$

Explicitly,

$$a_{\pm}(l, m)j_l(kr) = \int_{(4\pi)} d\Omega (\hat{\epsilon}_1 \pm i\hat{\epsilon}_2) \cdot \frac{\mathbf{L}^* Y_{lm}^*}{\sqrt{l(l+1)}} e^{ikz} = \int_{(4\pi)} d\Omega \frac{(L_{\mp} Y_{lm})^*}{\sqrt{l(l+1)}} e^{ikz}$$

where the operators  $L_{\mp}$  have been defined earlier. Here we recognize the strength of these operators together with the analyses based on circular polarization, as it follows, in a straightforward way, that

$$a_{\pm}(l, m)j_l(kr) = \frac{\sqrt{(l \pm m)(l \mp m + 1)}}{\sqrt{l(l+1)}} \int_{(4\pi)} d\Omega Y_{l, m \mp 1} e^{ikz}$$

and, by incorporating the expansion for  $e^{ikz}$ ,

$$\begin{aligned} a_{\pm}(l, m) &= i^l \sqrt{4\pi(2l+1)} \delta_{m, \pm 1} \\ b_{\pm}(l, m) &= \mp i a_{\pm}(l, m) \end{aligned}$$

The multipole expansion of the circularly polarized vector plane wave is thus

$$\mathbf{E}(\mathbf{x}) = \sum_{l=1}^{\infty} i^l \sqrt{4\pi(2l+1)} \left[ j_l(kr) \mathbf{X}_{l,\pm 1} \pm \frac{1}{k} \nabla \times j_l(kr) \mathbf{X}_{l,\pm 1} \right],$$

$$c\mathbf{B}(\mathbf{x}) = \sum_{l=1}^{\infty} i^l \sqrt{4\pi(2l+1)} \left[ -\frac{1}{k} \nabla \times j_l(kr) \mathbf{X}_{l,\pm 1} \mp i j_l(kr) \mathbf{X}_{l,\pm 1} \right].$$

The expansions for plane waves linearly polarized in the directions of the vectors  $\hat{\epsilon}_1$  and  $\hat{\epsilon}_2$  can be obtained from the previous results,

$$\hat{\epsilon}_1 e^{ikz} = \sum_{l=1}^{\infty} i^l \sqrt{\pi(2l+1)} \left[ j_l(kr) [\mathbf{X}_{l,1} + \mathbf{X}_{l,-1}] + \frac{1}{k} \nabla \times j_l(kr) [\mathbf{X}_{l,1} - \mathbf{X}_{l,-1}] \right],$$

$$\hat{\epsilon}_2 e^{ikz} = \sum_{l=1}^{\infty} i^{l-1} \sqrt{\pi(2l+1)} \left[ j_l(kr) [\mathbf{X}_{l,1} - \mathbf{X}_{l,-1}] + \frac{1}{k} \nabla \times j_l(kr) [\mathbf{X}_{l,1} + \mathbf{X}_{l,-1}] \right].$$

## 7 Scattering by a spherical particle

Outside the spherical particle, the electromagnetic field is a superposition of the original incident field and the scattered field:

$$\begin{aligned}\mathbf{E}(\mathbf{x}) &= \mathbf{E}_i(\mathbf{x}) + \mathbf{E}_s(\mathbf{x}), \\ \mathbf{B}(\mathbf{x}) &= \mathbf{B}_i(\mathbf{x}) + \mathbf{B}_s(\mathbf{x}).\end{aligned}$$

where the plane-wave fields  $\mathbf{E}_i, \mathbf{B}_i$  have been given earlier. Since the scattered fields are, asymptotically at the infinity, outgoing waves, they must be of the form

$$\begin{aligned}\mathbf{E}_s &= \frac{1}{2} \sum_{l=1}^{\infty} i^l \sqrt{4\pi(2l+1)} \left[ \alpha_{\pm}(l) h_l^{(1)}(kr) \mathbf{X}_{l,\pm 1} \pm \frac{\beta_{\pm}(l)}{k} \nabla \times h_l^{(1)}(kr) \mathbf{X}_{l,\pm 1} \right], \\ c\mathbf{B}_s &= \frac{1}{2} \sum_{l=1}^{\infty} i^l \sqrt{4\pi(2l+1)} \left[ \frac{-i\alpha_{\pm}(l)}{k} \nabla \times h_l^{(1)}(kr) \mathbf{X}_{l,\pm 1} \mp i\beta_{\pm}(l) h_l^{(1)}(kr) \mathbf{X}_{l,\pm 1} \right],\end{aligned}$$

where the coefficients  $\alpha_{\pm}(l)$  and  $\beta_{\pm}(l)$  are determined from the boundary conditions on the surface of the particle. Generally, the expansions include a summation over the order  $m$  but, in the case of the spherical symmetry, only the multipoles  $m = \pm 1$  contribute to the expansion.

With the help of the coefficients  $\alpha(l)$  and  $\beta(l)$ , we obtain the total scattered and absorbed power. The scattered power follows from the integration of the outward directed component of the scattered-field Poynting vector over the spherical surface. The absorbed power follows from the integration of the inward directed Poynting-vector component of the total field. By reorganizing triple scalar products, we obtain

$$P_s = -\frac{a^2}{2\mu_0} \Re \int_{(4\pi)} d\Omega \mathbf{E}_s \cdot (\mathbf{n} \times \mathbf{B}_s)$$

$$P_a = \frac{a^2}{2\mu_0} \Re \int_{(4\pi)} d\Omega \mathbf{E} \cdot (\mathbf{n} \times \mathbf{B})$$

where  $\mathbf{n} = \hat{e}_r$ . Only the transverse field components contribute to the values of the integrals.

Explicitly,

$$\mathbf{X}_{lm}(\theta, \varphi) = \frac{-m}{\sqrt{l(l+1)} \sin \theta} \left[ \hat{e}_\theta Y_{lm}(\theta, \varphi) - i\hat{e}_\varphi \left[ \sqrt{\frac{(l^2 - m^2)}{(2l-1)(2l+1)}} Y_{l-1,m}(\theta, \varphi) + \sqrt{\frac{(l+1)^2 - m^2}{(2l+1)(2l+3)}} Y_{l+1,m}(\theta, \varphi) \right] \right],$$

$$\frac{1}{k} \nabla \times Z_l(kr) \mathbf{X}_{lm}(\theta, \varphi) = \frac{i\hat{e}_r \sqrt{l(l+1)}}{kr} Z_l(kr) Y_{lm}(\theta, \varphi) + \frac{1}{kr} \frac{d}{d(kr)} [kr Z_l(kr)] \hat{e}_r \times \mathbf{X}_{lm}(\theta, \varphi), \quad (44)$$

where we see that  $\mathbf{X}_{lm}$  is transverse and that, in the latter term, the transverse component is proportional to  $\hat{e}_r \times \mathbf{X}_{lm}$ . Upon inserting the multipole expansions of the fields into the expressions for the power, we obtain a double summation over  $l$  and  $l'$  of relations that are of the form  $\mathbf{X}_{lm}^* \cdot \mathbf{X}_{l'm'}$ ,  $\mathbf{X}_{lm}^* \cdot (\hat{e}_r \times \mathbf{X}_{l'm'})$ ,  $(\hat{e}_r \times \mathbf{X}_{lm}) \cdot (\hat{e}_r \times \mathbf{X}_{l'm'})$ . Integration over the angles removes the other summation. Each remaining term in the sum contains a product of spherical Bessel's functions and/or their derivatives—these products can be eliminated with the help of the Wronskian determinants. The cross sections of scattering and absorption are finally (exercise)

$$\begin{aligned}\sigma_s &= \frac{\pi}{2k^2} \sum_l (2l+1) [|\alpha(l)|^2 + |\beta(l)|^2], \\ \sigma_a &= \frac{\pi}{2k^2} \sum_l (2l+1) [2 - |\alpha(l) + 1|^2 - |\beta(l) + 1|^2],\end{aligned}$$

and the extinction cross section is the sum of the two above,

$$\sigma_t = -\frac{\pi}{k^2} \sum_l (2l+1) \Re[\alpha(l) + \beta(l)]$$

The differential scattering cross section is

$$\frac{d\sigma_s}{d\Omega} = \frac{\pi}{2k^2} \left| \sum_l \sqrt{2l+1} [\alpha_{\pm}(l) \mathbf{X}_{l,\pm 1} \pm i\beta_{\pm}(l) \hat{e}_r \times \mathbf{X}_{l,\pm 1}] \right|^2,$$

for the original polarization state  $\hat{e}_1 \pm i\hat{e}_2$ . Thereby, scattered radiation is in general elliptically polarized.

Let us study the solution for the coefficients  $\alpha(l)$  and  $\beta(l)$  based on the boundary conditions and start by defining the fields. The original plane-wave field is  $\mathbf{E}_i = (\hat{\mathbf{e}}_1 \pm i\hat{\mathbf{e}}_2)e^{ikz}$ :

$$\begin{aligned}\mathbf{E}_i(\mathbf{x}) &= \sum_{l=1}^{\infty} i^l \sqrt{4\pi(2l+1)} \left[ j_l(kr) \mathbf{X}_{l,\pm 1} \pm \frac{1}{k} \nabla \times j_l(kr) \mathbf{X}_{l,\pm 1} \right], \\ \mathbf{H}_i(\mathbf{x}) &= \frac{1}{\mu_0} \sum_{l=1}^{\infty} i^l \sqrt{4\pi(2l+1)} \left[ \frac{-i}{k} \nabla \times j_l(kr) \mathbf{X}_{l,\pm 1} \mp i j_l(kr) \mathbf{X}_{l,\pm 1} \right].\end{aligned}$$

The scattered field takes the form

$$\begin{aligned}\mathbf{E}_s(\mathbf{x}) &= \frac{1}{2} \sum_{l=1}^{\infty} i^l \sqrt{4\pi(2l+1)} \left[ \alpha_{\pm}(l) h_l^{(1)}(kr) \mathbf{X}_{l,\pm 1} \pm \frac{\beta_{\pm}(l)}{k} \nabla \times h_l^{(1)}(kr) \mathbf{X}_{l,\pm 1} \right], \\ \mathbf{H}_s(\mathbf{x}) &= \frac{1}{2\mu_0 c} \sum_{l=1}^{\infty} i^l \sqrt{4\pi(2l+1)} \left[ \frac{-i\alpha_{\pm}(l)}{k} \nabla \times h_l^{(1)}(kr) \mathbf{X}_{l,\pm 1} \mp i\beta_{\pm}(l) h_l^{(1)}(kr) \mathbf{X}_{l,\pm 1} \right].\end{aligned}$$

The internal field is

$$\begin{aligned}\mathbf{E}_t(\mathbf{x}) &= \frac{1}{2} \sum_{l=1}^{\infty} i^l \sqrt{4\pi(2l+1)} \left[ \eta_{\pm}(l) j_l(k_t r) \mathbf{X}_{l,\pm 1} \pm \frac{\zeta_{\pm}(l)}{k_t} \nabla \times j_l(k_t r) \mathbf{X}_{l,\pm 1} \right], \\ \mathbf{H}_t(\mathbf{x}) &= \frac{1}{2\mu c} \sum_{l=1}^{\infty} i^l \sqrt{4\pi(2l+1)} \left[ \frac{-i\eta_{\pm}(l)}{k_t} \nabla \times j_l(k_t r) \mathbf{X}_{l,\pm 1} \mp i\zeta_{\pm}(l) j_l(k_t r) \mathbf{X}_{l,\pm 1} \right].\end{aligned}$$



The boundary conditions on the surface of the spherical particle (radius  $a$ ) are

$$\begin{aligned}\hat{e}_r \times [\mathbf{E}_i + \mathbf{E}_s - \mathbf{E}_t]_{r=a} &= 0, \\ \hat{e}_r \times [\mathbf{H}_i + \mathbf{H}_s - \mathbf{H}_t]_{r=a} &= 0.\end{aligned}$$

As found earlier,

$$\hat{e}_r \times \left[ \frac{1}{k} \nabla \times Z_l(kr) \mathbf{X}_{lm}(\theta, \varphi) \right] = -\frac{1}{kr} [kr Z_l(kr)]' \mathbf{X}_{lm}(\theta, \varphi),$$

so that, due to the orthogonality of the functions  $\mathbf{X}_{lm}$  and  $\hat{e}_r \times \mathbf{X}_{lm}$ , the boundary conditions simplify into the form,

$$\begin{aligned}j_l(ka) + \frac{1}{2} \alpha_{\pm}(l) h_l^{(1)}(ka) - \frac{1}{2} \eta_{\pm}(l) j_l(k_t a) &= 0, \\ \pm \frac{1}{ka} [ka j_l(ka)]' \mp \frac{1}{2} \beta_{\pm}(l) \cdot \frac{1}{ka} [ka h_l^{(1)}(ka)]' \mp \zeta_{\pm}(l) \frac{1}{k_t a} [k_t a j_l(k_t a)]' &= 0, \\ \frac{1}{ka} [ka j_l(ka)]' + \frac{1}{2} \alpha_{\pm}(l) \frac{1}{ka} [ka h_l^{(1)}(ka)]' - \frac{\mu_0}{2\mu} \cdot \eta_{\pm}(l) \frac{1}{k_t a} [k_t a j_l(k_t a)]' &= 0, \\ \mp j_l(ka) \mp \frac{1}{2} \beta_{\pm}(l) h_l^{(1)}(ka) \pm \frac{1}{2} \frac{\mu_0}{\mu} \zeta_{\pm}(l) j_l(k_t a) &= 0.\end{aligned}$$

With the help of the Riccati-Bessel functions and by writing  $x = ka$ ,  $k_l a = mx$ , we obtain

$$\begin{aligned}\psi_l(x) + \frac{1}{2}\alpha_{\pm}(l)\xi_l(x) - \frac{1}{2}\eta_{\pm}(l)\frac{1}{m}\psi_l(mx) &= 0, \\ \mp\psi'_l(x) \mp \frac{1}{2}\beta_{\pm}(l)\xi'_l(x) \mp \frac{1}{2}\zeta_{\pm}(l)\frac{1}{m}\psi'_l(mx) &= 0, \\ \psi'_l(x) + \frac{1}{2}\alpha_{\pm}(l)\xi'_l(x) - \frac{\mu_0}{2\mu}\eta_{\pm}(l)\frac{1}{m}\psi'_l(mx) &= 0, \\ \mp\psi_l(x) \mp \frac{1}{2}\beta_{\pm}(l)\xi_l(x) \pm \frac{\mu_0}{2\mu}\zeta_{\pm}(l)\frac{1}{m}\psi_l(mx) &= 0,\end{aligned}$$

that leads to

$$\begin{aligned}\alpha_{\pm}(l) &= -2\frac{\frac{\mu_0}{\mu}\psi_l(x)\psi'_l(mx) - \psi'_l(x)\psi_l(mx)}{\frac{\mu_0}{\mu}\xi_l(x)\psi'_l(mx) - \xi'_l(x)\psi_l(mx)}, \\ \beta_{\pm}(l) &= -2\frac{\mp\frac{\mu_0}{\mu}\psi'_l(x)\psi_l(mx) \mp \psi_l(x)\psi'_l(mx)}{\mp\frac{\mu_0}{\mu}\xi'_l(x)\psi_l(mx) \mp \xi_l(x)\psi'_l(mx)}, \\ \eta_{\pm}(l) &= 2\frac{\psi_l(x)\xi'_l(x) - \psi'_l(x)\xi_l(x)}{\frac{1}{m}\psi_l(mx)\xi'_l(x) + \frac{1}{m}\frac{\mu_0}{\mu}\psi'_l(mx)\xi_l(x)}, \\ \zeta_{\pm}(l) &= 2\frac{\mp\psi'_l(x)\xi_l(x) \mp m\psi_l(x)\xi'_l(x)}{\frac{1}{m}\psi'_l(mx)\xi_l(x) \mp \frac{1}{m}\frac{\mu_0}{\mu}\psi_l(mx)\xi'_l(x)}.\end{aligned}$$

We have solved for the scattered field completely for two circular polarization states of the original field  $\epsilon_1 \pm i\epsilon_2$ . We thus know the elements  $S_j^{(c)}$  ( $j=1,2,3,4$ ) of the amplitude scattering matrix that relates the original field to the scattered field in the circular-polarization representation.

$$\begin{pmatrix} E_{s-} \\ E_{s+} \end{pmatrix} = \frac{e^{ik(r-z)}}{-ikr} \begin{pmatrix} S_2^{(c)} & S_3^{(c)} \\ S_4^{(c)} & S_1^{(c)} \end{pmatrix} \begin{pmatrix} E_{i-} \\ E_{i+} \end{pmatrix} \quad (45)$$

The amplitude scattering matrix elements of the circular-polarization representation relate linearly to the commonly used ones of the linear-polarization representation.

$$\begin{pmatrix} S_1^{(c)} \\ S_2^{(c)} \\ S_3^{(c)} \\ S_4^{(c)} \end{pmatrix} = \frac{1}{2} \begin{pmatrix} 1 & 1 & i & -i \\ 1 & 1 & -i & i \\ -1 & 1 & i & i \\ -1 & 1 & -i & -i \end{pmatrix} \begin{pmatrix} S_1 \\ S_2 \\ S_3 \\ S_4 \end{pmatrix}, \quad (46)$$

where, in the case of Lorenz-Mie scattering ( $S_3 = S_4 = 0$ ),

$$\left. \begin{aligned} S_1^{(c)} &= (S_1 + S_2)/2 \\ S_2^{(c)} &= (S_1 + S_2)/2 = S_1^{(c)} \\ S_3^{(c)} &= (-S_1 + S_2)/2 \\ S_4^{(c)} &= S_3^{(c)} \end{aligned} \right\} \Rightarrow \begin{cases} S_1 = S_1^{(c)} - S_3^{(c)} \\ S_2 = S_1^{(c)} + S_3^{(c)} \end{cases} \quad (47)$$

The complete scattering matrix follows now from the elements  $S_1, S_2$  in a standard manner. The elements  $S_1^{(c)}, S_2^{(c)}, S_3^{(c)}, S_4^{(c)}$  follow from the far-zone expressions for the scattered fields, for which the vector spherical harmonics expansions reduce to the form utilized earlier in the expression for the differential scattering cross section. A more detailed assessment is left for an exercise.