

8. The Functor π_1

Top_* : the category of pointed spaces (X, x_0)
morphisms: continuous functions $f: X \rightarrow Y$,
 $f(x_0) = y_0$, where x_0, y_0 are the
basepoints of X and Y , respec-
tively.

S^1 : basepoint 1

I : basepoint 0

Theorem 8.1. $\pi_1: \text{Top}_* \rightarrow \text{Groups}$ is a functor.
If $h, k: (X, x_0) \rightarrow (Y, y_0)$ and
 $h \simeq k \text{ rel } \{x_0\}$, then $\pi_1(h) = \pi_1(k)$.

proof. Define

$$\pi_1(h): \pi_1(X, x_0) \rightarrow \pi_1(Y, y_0), [f] \mapsto [h \circ f].$$

Since $h \circ f: I \rightarrow Y$ is continuous and $(h \circ f)(0) = h(f(0)) = h(x_0) = y_0 = h(f(1)) = (h \circ f)(1)$, it follows that $[h \circ f] \in \pi_1(Y, y_0)$.

If $f \simeq f' \text{ rel } I$, then Exercise 4.1 $\Rightarrow h \circ f \simeq h \circ f' \text{ rel } I$.
Thus $\pi_1(h)$ is well defined.

Let f, g be closed paths in X at x_0 , then

$$h \circ (f * g) = (h \circ f) * (h \circ g).$$

$\Rightarrow \pi_1(h)$ is a homomorphism.

Let $\text{id}_X: X \rightarrow X$ be the identity. Then $\pi_1(\text{id}_X): \pi_1(X, x_0) \rightarrow \pi_1(X, x_0)$
is the identity. Also, for $h: (X, x_0) \rightarrow (Y, y_0)$ and
 $k: (Y, y_0) \rightarrow (Z, z_0)$, $\pi_1(k \circ h) = \pi_1(k) \circ \pi_1(h)$.

$\therefore \pi_1$ is a functor

Let f be a closed path in X at x_0 . Let $h \simeq k \text{ rel } \{x_0\}$.
 Then Ex. 4.1 $\Rightarrow h \circ f \simeq k \circ f \text{ rel } I$.
 $\Rightarrow [h \circ f] = [k \circ f]$.

$$\therefore \pi_1(h) = \pi_1(k).$$

□

Notation: Write h_* for $\pi_1(h)$, h_* is the map induced by h .

Pointed homotopy category $h\text{Top}_*$:

Congruence of relative homotopy: $f_0 \simeq f_1 \text{ rel } \{x_0\}$
 for $f_0, f_1: (X, x_0) \rightarrow (Y, y_0)$.

Thus: Objects of $h\text{Top}_*$: pointed space (X, x_0)

Morphisms $(X, x_0) \rightarrow (Y, y_0)$: relative homotopy classes $[f]$, where $f: (X, x_0) \rightarrow (Y, y_0)$ is a pointed map.

Composition: $[h \circ f] = [h][f]$, for h, f s.t. composing in Top_* is possible.

Theorem 8.2. Let $x_0 \in X$ and let X_0 be the path component of X containing x_0 .

Then

$$\pi_1(X, x_0) \cong \pi_1(X_0, x_0).$$

proof. Let $i: (X_0, x_0) \rightarrow (X, x_0)$ be the inclusion.

Then i induces the homomorphism

$$i_*: \pi_1(X_0, x_0) \rightarrow \pi_1(X, x_0). \text{ Assume } [f] \in \text{ker } i_*.$$

Then $i \circ f \simeq C \text{ rel } I$, where $C: I \rightarrow X$, $t \mapsto x_0$, is the constant path at x_0 .

$i \circ f$ is nullhomotopic in X_0 : Let $F: i \circ f \simeq C \text{ rel } I$.

Then $F(0,0) = (i \circ f)(0) = f(0) = x_0 \in X_0$.
 $I \times I$ path conn. $\Rightarrow F(I \times I)$ path conn. } $\Rightarrow F(I \times I) \subset X_0$.

$\therefore F$ is injective

i_* is surjective: let $\gamma: I \rightarrow X$ be a closed path at x_0 . Then $\gamma(I) \subset X_0$. Let $\gamma': I \rightarrow X_0$, $\gamma'(t) = \gamma(t) \quad \forall t \in I$. Then $i_* \gamma' = \gamma$.
 $\Rightarrow i_* [\gamma'] = [i_* \gamma'] = [\gamma]$.

$\therefore i_*$ is surjective \square

Theorem 8.3. let X be pathconnected and let $x_0, x_1 \in X$. Then

$$\pi_1(X, x_0) \cong \pi_1(X, x_1).$$

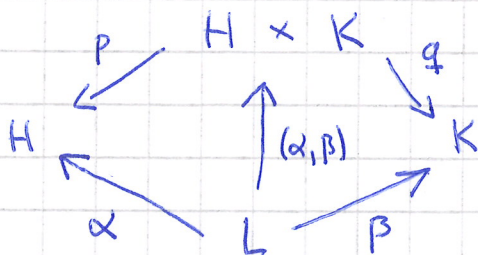
proof. Since X is pathconnected, there is a path γ in X from x_0 to x_1 . Let

$$\varphi: \pi_1(X, x_0) \rightarrow \pi_1(X, x_1), [\gamma] \mapsto [\gamma^{-1}][\gamma][\gamma],$$

(multiplication in the groupoid of X).

Theorem 7.9 $\Rightarrow \varphi$ is an isomorphism, the inverse of φ is given by $[\gamma] \mapsto [\gamma][\gamma][\gamma^{-1}]$. \square

Notation: let H, K, L be sets, $\alpha: L \rightarrow H$ and $\beta: L \rightarrow K$ be functions. Then there are projections $p: H \times K \rightarrow H$, $(h, k) \mapsto h$, and $q: H \times K \rightarrow K$, $(h, k) \mapsto k$. There also is a function $(\alpha, \beta): L \rightarrow H \times K$, $x \mapsto (\alpha(x), \beta(x))$.



H, K, L groups
 α, β homomorphisms
 $\Rightarrow (\alpha, \beta)$ homomorphism

Theorem 8.4. Let (X, x_0) and (Y, y_0) be pointed spaces. Then

$$\pi_1(X \times Y, (x_0, y_0)) \cong \pi_1(X, x_0) \times \pi_1(Y, y_0).$$

proof. Let $p: (X \times Y, (x_0, y_0)) \rightarrow (X, x_0)$ and $q: (X \times Y, (x_0, y_0)) \rightarrow (Y, y_0)$ be projections. Then $(p, q): (X \times Y, (x_0, y_0)) \rightarrow (X, x_0) \times (Y, y_0)$ induces

$$(p_*, q_*) : \pi_1(X \times Y, (x_0, y_0)) \rightarrow \pi_1(X, x_0) \times \pi_1(Y, y_0),$$

$$[d] \mapsto (p_*[d], q_*[d]) = ([p \circ d], [q \circ d]),$$

where d is a closed path at (x_0, y_0) . Then (p_*, q_*) is a homomorphism. We show that it is an isomorphism by finding its inverse.

Let $g: I \rightarrow X$ be a closed path in X at x_0 and let $h: I \rightarrow Y$ be a closed path in Y at y_0 . Let

$$\Theta : \pi_1(X, x_0) \times \pi_1(Y, y_0) \rightarrow \pi_1(X \times Y, (x_0, y_0)),$$

$$([g], [h]) \mapsto [(g, h)],$$

where $(g, h): I \rightarrow X \times Y, t \mapsto (g(t), h(t))$. Exercise 4.5 $\Rightarrow \Theta$ is well defined. Then

$$[d] \xrightarrow{(p_*, q_*)} ([p \circ d], [q \circ d]) \xrightarrow{\Theta} [\underbrace{(p \circ d, q \circ d)}_d] = [d]$$

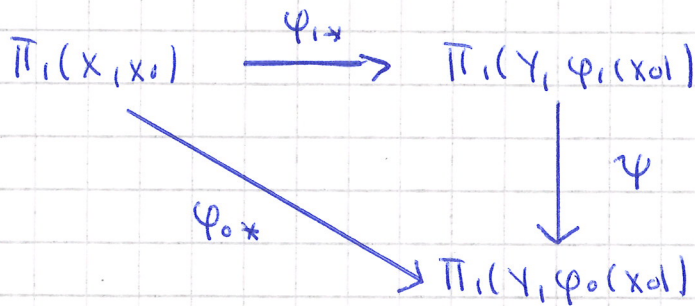
and

$$([g], [h]) \xrightarrow{\Theta} [(g, h)] \xrightarrow{(p_*, q_*)} [\underbrace{(p \circ (g, h))}_g, \underbrace{(q \circ (g, h))}_h] = ([g], [h]).$$

Thus Θ is the inverse of (p_*, q_*) . \square

Lemma 8.5.

Let $\varphi_0, \varphi_1: X \rightarrow Y$ be continuous. Assume there is a (free) homotopy $F: \varphi_0 \approx \varphi_1$. Let $x_0 \in X$ and let λ be the path $F(x_0, \cdot)$ in Y from $\varphi_0(x_0)$ to $\varphi_1(x_0)$. Then there is a commutative diagram

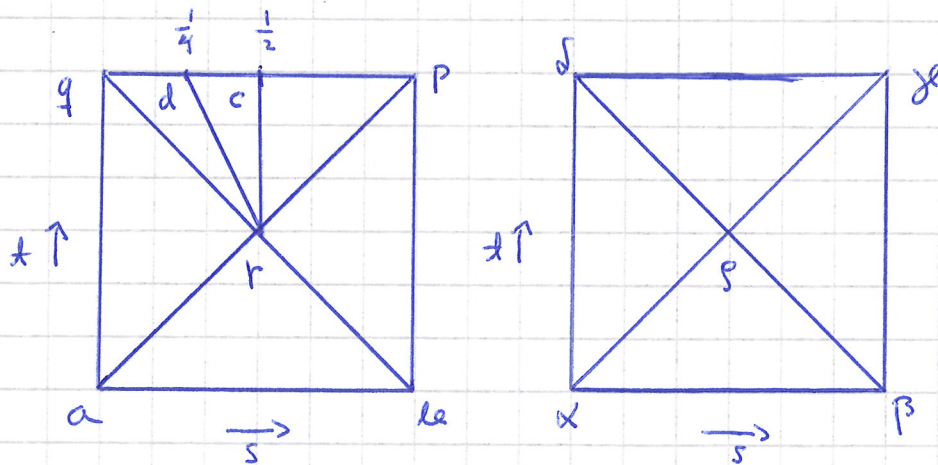


where ψ is the isomorphism $[g] \mapsto [\lambda * g * \lambda^{-1}]$.

proof. Let $\varphi: I \rightarrow X$ be a closed path at x_0 .
 Let

$$G: I \times I \rightarrow Y, (s, t) \mapsto F(\varphi(s), t).$$

Then $G: \varphi_0 \circ \varphi \approx \varphi_1 \circ \varphi$. Consider the following triangulations of $I \times I$:



Define a continuous map $H: I \times I \rightarrow I \times I$.
 First, define H on each triangle, then use the Gluing Lemma.
 On every triangle (= 2-simplex), H is an affine map.
 \Rightarrow it suffices to evaluate it on each vertex. Then the maps defined on overlaps agree automatically.

Define: $H(a) = H(q) = \alpha$
 $H(b) = H(p) = \beta$
 $H(c) = \gamma$
 $H(d) = \delta$
 $H(r) = \rho$.

Then: $[a, q]$ collapses to α
 $[b, p]$ collapses to β
 $[q, d]$ goes to $[\alpha, \delta]$
 $[d, c]$ goes to $[\delta, \gamma]$
 $[c, p]$ goes to $[\gamma, \beta]$

Define

$$J = G \circ H : I \times I \rightarrow Y,$$

$$(s, t) \mapsto G(H(s, t)).$$

$$t=0: J(s, 0) = G(H(s, 0)) = G(s, 0) = F(d(s), 0) = (\varphi_0 \circ d)(s)$$

$$t=1: J(s, 1) = G(H(s, 1)) = (\lambda * (\varphi_1 \circ d) * \lambda^{-1})(s)$$

$$s=0: J(0, t) = G(H(0, t)) = G(0, 0) = F(d(0), 0) = \varphi_0(d(0)) = \varphi_0(x_0)$$

$$s=1: J(1, t) = G(H(1, t)) = G(1, 0) = F(d(1), 0) = \varphi_0(d(1)) = \varphi_0(x_0)$$

Thus $J: \varphi_0 \circ d \approx \lambda * (\varphi_1 \circ d) * \lambda^{-1}$ rel \dot{I} .

$$\text{Now, } \varphi_0 * [d] = [\varphi_0 \circ d] = [\lambda * (\varphi_1 \circ d) * \lambda^{-1}].$$

$$\text{Also, } (\psi \circ \varphi_1)_* [d] = \psi(\varphi_{1*}[d]) = \psi([\varphi_1 \circ d]) = [\lambda * (\varphi_1 \circ d) * \lambda^{-1}].$$

It follows that $\varphi_0 * = \psi \circ \varphi_{1*}$. \square

Consequence: Freely homotopic maps φ_0 and φ_1 may not induce the same homomorphism between fundamental groups, they differ by the isomorphism ψ .

Corollary 8.6. Assume $\varphi_0, \varphi_1: (X, x_0) \rightarrow (Y, y_0)$ are freely homotopic. Then:

- 1) There is $[\lambda] \in \pi_1(Y, y_0)$ s.t. $\varphi_0 * [\lambda] = [\lambda] \varphi_1 * [\lambda]^{-1}$ for all $[\lambda] \in \pi_1(X, x_0)$. (φ_0* , φ_1* are conjugate)
- 2) If $\pi_1(Y, y_0)$ is abelian, then $\varphi_0* = \varphi_1*$.

proof. Part 1 follows immediately from Lemma 8.5: $\varphi_0(x_0) = y_0 = \varphi_1(x_0)$, λ is a closed path at y_0 .
 $\Rightarrow [\lambda] \in \pi_1(Y, y_0)$, and

$$[\lambda * (\varphi_1 \circ \lambda) * \lambda^{-1}] = [\lambda] [\varphi_1 \circ \lambda] [\lambda^{-1}] = [\lambda] \varphi_1 * [\lambda] [\lambda^{-1}].$$

$$2) \pi_1(Y, y_0) \text{ abelian} \Rightarrow \varphi_0 * [\lambda] = [\lambda] \varphi_1 * [\lambda] [\lambda^{-1}] = [\lambda] [\lambda^{-1}] \varphi_1 * [\lambda] = \varphi_1 * [\lambda].$$

Theorem 8.7. Let $\beta: X \rightarrow Y$ be a homotopy equivalence. Then $\beta_*: \pi_1(X, x_0) \rightarrow \pi_1(Y, \beta(x_0))$ is an isomorphism for every $x_0 \in X$.

proof. Since β is a homotopy equivalence, there is a continuous map $\alpha: Y \rightarrow X$ with $\alpha \circ \beta \simeq \text{id}_X$ and $\beta \circ \alpha \simeq \text{id}_Y$. Consider the following diagram:

$$\begin{array}{ccc}
 & \pi_1(Y, \beta(x_0)) & \\
 \beta_* \nearrow & & \searrow \alpha_* \\
 \pi_1(X, x_0) & \xrightarrow{(\alpha \circ \beta)_*} & \pi_1(X, \alpha(\beta(x_0))) \\
 & \searrow \text{id} & \swarrow \psi \\
 & \pi_1(X, x_0) &
 \end{array}$$

Lemma 8.5 \Rightarrow the lower triangle commutes

ψ isomorphism $\Rightarrow (\alpha \circ \beta)_*$ is an isomorphism.

Top triangle: π_1 is a functor $\Rightarrow (\alpha \circ \beta)_* = \alpha_* \circ \beta_*$
 \Rightarrow the top triangle commutes.

$(\alpha \circ \beta)_*$ (injection) \Rightarrow $\begin{cases} \alpha_* \text{ surjection} \\ \beta_* \text{ injection} \end{cases}$

$\beta \circ \alpha \cong 1_Y \Rightarrow$ similar diagram that shows α_* is injective, β_* is surjective.

Thus: β_* is an isomorphism. \square

Corollary 8.8. Let X and Y be path connected and assume they have the same homotopy type. Then, for every $x_0 \in X$ and for every $y_0 \in Y$,

$$\pi_1(X, x_0) \cong \pi_1(Y, y_0).$$

proof. Let $\beta: X \rightarrow Y$ be a homotopy equivalence.
 Theorem 8.7. $\Rightarrow \pi_1(X, x_0) \cong \pi_1(Y, \beta(x_0)).$

Theorem 8.3 \Rightarrow The isomorphism class of the fundamental groups of X and Y do not depend on the choice of basepoints. \square

Corollary 8.9. Let X be a contractible space and let $x_0 \in X$. Then

$$\pi_1(X, x_0) = \{1\}.$$

proof. The claim follows immediately from Corollary 8.8 and the fact that the fundamental group of a one-point space is trivial. \square

Definition 8.10 A topological space X is called simply connected, if it is path connected and $\pi_1(X, x_0) = \{1\}$ for every $x_0 \in X$.

Notice: Some authors do not require simply connected space to be path connected. They call a space simply connected if every path component of the space is simply connected in our sense.

Corollary 8.11. Assume $\beta: (X, x_0) \rightarrow (Y, y_0)$ is (freely) nullhomotopic. Then the induced homomorphism $\beta_*: \pi_1(X, x_0) \rightarrow \pi_1(Y, y_0)$ is trivial.

proof: Let $k: X \rightarrow Y, x \mapsto y_1$, be a constant map. Then

$$k_*: \pi_1(X, x_0) \rightarrow \pi_1(Y, y_0), [d] \mapsto [k \circ d],$$

is trivial ($k \circ d$ is a constant path at y_1).

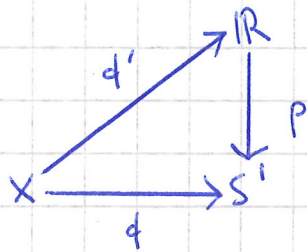
Assume $\beta: (X, x_0) \rightarrow (Y, y_0)$ is nullhomotopic, $\beta \simeq k$.

Lemma 8.5 \Rightarrow there is an isomorphism ψ , where

$$\begin{array}{ccc} \pi_1(X, x_0) & \xrightarrow{\beta_*} & \pi_1(Y, y_0) \\ & \searrow k_* & \downarrow \psi \\ & & \pi_1(Y, y_1) \end{array}$$

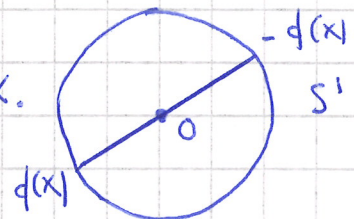
Then $\psi \beta_* = k_* \Rightarrow \beta_* = \psi^{-1} k_*$ is trivial. \square

proof.



Since X is compact and $d: X \rightarrow S^1$ is continuous, it follows that d is uniformly continuous. Then $\exists \delta > 0: \|x-x'\| < \delta \Rightarrow \|d(x) - d(x')\| < \epsilon$. Hence $d(x) \neq d(x')$ if $\|x-x'\| < \delta$.

X bounded $\Rightarrow \exists n \in \mathbb{N}: \frac{\|x\|}{n} < \delta \forall x \in X$.
Then



$$\left\| \frac{(j+1)x}{n} - \frac{jx}{n} \right\| = \frac{\|x\|}{n} < \delta \quad \forall x \in X, 0 \leq j < n.$$

X star-like at 0 $\Rightarrow \frac{jx}{n}, \frac{(j+1)x}{n} \in X \quad \forall x \in X \quad \forall j \in \{0, \dots, n-1\}$.

$$\Rightarrow \|d(\frac{j+1}{n}x) - d(\frac{j}{n}x)\| < \epsilon \quad \forall x \in X, 0 \leq j < n.$$

It follows that the function

$$g_j: X \rightarrow S^1 - \{1\}, x \mapsto \frac{d(\frac{j+1}{n}x)}{d(\frac{j}{n}x)},$$

is well defined and continuous, $0 \leq j < n$.

Write d as a product of functions:

$$d(x) = d(0) g_0(x) g_1(x) \cdots g_{n-1}(x).$$

Define $d': X \rightarrow \mathbb{R}$ by

$$d'(x) = \log(d(0)) + \log(g_0(x)) + \cdots + \log(g_{n-1}(x)).$$

Then d' is continuous, γ_t is a lift of d :

$$\begin{aligned} p(d'(x)) &= p(t_0 + \lg(g_0(x)) + \dots + \lg(g_{n-1}(x))) \\ &\stackrel{p \text{ homom.}}{=} p(t_0) p(\lg(g_0(x))) \dots p(\lg(g_{n-1}(x))) \\ &= \underbrace{p(t_0)}_{d'(0)} g_0(x) \dots g_{n-1}(x) = d(x). \end{aligned}$$

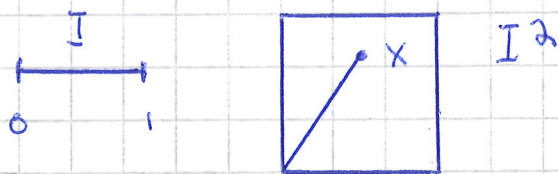
$$\begin{aligned} \text{Also: } d'(0) &= t_0 + \lg(\underbrace{g_0(0)}_{=1}) + \dots + \lg(\underbrace{g_{n-1}(0)}_{=1}) \\ &= t_0 + \lg(1) + \dots + \lg(1) \\ &= t_0, \text{ since } \lg(1) = 0. \end{aligned}$$

uniqueness: Assume $d', d'' : X \rightarrow \mathbb{R}$ are lifts of d satisfying $d'(0) = d''(0) = t_0$. Let $h : X \rightarrow \mathbb{R}, x \mapsto d'(x) - d''(x)$. Then

$$\begin{aligned} p(h(x)) &= p(d'(x) - d''(x)) = \frac{p(d'(x))}{p(d''(x))} \quad (p \text{ homom.}) \\ &= \frac{d(x)}{d(x)} = 1, \text{ for all } x \in X. \end{aligned}$$

Then $h(x) \in p^{-1}(1) = \mathbb{Z}$. Since X is star-like, it is path connected, $\Rightarrow h(X)$ is a path connected subset of $\mathbb{Z} \Rightarrow h(X)$ is a one point set. Now, $h(0) = d'(0) - d''(0) = t_0 - t_0 = 0$. Then $h(x) = 0 \forall x \in X$, i.e., $d'(x) = d''(x) \forall x \in X$. \square

Notice: I and $I^2 = I \times I$ are star-like at origin.



Corollary 9.4.

- 1) (uniqueness of path lifting) Every path $\alpha: I \rightarrow S^1$ with $\alpha(0) = 1$ has a unique lift $\alpha': I \rightarrow \mathbb{R}$ with $\alpha'(0) = 0$.
- 2) Let $\alpha, \beta: I \rightarrow S^1$ be paths with $\alpha(0) = \beta(0) = 1$. Let $\alpha', \beta': I \rightarrow \mathbb{R}$ be the lifts of α and β , respectively, with $\alpha'(0) = \beta'(0) = 0$. Every homotopy $F: \alpha \simeq \beta \text{ rel } I$ has a unique lift $F': \alpha' \simeq \beta' \text{ rel } I$. (uniqueness for lifting homotopies).

proof.

- 1) Since $\alpha(0) = 1 = p(0)$, the claim follows immediately from Proposition 9.3.
- 2) The homotopy F satisfies $F(0,0) = 1$. Proposition 9.3 $\Rightarrow F$ has a unique lift $F': I^2 \rightarrow \mathbb{R}$ with $F'(0,0) = 0$. The map $s \mapsto F'(s,0)$ is a lift of the map $s \mapsto F(s,0) = \alpha(s)$ and $F'(0,0) = 0$. Uniqueness of the lift of α (part 1) $\Rightarrow F'(s,0) = \alpha'(s) \quad \forall s \in I$.

The map $t \mapsto F'(0,t)$ is a lift of the map $t \mapsto F(0,t) = 1$. Thus it maps I to $p^{-1}(1) = \mathbb{Z}$, which implies that it is a constant map. Then $F'(0,0) = 0 \Rightarrow F'(0,t) = 0 \quad \forall t \in I$.

Similarly: $F'(s,1) = \beta'(s) \quad \forall s \in I$ and $F'(1,t)$ is constant.

Thus: $F': \alpha' \simeq \beta' \text{ rel } I$. \square

Definition 9.5. Let $\alpha: I \rightarrow S^1$ be a closed path with $\alpha(0) = \alpha(1) = 1$. Let $\alpha': I \rightarrow \mathbb{R}$ be the unique lift of α with $\alpha'(0) = 0$. We call $\alpha'(1) \in \mathbb{Z}$ the degree of α and denote it by $\deg \alpha$.

Notice: $p(\alpha'(1)) = \alpha(1) = 1 \Rightarrow \alpha'(1) \in \ker p = \mathbb{Z}$.

If $\alpha \simeq \beta \text{ rel } I$, then $\alpha' \simeq \beta' \text{ rel } I$. Thus

$$\deg \alpha = \alpha'(1) = \beta'(1) = \deg \beta.$$

Thus we obtain a function

$$\deg: \pi_1(S^1, 1) \rightarrow \mathbb{Z}, [\alpha] \mapsto \deg \alpha$$

Proposition 9.6. The function

$$\deg: \pi_1(S^1, 1) \rightarrow \mathbb{Z}$$

is a group isomorphism.

Proof: Three parts: 1) \deg is an injection
 2) \deg is a surjection
 3) \deg is a group homomorphism.

1) Assume $\deg[\alpha] = \deg[\beta]$. Let α' and β' be the lifts of α and β , respectively. Then $\alpha'(1) = \beta'(1)$ and $\alpha'(0) = \beta'(0) = 0$. Let

$$F: I \times I \rightarrow \mathbb{R}, (s, t) \mapsto (1-t)\alpha'(s) + t\beta'(s).$$

Then $F: \alpha' \simeq \beta' \text{ rel } I \Rightarrow pF: \alpha \simeq \beta \text{ rel } I$

$$\Rightarrow [\alpha] = [\beta]$$

$\therefore \deg$ is an injection