

$M^n$  is a hypersurface and  $N$  is a unit normal vector field in a neighborhood of  $x$ , then

$$(1.30) \quad \nabla_{(\cdot)} N : T_x \Sigma \rightarrow T_x \Sigma$$

is a symmetric map (often referred to as the Weingarten map) and its eigenvalues  $(\kappa_i)_{i=1, \dots, n-1}$  are called the principal curvatures. Moreover,

$$(1.31) \quad g(H, N) = - \sum_{i=1}^{n-1} \kappa_i.$$

Finally, if  $X$  is a vector field defined in a neighborhood of  $\Sigma$ , then the divergence of  $X$  at  $x \in \Sigma$  is

$$(1.32) \quad \operatorname{div}_{\Sigma} X = \sum_{i=1}^{n-1} g(\nabla_{E_i} X, E_i),$$

where  $E_i$  is an orthonormal basis for  $T_x \Sigma$ . Notice that  $\operatorname{div}_{\Sigma}$  satisfies the Leibniz rule

$$(1.33) \quad \operatorname{div}_{\Sigma}(fX) = \langle \nabla_{\Sigma} f, X \rangle + f \operatorname{div}_{\Sigma}(X).$$

We can also use  $\operatorname{div}_{\Sigma}$  to define the Laplace operator  $\Delta_{\Sigma}$  on  $\Sigma$  by

$$(1.34) \quad \Delta_{\Sigma} f = \operatorname{div}_{\Sigma}(\nabla_{\Sigma} f).$$

A function  $f$  is said to be *harmonic* on  $\Sigma$  if  $\Delta_{\Sigma} f = 0$ .

**Remark 1.3.** Note that

$$(1.35) \quad \begin{aligned} \operatorname{div}_{\Sigma} Y^N &= \sum_i g(E_i, \nabla_{E_i} Y^N) = - \sum_i g(Y^N, \nabla_{E_i} E_i) \\ &= -g(Y^N, H). \end{aligned}$$

**1.3. The first variation formula.** Let  $F : \Sigma \times (-\epsilon, \epsilon) \rightarrow M$  be a variation of  $\Sigma$  with compact support and fixed boundary. That is,  $F = \operatorname{Id}$  outside a compact set,

$$(1.36) \quad F(x, 0) = x,$$

and for all  $x \in \partial \Sigma$ ,

$$(1.37) \quad F(x, t) = x.$$

The vector field  $F_t$  restricted to  $\Sigma$  is often called the *variational vector field*. Now we want to compute the first variation of area for this one-parameter family of surfaces. Let  $x_i$  be local coordinates on  $\Sigma$ . Set

$$(1.38) \quad g_{ij}(t) = g(F_{x_i}, F_{x_j}),$$

$$(1.39) \quad \nu(t) = \sqrt{\det(g_{ij}(t))} \sqrt{\det(g^{ij}(0))},$$

where  $a^{ij}$  denotes the inverse of the matrix  $a_{ij}$ . Note that  $\nu(t)$  is well defined, independent of the choice of a coordinate system on  $\Sigma$  (since  $\det(g_{ij}(t))$  changes by the determinant squared of the differential of a coordinate transformation while  $\det(g^{ij}(0))$  changes by the inverse of this). Furthermore, the area formula is

$$(1.40) \quad \operatorname{Vol}(F(\Sigma, t)) = \int \nu(t) \sqrt{\det(g_{ij}(0))},$$

where the integral is over  $\Sigma$ . Differentiating this gives

$$(1.41) \quad \frac{d}{dt}_{t=0} \operatorname{Vol}(F(\Sigma, t)) = \int \frac{d}{dt}_{t=0} \nu(t) \sqrt{\det(g_{ij}(0))}.$$

To evaluate  $d/dt_{t=0} \nu(t)$  at some point  $x$ , we may choose the coordinate system such that at  $x$  it is orthonormal, i.e., so that at the point  $x$ ,

$$(1.42) \quad g_{ij}(0) = \delta_{ij} = \begin{cases} 0 & \text{if } i \neq j, \\ 1 & \text{if } i = j. \end{cases}$$

Using this and the fact that the  $t$  and  $x_i$  derivatives commute (i.e.,  $\nabla_{F_t} F_{x_i} - \nabla_{F_{x_i}} F_t = [F_t, F_{x_i}] = 0$ ), we get at  $x$ ,

$$(1.43) \quad \begin{aligned} \frac{d}{dt}_{t=0} \nu(t) &= \frac{1}{2} \sum_{i=1}^k \frac{d}{dt} \langle F_{x_i}, F_{x_i} \rangle = \sum_{i=1}^k \langle \nabla_{F_t} F_{x_i}, F_{x_i} \rangle \\ &= \sum_{i=1}^k \langle \nabla_{F_{x_i}} F_t, F_{x_i} \rangle = \operatorname{div}_{\Sigma} F_t. \end{aligned}$$

We can relate this formula to the mean curvature by writing the vector field  $F_t$  as the sum of its normal and tangential parts to get

$$(1.44) \quad \begin{aligned} \frac{d}{dt}_{t=0} \nu(t) &= \sum_{\ell=1}^{n-k} \sum_{i=1}^k \langle \nabla_{F_{x_i}} \langle F_t, N_{\ell} \rangle N_{\ell}, F_{x_i} \rangle + \operatorname{div}_{\Sigma} F_t^T \\ &= \sum_{\ell=1}^{n-k} \sum_{i=1}^k \langle F_t, N_{\ell} \rangle \langle \nabla_{F_{x_i}} N_{\ell}, F_{x_i} \rangle + \operatorname{div}_{\Sigma} F_t^T \\ &= -\langle F_t, H \rangle + \operatorname{div}_{\Sigma} F_t^T. \end{aligned}$$

Here  $N_{\ell}$  is an orthonormal basis for the normal bundle of  $\Sigma$  at  $x$ . Integrating (1.43) and (1.44) gives the so-called first variation formula:

$$(1.45) \quad \frac{d}{dt}_{t=0} \operatorname{Vol}(F(\Sigma, t)) = - \int_{\Sigma} \langle F_t, H \rangle = \int_{\Sigma} \operatorname{div}_{\Sigma} F_t.$$

Note that Stokes' theorem was used to see that  $\int \operatorname{div}_{\Sigma} F_t^T = 0$ . As a consequence of (1.45), we see that  $\Sigma$  is a critical point for the area functional if and only if the mean curvature  $H$  vanishes identically.