

## Homework Set 2, Topology 1, Solutions of exercises 1,2,3

Laura Venieri

1. Cantor-Schröder-Bernstein Theorem: if  $A \preceq B$  and  $B \preceq A$  then  $A$  and  $B$  are equinumerous.

*Proof.* (Completing the details of the proof on page 29 of the textbook)

By assumption, there exist a one-to-one mapping (i.e. injective)  $f : A \rightarrow B$  and a one-to-one mapping  $g : B \rightarrow A$ . We want to find a one-to-one correspondence  $F : A \rightarrow B$ , that is a bijection.

Given  $x \in A$ , we define its ancestors as in the textbook and also  $A_i$ ,  $A_e$  and  $A_o$ . We want to show that they form a partition of  $A$ . First observe that they are disjoint by definition: if  $x \in A_i$  then it has an infinite number of ancestors so  $x \notin A_e \cup A_o$ ; if  $x \in A_e$  then it has an even number of ancestors (so in particular finite), hence  $x \in A_i \cup A_o$  and so on.

Observe that since  $f$   $g$  are injective, so are  $f^{-1}$  and  $g^{-1}$ . Hence for every  $x \in A$  the ancestors are uniquely determined.

It remains to show that  $A = A_i \cup A_e \cup A_o$ .

We prove the double inclusion. First let  $x \in A$ . If  $x$  has zero ancestors then  $x \in A_e$ . Otherwise,  $x$  has at least one ancestor. It can happen either that  $x$  has finitely many ancestors  $n$  or infinitely many. In the first case,  $x \in A_e$  or  $A_o$  depending on whether  $n$  is even or odd. In the second case,  $x \in A_i$ . Thus  $A \subseteq A_i \cup A_e \cup A_o$ .

The other inclusion  $A \subseteq A_i \cup A_e \cup A_o$  is obvious by definition of the sets  $A_i$ ,  $A_e$ ,  $A_o$ .

Similarly, the sets  $B_i$ ,  $B_e$  and  $B_o$  partition  $B$ .

There are now three bijections between these subsets:

- i)  $f$  is a bijection from  $A_i$  to  $B_i$ . Indeed, by assumption  $f$  is injective so we need to prove that it is also surjective. Let  $y \in B_i$ . Since  $y$  has infinitely many ancestors, there is  $x \in A$  such that  $f^{-1}(y) = x$ . But since  $y$  has infinitely many ancestors, also  $x$  will have infinitely many ancestors so  $x \in A_i$ . Thus  $y = f(x)$  with  $x \in A_i$  and  $f$  is surjective.
- ii)  $f$  is a bijection from  $A_e$  to  $B_o$ . Again we need to prove that the mapping is surjective. Let  $y \in B_o$ , thus  $y$  has at least one ancestor  $f^{-1}(y) = x \in A$ . If  $y$  has  $2n + 1$  ancestors then  $x$  will have  $2n$  ancestors, thus  $x \in A_e$ . Hence  $y = f(x)$  with  $x \in A_e$ .
- iii)  $g^{-1}$  is a bijection from  $A_o$  to  $B_e$ . Let  $y \in B_e$ . We want to show that  $g(y) \in A_o$ , so  $y = g^{-1}(g(y))$  and the mapping is surjective. Suppose by contradiction that  $g(y) \in A_i \cup A_e$ . If  $g(y) \in A_i$  then it has infinitely many ancestors, so also  $y = g^{-1}(g(y))$  has infinitely many ancestors. Hence  $y \in B_i$ , which is a contradiction. If  $g(y) \in A_e$  then  $g(y)$  has  $2n$  ancestors ( $n \geq 1$ ), so  $y = g^{-1}(g(y))$  has  $2n - 1$  ancestors. This implies  $y \in B_o$ , which is a contradiction.

Now we are ready to construct  $F$  as

$$F(x) = \begin{cases} f(x) & \text{if } x \in A_i \cup A_e, \\ g^{-1}(x) & \text{if } x \in A_o. \end{cases}$$

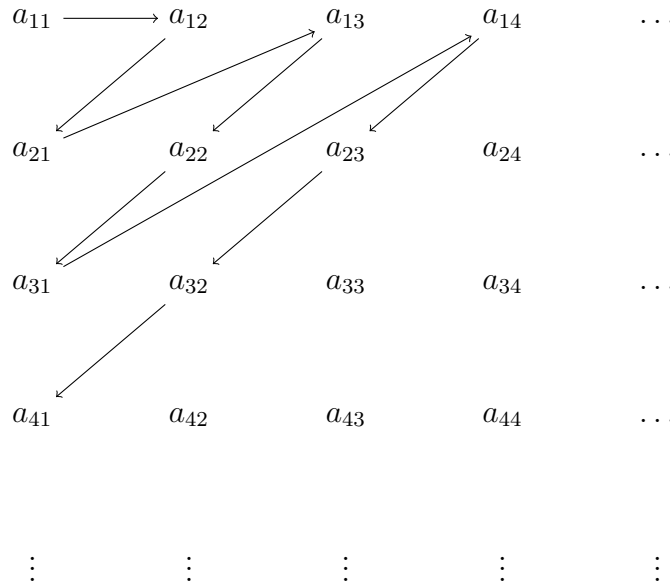
Then  $F : A \rightarrow B$  is a bijection because  $A_i, A_e$  and  $A_o$  partition  $A$ ,  $B_i, B_e$  and  $B_o$  partition  $B$  and by i), ii) and iii)  $F \upharpoonright_{A_i} : A_i \rightarrow B_i$ ,  $F \upharpoonright_{A_e} : A_e \rightarrow B_e$  and  $F \upharpoonright_{A_o} : A_o \rightarrow B_e$  are bijections.  $\square$

2. If  $\{A_i\}_{i \in I}$  is a countable collection of countable sets, then  $\cup_{i \in I} A_i$  is countable.

*Proof.* We use the idea behind Figure 13 in Simmon's book. For each  $i \in I$ , we can enumerate the elements in  $A_i$  (since there are countably many of them) as

$$A_i = \{a_{ij} : j \in \mathbb{N}\}.$$

We can then enumerate the elements in  $\cup_{i \in I} A_i$  as in the following picture:



Thus  $a_{11}$  will correspond to number 1,  $a_{12}$  to number 2,  $a_{21}$  to number 3,  $a_{13}$  to number 4 and so on following the arrows in the picture.  $\square$

3. To write  $\frac{3}{4}$  in binary notation, first split the interval  $[0, 1)$  into two subintervals of length  $1/2$ :  $[0, 1) = [0, 1/2) \cup [1/2, 1)$  and assign number 0 to the first interval and number 1 to the second (these will correspond to the digits in the binary expansion). Since  $\frac{3}{4} \in [1/2, 1)$  then the first digit in the expansion will be 1.

Then split again  $[1/2, 1) = [1/2, 3/4) \cup [3/4, 1)$  and since  $\frac{3}{4}$  belongs to the second interval, the second digit in the expansion is 1.

Continuing by splitting  $[3/4, 1)$  into two subintervals (and so on) we will always have that  $\frac{3}{4}$  belongs to first interval, so it is  $0.110000\dots$  in binary notation.

Indeed, we can verify  $\frac{3}{4} = 1\frac{1}{2} + 1\frac{1}{2^2}$ .

To write  $\frac{3}{4}$  in ternary notation, we use the same method dividing at each step the interval to which  $\frac{3}{4}$  belongs into 3 subintervals of the same length and assign them the numbers 0, 1, 2 in order.

Thus  $[0, 1) = [0, 1/3) \cup [1/3, 2/3) \cup [2/3, 1)$  and since  $\frac{3}{4}$  belongs to the third interval its first digit will be 2.

Then  $[2/3, 1) = [2/3, 7/9) \cup [7/9, 8/9) \cup [8/9, 1)$ . Since  $\frac{3}{4}$  belongs to the first interval, its second digit is 0.

Again we split  $[2/3, 7/9) = [2/3, 19/27) \cup [19/27, 20/27) \cup [20/27, 7/9)$ . Then  $\frac{3}{4}$  belongs to the third interval so the third digit is 2.

Actually one can verify that  $\frac{3}{4}$  in ternary notation is  $0.20202020\dots$  either by induction using the above method or just because

$$\frac{3}{4} = \sum_{n=0}^{\infty} 2 \left(\frac{1}{3}\right)^{2n+1}$$

by the sum of a geometric series with odd powers.

4. The class of all subsets of the natural numbers  $\mathcal{P}(\mathbb{N})$  is equinumerous with  $[0, 1)$ .

I will not write the details here but we can construct two injective functions  $f : \mathcal{P}(\mathbb{N}) \rightarrow [0, 1)$  and  $g : [0, 1) \rightarrow \mathcal{P}(\mathbb{N})$  for example as it is done in Simmon's book on pages 41-42 and then conclude by using the Cantor-Schröder-Bernstein theorem.