

10.11. Theorem Consider a collection  $\Gamma$  of continuous and linear maps  $\gamma_1 \rightarrow \gamma_2$ , where  $\gamma_1, \gamma_2$  are topol. vect. spaces. Assume  $K \subset \gamma_1$  is compact and convex, and that every orbit  $\Gamma(x)$  with  $x \in K$  is bounded in  $\gamma_2$ .

Then  $\exists B \subset \gamma_2$  which is bounded, and  $\Lambda(K) \subset B \forall \Lambda \in \Gamma$ .

Proof: Define  $B := \bigcup_{x \in K} \Gamma(x)$ . Consider  $0 \in W_2 \in \mathcal{T}_{\gamma_2}$ .

$\Rightarrow \exists U, W \in \mathcal{T}_{\gamma_2}$  s.t.  $0 \in U, 0 \in W, U$  and  $W$  are balanced, and  $\bar{U} + \bar{U} \subset W \subset W_2$ . Set  $E := \bigcap_{\Lambda \in \Gamma} \Lambda^{-1}\bar{U}$ , which is

a closed set in  $\gamma_1$ , as each  $\Lambda \in \Gamma$  is continuous. If  $x \in K$   
 $\Rightarrow \Gamma(x)$  is bounded  $\Rightarrow \Gamma(x) \subset nU$  for some  $n \in \mathbb{N}_+$ .

$\Rightarrow \Lambda x \in nU \subset n\bar{U} \forall \Lambda \in \Gamma \Rightarrow \Lambda(\frac{1}{n}x) \in \bar{U} \forall \Lambda \in \Gamma$

$\Rightarrow \frac{1}{n}x \in E \Rightarrow x \in nE$ . Therefore,

$$K = \bigcup_{n=1}^{\infty} (K \cap (nE)).$$

By 10.4,  $K$  is of 2nd cat.

in  $K$ , and thus  $\exists n \in \mathbb{N}_+$  s.t.  $K \cap (nE)$  has a non-empty interior in  $K \Rightarrow \exists n \in \mathbb{N}_+, x_0 \in K$  and  $V \in \mathcal{T}_{\gamma_1}$  s.t.  $0 \in V$  and  $K \cap (x_0 + V) \subset nE$ .  
 $V$  is balanced

As  $K - x_0$  is compact, it is bounded, and thus  $\exists p > 1$  s.t.  $K - x_0 \subset pV \Rightarrow K \subset x_0 + pV$ .

Consider then an arbitrary  $x \in K$ , and let  $z = (1 - \frac{1}{p})x_0 + \frac{1}{p}x$ . As  $0 < \frac{1}{p} < 1$  and  $K$  is convex  $\Rightarrow z \in K$ . But also  $z - x_0 = \frac{1}{p}(x - x_0) \in V$ , and thus  $z \in K \cap (x_0 + V) \subset nE \Rightarrow \Lambda z \in n\Lambda E \subset n\bar{U} \forall \Lambda \in \Gamma$   
 $\Rightarrow \Lambda x = \Lambda(pz - (p-1)x_0) \in p n\bar{U} - (p-1)n\bar{U} = pn(\bar{U} - \frac{p-1}{p}\bar{U})$   
 $\subset pn(\bar{U} + \bar{U}) \subset pnW, \forall \Lambda \in \Gamma$ . Thus  $y \in B \Rightarrow \exists x \in K, \Lambda \in \Gamma$

$U$  bal.  $\Rightarrow \bar{U}$  bal.

s.t.  $y = \Lambda x \Rightarrow y \in pnW$ , and thus  $B \subset pnW \subset \frac{pn}{*}W \subset tW \subset W_2$  for all  $t > pn$ .  $\therefore B$  is bounded.  $\square$

\* Convexity is required here.

# 11. Open mappings and closed graphs

## 11.1. Theorem (Open mapping theorem)

Suppose  $\Lambda: \mathcal{F}_1 \rightarrow \mathcal{V}_2$  is continuous and linear, where  $\mathcal{F}_1$  is an F-space,  $\mathcal{V}_2$  is a topol. vect. space.

If  $\Lambda(\mathcal{F}_1)$  is of the 2nd category in  $\mathcal{V}_2$ , then

- (a)  $\Lambda(\mathcal{F}_1) = \mathcal{V}_2$
- (b)  $\Lambda$  is an open mapping
- (c)  $\mathcal{V}_2$  is an F-space.

Proof. Here b)  $\Rightarrow$  a): If  $\Lambda$  is open, then  $\Lambda(\mathcal{F}_1)$  is an open subspace (S.1.b) of  $\mathcal{V}_2 \Rightarrow \exists V \in \mathcal{T}_{\mathcal{V}_2}$  s.t.  $0 \in V \subset \Lambda(\mathcal{F}_1) \xrightarrow{4.14.a)} \mathcal{V}_2 = \bigcup_{n=1}^{\infty} (nV) \subset \Lambda(\mathcal{F}_1) \subset \mathcal{V}_2 \Rightarrow$  a).

Let us first prove b). Let  $d$  be an invariant compatible metric on  $\mathcal{F}_1$ , and consider  $0 \in V_0 \in \mathcal{T}_{\mathcal{F}_1} \Rightarrow \exists r > 0$  s.t.  $B(0, r) \subset V_0$ . Define  $W_n = B(0, 2^{-n}r), n \in \mathbb{N}_0, \Rightarrow W_{n+1} \subset W_n \forall n \geq 0, W_0 \subset V_0$ . We claim that  $\forall n \in \mathbb{N}_+, \exists U \in \mathcal{T}_{\mathcal{V}_2}$ , s.t.  $0 \in U$  and  $U \subset \Lambda(W_n)$ . Now  $x, y \in W_{n+1} \Rightarrow d(x-y, 0) = d(x, y) \leq d(x, 0) + d(0, y) < 2^{-(n+1)}(r+r) = 2^{-n}r$ . Thus  $W_{n+1} - W_{n+1} \subset W_n \Rightarrow \Lambda W_{n+1} - \Lambda W_{n+1} = \Lambda(W_{n+1} - W_{n+1}) \subset \Lambda W_n \Rightarrow \overline{\Lambda W_{n+1}} - \overline{\Lambda W_{n+1}} = \overline{\Lambda W_{n+1}} + (-\overline{\Lambda W_{n+1}}) \subset \overline{\Lambda W_{n+1}} - \overline{\Lambda W_{n+1}} \subset \overline{\Lambda W_n}$ .

As  $0 \in W_{n+1} \in \mathcal{T}_{\mathcal{F}_1} \xrightarrow{4.11.b)} \mathcal{F}_1 = \bigcup_{n \in \mathbb{N}_+} (nW_{n+1}) \Rightarrow \Lambda(\mathcal{F}_1) = \bigcup_{n=1}^{\infty} (n\Lambda(W_{n+1}))$

since  $\Lambda(\mathcal{F}_1)$  is of 2nd cat.  $\Rightarrow \exists n \in \mathbb{N}_+$  s.t.  $n\Lambda(W_{n+1})$  is of 2nd cat.  $\Rightarrow \Lambda(W_{n+1}) = \frac{1}{n}(n\Lambda(W_{n+1}))$  is of 2nd cat. in  $\mathcal{V}_2$  ( $x \mapsto \frac{1}{n}x$  is homeo.)  $\Rightarrow \exists x_0 \in \Lambda(W_{n+1})$  and  $U \in \mathcal{T}_{\mathcal{V}_2}$  s.t.  $0 \in U$  and  $x_0 + U \subset \Lambda(W_{n+1}) \Rightarrow U = x_0 + U - x_0 \subset \Lambda(W_{n+1}) - x_0 \subset \Lambda(W_{n+1}) - \Lambda(W_{n+1}) \subset \overline{\Lambda W_n}$ . Thus the claim holds for this  $U$ .

Consider then  $y_1 \in \overline{\Lambda W_1}$ . By the previous result, for any  $N \in \mathbb{N}_+, \exists U_N \in \mathcal{T}_{\mathcal{V}_2}$  s.t.  $0 \in U_N \subset \overline{\Lambda W_{N+1}}$ . Thus if  $y \in \overline{\Lambda W_N} \Rightarrow \emptyset \neq \Lambda W_N \cap (y - U_N) \subset \Lambda W_N \cap (y - \overline{\Lambda W_{N+1}})$ . Therefore, we can iteratively choose a sequence  $(y_n)_{n \in \mathbb{N}_+}$  as follows: Suppose  $y_n \in \overline{\Lambda W_n}$  is given. Then  $\exists x_n \in W_n$  s.t.  $\Lambda x_n \in y_n - \overline{\Lambda W_{n+1}}$ . Set  $y_{n+1} = y_n - \Lambda x_n$ .

$\Rightarrow y_{n+1} \in \overline{\Lambda W_{n+1}}$  and we can iterate further.

Since then  $x_n \in W_n \Rightarrow d(x_n, 0) < 2^{-n}r, \forall n \in \mathbb{N}_+$   
 Define  $z_n = \sum_{k=1}^n x_k \Rightarrow d(z_{n+m}, z_n) = d(z_{n+m} - z_n, 0)$   
 $= d(\sum_{k=n+1}^{n+m} x_k, 0) \leq \sum_{k=n+1}^{n+m} d(x_k, 0) \leq \sum_{k=n+1}^{n+m} 2^{-k}r < 2^{-n}r.$

Thus  $(z_n)_{n \in \mathbb{N}_+}$  is Cauchy in  $\mathbb{F}_1 \Rightarrow \exists z = \lim_{n \rightarrow \infty} z_n$   
 and  $d(z, 0) = \lim_{n \rightarrow \infty} d(z_n, 0) \leq \sum_{k=1}^{\infty} d(x_k, 0)$

$$< \sum_{k=1}^{\infty} 2^{-k}r = 2^{-1} \cdot \frac{1}{1 - \frac{1}{2}} r = r \Rightarrow z \in W_0 \subset V_0.$$

In addition, by continuity of  $\Lambda \Rightarrow$

$$\Lambda z = \lim_{n \rightarrow \infty} \sum_{k=1}^n \Lambda x_k, \text{ where } \sum_{k=1}^n \Lambda x_k = \sum_{k=1}^n (y_k - y_{k+1})$$

$= y_1 - y_{n+1}$ . We claim that  $y_n \xrightarrow{n \rightarrow \infty} 0$ . Then

$\Lambda z = y_1 \Rightarrow y_1 \in \Lambda(V_0)$ , and we have proven

$\overline{\Lambda W_1} \subset \Lambda(V_0)$ . As  $\overline{\Lambda W_1}$  contains  $U_1 \in T_{N_2}$  s.t.  $0 \in U_1$

$\Rightarrow 0 \in U_1 \subset \Lambda(V_0)$ . Therefore, if  $x \in V \in T_{\mathbb{F}_1} \Rightarrow$

$0 \in V - x \in T_{\mathbb{F}_1} \Rightarrow \exists U_x \in T_{N_2}$  s.t.  $0 \in U_x$  and  $U_x \subset \Lambda(V - x)$

$= \Lambda V - \Lambda x \Rightarrow \Lambda x + U_x \subset \Lambda V$ . Therefore,

$\Lambda V = \cup_{x \in V} (\Lambda x + U_x)$  is open in  $N_2$ , which proves that

$\Lambda$  is  $^{x \in V}$  open mappings.

To prove  $y_n \rightarrow 0$ , consider  $0 \in U \in T_{N_2} \Rightarrow$

$\exists$  balanced  $V \in T_{N_2}$  s.t.  $0 \in V, V+V \subset U$ . Since  $y_n \in \overline{\Lambda W_n}$

$\Rightarrow \emptyset \neq \overline{\Lambda W_n} \cap (y_n + V) \Rightarrow \exists x'_n \in W_n$  s.t.  $\Lambda x'_n \in y_n + V$ .

But then  $d(x'_n, 0) < 2^{-n}r \xrightarrow{n \rightarrow \infty} 0 \Rightarrow x'_n \rightarrow 0$  in  $\mathbb{F}_1$

$\Rightarrow \Lambda x'_n \rightarrow 0$  in  $N_2 \Rightarrow \exists n \in \mathbb{N}_+$  s.t.  $\Lambda x'_n \in V \forall n \geq N$ .

$\xrightarrow{\Lambda \text{ contin.}} \Rightarrow y_n = y_n - \Lambda x'_n + \Lambda x'_n \in -V + V = V + V \subset U$ .

$\therefore y_n \rightarrow 0$  in  $N_2$ . This completes proof of b).  $\Rightarrow$  a) holds.

Let  $N := \text{Ker } \Lambda \xrightarrow{\Lambda \text{ contin.}} N$  is closed in  $\mathbb{F}_1$ . By

Exercise 5.4.  $\exists!$   $\Phi: \mathbb{F}_1/N \rightarrow N_2$  s.t.  $\Lambda = \Phi \circ \pi_N$  and this  $\Phi$  is linear, continuous and open (by b)!).

By a)  $\Phi(\mathbb{F}_1/N) = \Lambda(\mathbb{F}_1) = N_2 \Rightarrow \Phi$  is onto.

In addition, if  $\Phi(x+N) = \Phi(x'+N) \Rightarrow \Lambda(x) = \Lambda(x')$

$\Rightarrow \Lambda(x-x') = 0 \Rightarrow x-x' \in \text{Ker } \Lambda = N \Rightarrow x \sim x'$  i.e.  $x+N = x'+N$ .

Thus  $\Phi$  is bijective.  $\Rightarrow \Phi^{-1}$  linear and continuous.

$\Rightarrow \Phi$  homeo. Since by 3.3, f)  $\mathbb{F}_1/N$  is an F-space  $\xrightarrow{\text{see p. 85}} N_2 = \text{F-space} \square$

11.2. Corollaries:

(a) If  $\mathcal{F}_1, \mathcal{F}_2$  are F-spaces and  $\Lambda: \mathcal{F}_1 \rightarrow \mathcal{F}_2$  is continuous and linear and onto, then  $\Lambda$  is open. If  $\Lambda$  is also one-to-one, then  $\Lambda^{-1}: \mathcal{F}_2 \rightarrow \mathcal{F}_1$  is continuous and linear.

(b) If  $\mathcal{B}_1, \mathcal{B}_2$  are Banach spaces, and  $\Lambda: \mathcal{B}_1 \rightarrow \mathcal{B}_2$  is bijective, continuous and linear, then  $\exists m, M > 0$  such that

(\*)  $m \|x\| \leq \|\Lambda x\| \leq M \|x\| \quad \forall x \in \mathcal{B}_1.$

(c) If  $\mathcal{T}_1 \subset \mathcal{T}_2$ , and  $\mathcal{T}_1, \mathcal{T}_2$  are both topologies on a vector space  $\mathcal{X}$  s.t. both  $(\mathcal{X}, \mathcal{T}_1)$  and  $(\mathcal{X}, \mathcal{T}_2)$  are F-spaces, then  $\mathcal{T}_1 = \mathcal{T}_2$ .

(Thus F-space topologies cannot be refined into new F-space topologies.)

Proof, a)  $\Lambda(\mathcal{F}_1) = \mathcal{F}_2 \xrightarrow{\text{b.4.}} \Lambda(\mathcal{F}_1)$  2nd def.  $M \mathcal{F}_2$   
 $\Rightarrow \Lambda$  is open. If  $\Lambda$  is also 1-1  $\Rightarrow \exists \Lambda^{-1}$   
 $\uparrow$  11.1 and  $\Lambda^{-1}$  is continuous and linear.

b) By a),  $\Lambda^{-1}: \mathcal{B}_2 \rightarrow \mathcal{B}_1$  is contin. and linear  
 $\Rightarrow \exists m' > 0$  s.t.  $\forall y \in \mathcal{B}_2: \|\Lambda^{-1}y\| \leq m' \|y\|.$   
Thus for  $y = \Lambda x \Rightarrow \|x\| \leq m' \|\Lambda x\|$   
 $\Rightarrow \|\Lambda x\| \geq \frac{1}{m'} \|x\|.$  We applied here  $\tilde{\Lambda}$  contin. lin.  
8.3.  $\tilde{\Lambda}$  bounded  $\Rightarrow \|\tilde{\Lambda}\| < \infty \Rightarrow \forall x \neq 0: \|\tilde{\Lambda}x\|$   
 $= \|x\| \|\tilde{\Lambda} \frac{x}{\|x\|}\| \leq \|x\| \|\tilde{\Lambda}\| \Rightarrow \exists M > 0$  s.t.  $\forall x: \|\tilde{\Lambda}x\| \leq M \|x\|.$   
Since  $\Lambda: \mathcal{B}_1 \rightarrow \mathcal{B}_2$  is also contin. lin. we have proven (\*).

c) Let  $\mathcal{V}_1 = (\mathcal{X}, \mathcal{T}_1)$  and  $\mathcal{V}_2 = (\mathcal{X}, \mathcal{T}_2)$  Then  $\text{id}: \mathcal{X} \rightarrow \mathcal{X}$  is bijective and linear. Also if  $V \in \mathcal{T}_1 \Rightarrow \text{id}^{\leftarrow}(V) = V \in \mathcal{T}_2$ , and thus  $\text{id}$  is continuous as a map  $\mathcal{V}_2 \rightarrow \mathcal{V}_1$ . Since both  $\mathcal{V}_1, \mathcal{V}_2$  are F-spaces, by a)  $\Rightarrow \text{id}^{-1} = \text{id}: \mathcal{V}_1 \rightarrow \mathcal{V}_2$  is continuous and thus  $V \in \mathcal{T}_2 \Rightarrow V = \text{id}^{\leftarrow}(V) \in \mathcal{T}_1$ .  
 $\therefore \mathcal{T}_1 = \mathcal{T}_2 \quad \square$

11.3. Definition The graph of  $f: X \rightarrow Y$  is denoted by  $G(f) := \{(x, f(x)) \mid x \in X\} \subset X \times Y$ .

\* Typically a graph of a continuous function is closed:

11.4. Proposition: Consider  $f: X \rightarrow Y$ , where  $X, Y$  have topologies  $T_X, T_Y$ , and  $T_Y$  is Hausdorff. If  $f$  is continuous, then the graph of  $f$  is a closed subset of  $X \times Y$  (in the product topology).

Proof: Set  $G := \{(x, f(x)) \mid x \in X\}$ , and  $\Omega := G^c$ . Consider  $(x_0, y_0) \in \Omega \Rightarrow y_0 \neq f(x_0)$ . As  $Y$  is Hausdorff,  $\exists U, V \in T_Y$  s.t.  $f(x_0) \in U$  and  $y_0 \in V$  but  $U \cap V = \emptyset$ . Since  $f$  is contin.  $\Rightarrow W = f^{-1}(U) \in T_X \Rightarrow x_0 \in W$  and  $f(W) \subset U \Rightarrow \forall x \in W, f(x) \notin V$ , and thus  $W \times V \subset \Omega$ .  $\therefore \Omega$  is open in  $X \times Y$   $\square$

\* For linear maps between F-spaces the converse is also true.

11.5. Theorem (The closed graph theorem)

Suppose  $\Lambda: F_1 \rightarrow F_2$  is linear and  $F_1, F_2$  are F-spaces. If  $G(\Lambda)$  is closed in  $F_1 \times F_2$  (with product topology), then  $\Lambda$  is continuous.

11.6. Proposition If  $F_1$  and  $F_2$  are F-spaces, with compatible invariant metrics  $d_1$  and  $d_2$ , then  $d((x_1, x_2), (y_1, y_2)) := d_1(x_1, y_1) + d_2(x_2, y_2)$  defines an invariant metric on  $F_1 \times F_2$  which is compatible with the product topology. In addition,  $F_1 \times F_2$ , with the usual componentwise definition of addition and scalar multiplication, is an F-space.

Proof: Exercise. Note the similarity to direct sum of two Hilbert spaces.  $\square$

Proof of 11.5.

①  $\Lambda$  linear  $\Rightarrow G(\Lambda)$  is an subspace of  $F_1 \times F_2$ . ( $G(\Lambda) = \tilde{\Lambda}(F_1)$ , where  $\tilde{\Lambda}(x) = (x, \Lambda x)$  is obviously linear:  
 $\tilde{\Lambda}(\alpha x + \beta y) = (\alpha x + \beta y, \alpha \Lambda x + \beta \Lambda y)$   
 $= \alpha(x, \Lambda x) + \beta(y, \Lambda y)$ . Apply 5.1.b)  
 As  $G(\Lambda)$  is closed  $\Rightarrow G(\Lambda)$  is an F-space. ( $x = \lim x_k \Rightarrow x \in \{x\}$ .)

② Let  $P_1: F_1 \times F_2 \rightarrow F_1$  and  $P_2: F_1 \times F_2 \rightarrow F_2$  denote the component projection maps:  $P_i(x_1, x_2) = x_i$ . Define  $\pi_1 = P_1|_{G(\Lambda)}: G(\Lambda) \rightarrow F_1$ , i.e., set  $\pi_1(x, \Lambda x) = x \forall x \in F_1$ . Then  $\pi_1$  is obviously a linear bijection. If  $U \in \mathcal{T}_{F_1} \Rightarrow \pi_1^{-1}(U) = (U \times F_2) \cap G(\Lambda)$  is open in  $G(\Lambda)$ . Thus  $\pi_1$  is continuous. If  $V$  is open in  $G(\Lambda)$  and  $x \in \pi_1^{-1}(V) \Rightarrow \exists U_1 \in \mathcal{T}_{F_1}, U_2 \in \mathcal{T}_{F_2}$  s.t. with  $y = \Lambda x, (x, y) \in V, x \in U_1, y \in U_2, U_1 \times U_2 \subset V \Rightarrow \pi_1(U_1 \times U_2) = U_1 \subset \pi_1(V)$  and  $x \in U_1$ .  $\therefore \pi_1(V)$  is open. Thus  $\pi_1$  is also open mapping. We can apply Corollary 11.2. a) to  $\pi_1 \Rightarrow \pi_1^{-1}: F_1 \rightarrow G(\Lambda)$  is continuous. As obviously  $\Lambda = P_2|_{G(\Lambda)} \circ \pi_1^{-1}$  and  $P_2$  is (by def.) continuous in the product topology  $\Rightarrow P_2|_{G(\Lambda)}$  is contin.  $\Rightarrow \Lambda$  is continuous.  $\square$

11.7. Proposition: If  $\Lambda: F_1 \rightarrow F_2$  is linear and  $F_1, F_2$  are F-spaces, then  $G(\Lambda)$  is closed

iff [If  $(x_n)_{n \in \mathbb{N}_+} \subset F_1$  is s.t.  $\exists x = \lim_{n \rightarrow \infty} x_n$  and  $\exists y = \lim_{n \rightarrow \infty} \Lambda x_n$ , then  $y = \Lambda x$ .]

Proof: " $\Leftarrow$ ": Suppose [..] is true. Consider  $(x, y) \in \overline{G(\Lambda)}$ .  
 $\Rightarrow \forall n \in \mathbb{N}_+ \exists x_n \in F_1$  s.t.  $d((x, y), (x_n, \Lambda x_n)) < \frac{1}{n}$   
 $\Rightarrow d_1(x, x_n) + d_2(y, \Lambda x_n) \xrightarrow{n \rightarrow \infty} 0 \Rightarrow x \rightarrow x_n$  and  $\Lambda x_n \rightarrow y$ .  
 Thus by [..]  $y = \Lambda x \Rightarrow (x, y) \in G(\Lambda)$ .  $\therefore G(\Lambda)$  closed.

" $\Rightarrow$ ": Suppose  $G(\Lambda)$  closed, and  $(x_n)$  is s.t.  $x_n \rightarrow x$  and  $\Lambda x_n \rightarrow y$ . Thus if  $\epsilon > 0 \exists N \in \mathbb{N}_+$  s.t.  
 $d_1(x, x_n) < \frac{\epsilon}{2}, d_2(y, \Lambda x_n) < \frac{\epsilon}{2} \Rightarrow$   
 $d((x, y), (x_n, \Lambda x_n)) < \epsilon \Rightarrow G(\Lambda) \cap (B((x, y), \epsilon)) \neq \emptyset$ .  
 Thus  $(x, y) \in G(\Lambda) = G(\Lambda) \Rightarrow y = \Lambda x$ .  $\square$

11.8. Definitions: a) Let  $(\mathbb{X}_i)_{i=0}^N$ ,  $N \in \mathbb{N}_+$ ,  $N \geq 2$ ,

be vector spaces. A map  $T: \prod_{i=1}^N \mathbb{X}_i \rightarrow \mathbb{X}_0$  is called multilinear, if all of the maps  $T_x^{(i)}: \mathbb{X}_i \rightarrow \mathbb{X}_0$ ,  $i=1, \dots, N$ ,  $x \in \prod_{j=1}^N \mathbb{X}_j$

defined by  $T_x^{(i)}(y) := T(x_1, x_2, \dots, \hat{y}, \dots) = T(x |_{x_i \rightarrow y})$ , for  $y \in \mathbb{X}_i$ , are linear.

b) If  $\mathbb{X}_i$  have topologies,  $T: \prod_{i=1}^N \mathbb{X}_i \rightarrow \mathbb{X}_0$  is called separately continuous if every  $T_x^{(i)}$ ,  $i=1, \dots, N$ ,  $x \in \prod_{j=1}^N \mathbb{X}_j$ , is continuous.

\* If  $T$  is continuous w.r.t. the product topology  $\Rightarrow T$  is separately continuous.

(Proof.  $U \in \mathcal{J}_{\mathbb{X}_0} \Rightarrow T \leftarrow U$  open in  $\prod \mathbb{X}_i$ . If  $y \in (T_x^{(i)}) \leftarrow U$

$$\Rightarrow T_x^{(i)}(y) = T(x |_{x_i \rightarrow y}) \in U$$

$$\Rightarrow x |_{x_i \rightarrow y} \in T \leftarrow U \Rightarrow \exists U_j, j=1, \dots, N, \text{ s.t. } x_j \in U_j \in \mathcal{J}_{\mathbb{X}_j}$$

$$\forall j \neq i \text{ and } y \in U_i \in \mathcal{J}_{\mathbb{X}_i} \text{ and } \prod U_j \subset T \leftarrow U.$$

But then if  $y' \in U_i \Rightarrow x |_{x_i \rightarrow y'} \in \prod U_j \subset T \leftarrow U$

$$\Rightarrow T_x^{(i)}(y') = T(x |_{x_i \rightarrow y'}) \in U. \text{ Therefore, } y \in U_i \subset (T_x^{(i)}) \leftarrow U.$$

$\therefore (T_x^{(i)}) \leftarrow U$  is open.  $\therefore T_x^{(i)}$  is continuous.)

\* In some cases, the converse holds:

11.9. Theorem  $\S$  Suppose  $T: \mathbb{F}_1 \times \mathbb{V}_2 \rightarrow \mathbb{V}_0$  is multilin. and separately continuous, where

$\mathbb{F}_1 = \mathbb{F}$ -space and  $\mathbb{V}_2, \mathbb{V}_0$  are topol. vect. spaces. Then

$$T(x_n, y_n) \xrightarrow[n \rightarrow \infty]{} T(\bar{x}, \bar{y}) \text{ whenever } x_n \xrightarrow[n \rightarrow \infty]{} \bar{x} \text{ and } y_n \xrightarrow[n \rightarrow \infty]{} \bar{y}.$$

(i.e.  $T$  is sequentially continuous.)

Proof. Suppose  $x_n \in \mathbb{F}_1$ ,  $y_n \in \mathbb{V}_2$ ,  $n \in \mathbb{N}_+$ , are s.t.

$$x_n \xrightarrow[n \rightarrow \infty]{} \bar{x} \text{ and } y_n \xrightarrow[n \rightarrow \infty]{} \bar{y}. \text{ Define } b_n: \mathbb{F}_1 \rightarrow \mathbb{V}_0, n \in \mathbb{N}_+,$$

by setting  $b_n(x) := T(x, y_n)$ . By assumption, each

$b_n$  is linear and contin. For a fixed  $x$ , so is the

$$\text{map } y \mapsto T(x, y) \text{ and thus } b_n(x) \xrightarrow[n \rightarrow \infty]{} T(x, \bar{y}).$$

Thus the orbit  $\{b_n(x)\}_{n \in \mathbb{N}_+}$  is Cauchy  $\stackrel{\text{& i.e.}}{\Rightarrow}$  bounded in  $\mathbb{V}_0$ .

By 10.8. a)  $\Rightarrow \{b_n\}_n$  is equicontinuous.

$$\text{If } 0 \in W \in \mathcal{J}_{\mathbb{V}_0} \Rightarrow \exists U \in \mathcal{J}_{\mathbb{V}_0} \text{ s.t. } 0 \in U, U+U \subset W.$$

By equicont.  $\Rightarrow \exists V \in \mathcal{J}_{\mathbb{F}_1}$  s.t.  $0 \in V$  and  $b_n(V) \subset U \forall n \in \mathbb{N}_+$ .

$$\text{Now } T(\bar{x}, \bar{y}) - T(x_n, y_n) = T(\bar{x}, \bar{y} - y_n) + b_n(\bar{x} - x_n).$$

By assumption,  $\exists N \in \mathbb{N}_+$  s.t.  $x_n \in \bar{x} - V$  and, as  $y \mapsto T(\bar{x}, y)$  is contin. & l.h.,  $\Rightarrow T(\bar{x}, \bar{y} - y_n) \rightarrow T(\bar{x}, 0) = 0$ , also  $T(\bar{x}, \bar{y} - y_n) \in U$ , for all  $n \geq N$ . Then  $\forall n \geq N$  we have  $T(\bar{x}, \bar{y}) - T(x_n, y_n) \in U + b_n(V) \subset U + U \subset W$ . This proves that  $T(x_n, y_n) \rightarrow T(\bar{x}, \bar{y}) \quad \square$

11.10. Proposition. a) If  $T: \mathcal{F}_1 \times \mathcal{F}_2 \rightarrow \mathcal{V}_0$  is multilin. and separately continuous, where  $\mathcal{F}_1, \mathcal{F}_2$  are F-spaces and  $\mathcal{V}_0$  is a topol. vect. space, then  $T$  is continuous.

b) If  $T: \prod_{i=1}^N \mathcal{B}_i \rightarrow \mathcal{B}_0$ , with  $\mathcal{B}_i = \text{Banach } \forall i=0, 1, \dots, N$ , is multilin. and separately continuous, then  $\exists M \geq 0$  s.t.  $\|T(x_1, \dots, x_N)\| \leq M \prod_{i=1}^N \|x_i\| \quad \forall x_i \in \mathcal{B}_i$ , for  $i=1, 2, \dots, N$

c) A conjugate multilinear map  $T: \prod_{i=1}^N \mathcal{X}_i \rightarrow \mathbb{C}$ ,  $N \in \mathbb{N}_+$ , belongs to  $\bigotimes_{i=1}^N \mathcal{X}_i$  if and only if

$$i) \sup_{\|x_i\|=1 \forall i} |Tx| < \infty$$

and ii) For some collection of ONBs  $(e_i(l_i))_{l_i \in I_i}$  for  $\mathcal{X}_i$ ,  $i=1, 2, \dots, N$ , we have

$$\sum_{l \in I := \prod_{i=1}^N I_i} |T(e_1(l_1), \dots, e_N(l_N))|^2 < \infty.$$

Proof. a) Since  $\mathcal{F}_1 \times \mathcal{F}_2$  is metrizable, sequential continuity  $\Rightarrow$  continuity. (If  $Tx \in U \in \mathcal{T}_{\mathcal{V}_0}$  and  $\forall n \in \mathbb{N}_+$ .  $B(x, \frac{1}{n}) \not\subset T^{-1}U \Rightarrow \exists x_n \in \mathcal{F}_1 \times \mathcal{F}_2$  s.t.  $d(x, x_n) < \frac{1}{n}$  and  $Tx_n \notin U \Rightarrow x_n \rightarrow x$  but  $Tx_n \not\rightarrow Tx \notin U$ .  $\therefore \forall x \in T^{-1}U \exists n \in \mathbb{N}_+$  s.t.  $B(x, \frac{1}{n}) \subset T^{-1}U$ .  $\therefore T^{-1}U$  is open.)

b) Exercise.

c) Suppose  $T: \prod_{i=1}^N \mathcal{X}_i \rightarrow \mathbb{C}$  is conj. multilin. and i) & ii) hold. Denote  $\lambda(l) = T(e_1(l_1), \dots, e_N(l_N)) \in \mathbb{C}$  for  $l \in I := \prod_{i=1}^N I_i$ . (Since  $\sum_{l \in I} |\lambda(l)|^2 < \infty$ )

$\Rightarrow \forall i \exists I_{0,i} \subset I_i$  which is countable and for  $l \notin I_0 := \prod_{i=1}^N I_{0,i}$  we have  $\lambda(l) = 0$ ) If  $v_i \neq 0 \forall i$

By i) & <sup>conj.</sup> multilin.  $\Rightarrow |T(v_1, \dots, v_N)| \leq \prod_{i=1}^N \|v_i\| M \quad (*)$



Where  $M := \sup_{\|x_i\|=1} |Tx| < \infty$ . If  $u_i = 0$  for some  $i$ ,

$$\Rightarrow |T(u_1, \dots, u_N)| = 0 \leq M \cdot 0 = M \prod_{i=1}^N \|u_i\|.$$

Thus (\*) holds  $\forall \Psi \in \prod_{i=1}^N \mathcal{H}_i \Rightarrow T$  is separately continuous. If  $u \in \prod_{i=1}^N \mathcal{H}_i \Rightarrow \forall i$  we can write

$$u_i = \sum_{e \in \tilde{I}_{i,0}} (e_i(e), u_i) e_i(e) \quad \text{where } \tilde{I}_{i,0} \subset I_i \text{ is countable.} \quad (2.17)$$

Thus by separate continuity and cons. multilinearity

$$T(u_1, \dots, u_N) = \sum_{e_1 \in \tilde{I}_{1,0}} \left( \sum_{e_2 \in \tilde{I}_{2,0}} \left( \dots \sum_{e_N \in \tilde{I}_{N,0}} \prod_{i=1}^N (u_i, e_i(e)) \cdot \lambda(e) \right) \dots \right)$$

$$\begin{aligned} \text{Letting } I_0 &:= \prod_{i=1}^N \tilde{I}_{i,0} \text{ we have here } \sum_{e \in I_0} \left| \prod_{i=1}^N (u_i, e_i(e)) \right|^2 \\ &= \prod_{i=1}^N \|u_i\|^2 < \infty \text{ and also } \sum_{e \in I_0} |\lambda(e)|^2 < \infty \end{aligned}$$

we can use Fubini and conclude that

$$T(u_1, \dots, u_N) = \sum_{e \in I_0} \lambda(e) \left( \bigotimes_{i=1}^N u_i, \bigotimes_{i=1}^N e_i(e) \right)$$

here summation can be made over all  $e \in I$  since, if  $e \notin I_0 \Rightarrow \exists i$  s.t.  $e_i \notin \tilde{I}_{i,0} \Rightarrow (e_i(e), u_i) = 0 \Rightarrow \left( \bigotimes_{i=1}^N u_i, \bigotimes_{i=1}^N e_i(e) \right) = 0$ . But since  $\sum_{e \in I} |\lambda(e)|^2 < \infty$  and  $\bigotimes_{i=1}^N e_i(e)$  is an ONB for  $\bigotimes_{i=1}^N \mathcal{H}_i$

$$\Rightarrow u = \sum_{e \in I} \lambda(e) \bigotimes_{i=1}^N e_i(e) \in \bigotimes_{i=1}^N \mathcal{H}_i \text{ and}$$

$$\left( \bigotimes_{i=1}^N u_i, u \right) = \sum_{e \in I} \lambda(e) \left( \bigotimes_{i=1}^N u_i, \bigotimes_{i=1}^N e_i(e) \right) = T(u_1, \dots, u_N)$$

This was the identification we made before  $\Rightarrow T \hat{=} u \in \bigotimes_{i=1}^N \mathcal{H}_i$   
 $\Rightarrow$  Suppose  $u \in \bigotimes_{i=1}^N \mathcal{H}_i$  and consider  $T: \prod_{i=1}^N \mathcal{H}_i \rightarrow \mathbb{C}$

defined by  $T(u_1, \dots, u_N) := \left( \bigotimes_{i=1}^N u_i, u \right)$ .  $T$  is clearly cons. mult. lin. and for any ONBs  $\sum_{e \in I} |T(e(e))|^2$

$$= \sum_{e \in I} \left| \left( \bigotimes_{i=1}^N e_i(e), u \right) \right|^2 \stackrel{2.17}{=} \|u\|^2 < \infty, \Rightarrow ii) \text{ holds.}$$

If  $\phi_i \in \mathcal{H}_i$  and  $\hat{u}_i = \begin{cases} u_i, & j \neq i \\ \phi_i, & j = i \end{cases}$  then

$$\bigotimes_{j=1}^N \hat{u}_j - \bigotimes_{j=1}^N u_j = \bigotimes_{j=1}^N u_j' \quad \text{where } u_j' = \begin{cases} u_j, & j \neq i \\ \phi_i - u_i, & j = i \end{cases}$$

$$\Rightarrow \left\| \bigotimes_{j=1}^N \hat{u}_j - \bigotimes_{j=1}^N u_j \right\|^2 = \prod_{j=1}^N \|u_j'\|^2$$

$$= \prod_{j \neq i} \|u_j\|^2 \cdot \|\phi_i - u_i\|^2. \quad \text{Let } \bar{T}(u) = T(u)^*.$$

$$\Rightarrow \left| \bar{T}(u | u_i \rightarrow \phi_i) - \bar{T}(u) \right| = \left| \left( \bigotimes_{j=1}^N \hat{u}_j - \bigotimes_{j=1}^N u_j, u \right)^* \right|$$

$$\leq \|u\| \left\| \bigotimes_{j=1}^N u_j' \right\| = \|u\| \prod_{j \neq i} \|u_j\| \cdot \|\phi_i - u_i\|$$

$\Rightarrow \bar{T}$  is separately contin. and multilin. By b)  $\Rightarrow \exists M \geq 0$  s.t.  $\forall u_i \in \mathcal{X}_i; |\bar{T}(u)| \leq M \prod_{j=1}^N \|u_j\|$ .

Thus if  $\|u_i\| = 1 \forall i \Rightarrow |T(u)| = |\bar{T}(u)| \leq M < \infty$ .

$\Rightarrow$  i) holds.  $\square$

Appendix to proof of Theorem 11.1.  $\circ$

\* We used here the fact that, if  $\Phi: V_1 \rightarrow V_2$  is a linear homeomorphism, then  $(x_n)$  is Cauchy in  $V_1$  if and only if  $(\Phi x_n)$  is Cauchy in  $V_2$ . Thus then  $V_1$  is complete iff  $V_2$  is complete. Without linearity the statement need not be true, as was seen in Ex. 5.2. b).

Proof:  $(x_n)$  Cauchy in  $V_1$  and  $0 \in V_2 \in T_{V_2}$   
 $\Rightarrow 0 \in \Phi^{-1}(V_2) \in T_{V_1} \Rightarrow \exists N \in \mathbb{N}_+$  s.t.  $x_n - x_m \in \Phi^{-1}(V_2) \forall n, m \geq N$   
 Thus if  $n, m \geq N \Rightarrow \Phi(x_n) - \Phi(x_m) = \Phi(x_n - x_m) \in V_2$ .  
 $\therefore (\Phi(x_n))$  is Cauchy in  $V_2$ .  
 The other direction follows by applying this to  $\Phi^{-1}$  which also is a linear homeomorphism.  $\square$