

Dependence logic  
 Problems 5  
 Tuesday 3.5.2011

1. Let  $\phi \in \Sigma_1^1(L \cup \{R\})$ , where  $\phi$  is in negation normal form and  $R$  is a  $k$ -ary relation symbol. We say that  $\phi$  is downwards monotone with respect to  $R$ , if for all  $L \cup \{R\}$  structures  $(\mathcal{M}, A)$  (where  $A \subseteq M^k$  interprets  $R$ ) and  $B \subseteq A$ :

$$(\mathcal{M}, A) \models \phi \Rightarrow (\mathcal{M}, B) \models \phi.$$

Furthermore, we say that  $R$  appears in  $\phi$  only negatively, if all subformulas of  $\phi$  involving  $R$  are of the form  $\neg R(t_1, \dots, t_k)$ . Show using induction on  $\phi$  that, if  $R$  appears only negatively in  $\phi$ , then  $\phi$  is downwards monotone with respect to  $\phi$ .

2. Let  $\phi$  be as in the first exercise. Show that if  $\phi$  is downwards monotone with respect to  $R$ , then there is a  $\Sigma_1^1$ -formula  $\phi^*$  logically equivalent to  $\phi$  in which  $R$  appears only negatively. (Hint: try replacing occurrences of  $R$  in  $\phi$  by a new relation symbol.)

3. The connective called intuitionistic implication  $\phi \multimap \psi$  is defined by

$$\mathcal{M} \models_X \phi \multimap \psi \text{ iff ( for all } Y \subseteq X : \text{ if } \mathcal{M} \models_Y \phi \text{ then } \mathcal{M} \models_Y \psi).$$

Let  $\mathcal{D}(\multimap)$  be the extension of dependence logic in which  $\multimap$  is introduced as a new connective but negation is only allowed in front of atomic formulas ( $\wedge$  and  $\forall$  are also available). Show that dependence atoms  $=(t_1, \dots, t_k)$  can be expressed in  $\mathcal{D}(\multimap)$  using only dependence atoms of the form  $=(t_i)$ .

4. Second-order logic is the extension of FO by universal and existential quantification of relation and function symbols. The interpretation of a formula of the form  $\forall R\psi$ , where  $R$  is  $k$ -ary, is that

$$\mathcal{M} \models_s \forall R\psi \Leftrightarrow (\mathcal{M}, S) \models_s \psi \text{ for all } S \subseteq M^k,$$

where  $S$  interprets  $R$ . Show that every formula  $\phi \in \mathcal{D}(\multimap)$  can be translated to second-order logic. It suffices to extend the translation  $\phi \mapsto \tau_{1,\phi}$  between dependence logic and  $\Sigma_1^1$  by a clause corresponding to  $\multimap$ . (see Theorem 6.2 of the course textbook on page 88.)

5. Let  $\phi$  be a sentence of  $\mathcal{D}(\multimap)$ . Construct a sentence  $\psi \in \mathcal{D}(\multimap)$  such that for all  $\mathcal{M}$ :

$$\mathcal{M} \models \psi \Leftrightarrow \mathcal{M} \not\models \phi.$$

This shows that, for sentences,  $\mathcal{D}(\rightarrow)$  is closed under classical negation.

**6.** Every sentence  $\phi \in \mathcal{D}$  is logically equivalent to a sentence  $\psi \in \mathcal{D}$  of the form

$$\forall x_1 \dots \forall x_n \exists x_1 \dots \exists x_m (\theta_1 \wedge \theta_2), \quad (1)$$

where  $\theta_1$  is a conjunction of dependence atoms and  $\theta_2$  is a quantifier-free first-order formula. Is the following generalization of this result possible: Every sentence  $\phi \in \mathcal{D}$  is strongly logically equivalent to a sentence as in (1)?