

✦ Academic exchanges

# Some properties of a class of elliptic partial differential operators

Shaolin Chen

(joint work with Matti Vuorinen)

Department of Mathematics and Computational Science, Hengyang  
Normal University

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- Preliminaries;
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## Preliminaries

- Let  $\mathbb{C}$  be the complex plane. For  $a \in \mathbb{C}$ , let  $\mathbb{D}(a, r) = \{z : |z - a| < r\}$ . In particular, we use  $\mathbb{D}_r$  to denote the disk  $\mathbb{D}(0, r)$  and  $\mathbb{D}$ , the open unit disk  $\mathbb{D}_1$ .

- For a real  $2 \times 2$  matrix, we will consider the matrix norm  $\|A\| = \sup\{|Az| : |z| = 1\}$  and the matrix function  $l(A) = \inf\{|Az| : |z| = 1\}$ . For  $z = x + iy \in \mathbb{C}$  with  $x$  and  $y$  real, we denote the *complex differential operators*

$$\frac{\partial}{\partial z} = \frac{1}{2} \left( \frac{\partial}{\partial x} - i \frac{\partial}{\partial y} \right) \quad \text{and} \quad \frac{\partial}{\partial \bar{z}} = \frac{1}{2} \left( \frac{\partial}{\partial x} + i \frac{\partial}{\partial y} \right).$$

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- If we denote the formal derivative of  $f = u + iv$  by

$$D_f = \begin{pmatrix} u_x & u_y \\ v_x & v_y \end{pmatrix},$$

then  $\|D_f\| = |f_z| + |f_{\bar{z}}|$  and  $l(D_f) = \left| |f_z| - |f_{\bar{z}}| \right|$ , where  $u, v$  are real functions,  $f_z = \partial f / \partial z$  and  $f_{\bar{z}} = \partial f / \partial \bar{z}$ .

- Throughout this talk, we denote by  $\mathcal{C}^n(\mathbb{D})$  the set of all  $n$ -times continuously differentiable complex-valued functions in  $\mathbb{D}$ , where  $n \in \{1, 2, \dots\}$ .

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• **Definition** For  $\alpha \in \mathbb{R}$  and  $z \in \mathbb{D}$ , let

$$T_\alpha = -\frac{\alpha^2}{4}(1 - |z|^2)^{-\alpha-1} + \frac{\alpha}{2}(1 - |z|^2)^{-\alpha-1} \left( z \frac{\partial}{\partial z} + \bar{z} \frac{\partial}{\partial \bar{z}} \right) + \frac{1}{4}(1 - |z|^2)^{-\alpha} \Delta$$

be the *second order elliptic partial differential operator*, where  $\Delta$  is the usual complex *Laplacian operator*

$$\Delta := 4 \frac{\partial^2}{\partial z \partial \bar{z}} = \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2}.$$

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- We consider the *Dirichlet boundary value problem* of distributional sense as follows

$$\begin{cases} T_\alpha(f) = 0 & \text{in } \mathbb{D}, \\ f = f^* & \text{on } \partial\mathbb{D}. \end{cases} \quad (1)$$

Here, the boundary data  $f^* \in \mathcal{D}'(\partial\mathbb{D})$  is a *distribution* on the boundary  $\partial\mathbb{D}$  of  $\mathbb{D}$ , and the boundary condition in (1) is interpreted in the distributional sense that  $f_r \rightarrow f^*$  in  $\mathcal{D}'(\partial\mathbb{D})$  as  $r \rightarrow 1-$ , where

$$f_r(e^{i\theta}) = f(re^{i\theta}), \quad e^{i\theta} \in \partial\mathbb{D}, \quad (2)$$

for  $r \in [0, 1)$  (see [A. Olofsson, \*J. Anal. Math.\*, 2014](#)).

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- In fact, the equation (1) can be rewritten as follows (see [A. Borichev, H. Hedenmalm, \*Adv. Math.\*, 2014](#)).

$$\begin{cases} (1 - |z|^2)\Delta f(z) + 2\alpha(zf_z(z) + \bar{z}f_{\bar{z}}(z)) - \alpha^2 f(z) = 0 & \text{in } \mathbb{D}, \\ f = f^* & \text{on } \partial\mathbb{D}. \end{cases}$$

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• In the paper of **A. Olofsson, *J. Anal. Math.*, 2014**, Olofsson proved that, for parameter values  $\alpha > -1$ , a function  $f \in \mathcal{C}^2(\mathbb{D})$  satisfies (1) if and only if it has the form of a *Poisson type integral*

$$f(z) = \frac{1}{2\pi} \int_0^{2\pi} K_\alpha(z e^{-i\tau}) f^*(e^{i\tau}) d\tau, \text{ for } z \in \mathbb{D}, \quad (3)$$

where

$$K_\alpha(z) = c_\alpha \frac{(1 - |z|^2)^{\alpha+1}}{|1 - z|^{\alpha+2}},$$

$c_\alpha = (\Gamma(\alpha/2 + 1))^2 / \Gamma(1 + \alpha)$  and  $\Gamma(s) = \int_0^\infty t^{s-1} e^{-t} dt$  for  $s > 0$  is the standard Gamma function. If we take  $\alpha = 2(n - 1)$ , then  $f$  is *polyharmonic* (or *n-harmonic*), where  $n \in \{1, 2, \dots\}$  (cf. **S. Chen, S. Ponnusamy, X. Wang, *J. Math. Anal. Appl.*, 2011** and **A. Borichev, H. Hedenmalm, *Adv. Math.*, 2014**). In particular, if  $\alpha = 0$ , then  $f$  is harmonic.

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## A Schwarz-Pick type estimate

- On the basis of Olofsson's research, we continue to investigate some properties of solutions to (1). The following is a Schwarz-Pick type estimate on the solutions to (1).

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• **Theorem 1** For  $\alpha > -1$ , let  $f \in \mathcal{C}^2(\mathbb{D})$  satisfying (1) and  $\sup_{z \in \mathbb{D}} |f(z)| \leq M$ , where  $M$  is a positive constant. Then, for  $z \in \mathbb{D}$ ,

$$\left| f(z) - \frac{(1 - |z|)^{\alpha+1}}{1 + |z|} f(0) \right| \leq M \left[ \frac{1}{2\pi} \int_0^{2\pi} K_\alpha(z e^{-it}) dt - \frac{(1 - |z|)^{\alpha+1}}{1 + |z|} K_\alpha(0) \right] \quad (4)$$

and

$$\|D_f(z)\| \leq \frac{M \mathcal{M}_\alpha(|z|) [2 + \alpha + (4 + 3\alpha)|z|]}{1 - |z|^2} \leq \frac{M [2 + \alpha + (4 + 3\alpha)|z|]}{1 - |z|^2}, \quad (5)$$

where

$$\mathcal{M}_\alpha(r) = \frac{1}{2\pi} \int_0^{2\pi} K_\alpha(r e^{it}) dt, \quad r \in (0, 1). \quad (6)$$

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- Let  $f$  be a harmonic mapping of  $\mathbb{D}$  onto  $\mathbb{D}$  with  $f(0) = 0$ . In the paper of **E. Heinz**, *Pacific J. Math.*, 1959, Heinz showed that, for  $z \in \mathbb{D}$ ,

$$\|D_f(z)\| \geq \frac{2}{\pi}.$$

- By using Theorem 1, we can get a Heinz type inequality on  $\partial\mathbb{D}$  as follows.

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• **Theorem 2** For  $\alpha \geq 0$ , let  $f \in \mathcal{C}^2(\overline{\mathbb{D}})$  satisfying (1). Suppose that  $f(0) = 0$ ,  $f(\overline{\mathbb{D}}) = \overline{\mathbb{D}}$  and  $f(\partial\mathbb{D}) = \partial\mathbb{D}$ .

(a) If  $\alpha = 0$ , then, for  $\theta \in [0, 2\pi]$ ,

$$\|D_f(e^{i\theta})\| \geq \frac{2}{\pi};$$

(b) If  $\alpha > 0$ , then, for  $\theta \in [0, 2\pi]$ ,

$$\|D_f(e^{i\theta})\| \geq \lim_{r \rightarrow 1^-} \frac{d}{dr} \mathcal{M}_\alpha(r) = \frac{\alpha}{2},$$

where  $\mathcal{M}_\alpha(r)$  is given by (6).

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## Coefficient estimates

- For  $a, b, c \in \mathbb{R}$  with  $c \neq 0, -1, -2, \dots$ , the *hypergeometric* function is defined by the power series

$$F(a, b; c; x) = \sum_{n=0}^{\infty} \frac{(a)_n (b)_n x^n}{(c)_n n!}, \quad |x| < 1,$$

where  $(a)_0 = 1$  and  $(a)_n = a(a+1) \cdots (a+n-1)$  for  $n = 1, 2, \dots$  are the *Pochhammer* symbols. Obviously, for  $n = 0, 1, 2, \dots$ ,  $(a)_n = \Gamma(a+n)/\Gamma(a)$ .

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• **Theorem A** Let  $\alpha \in \mathbb{R}$  and  $f \in \mathcal{C}^2(\mathbb{D})$ . Then  $f$  satisfies (1) if and only if it has a series expansion of the form

$$f(z) = \sum_{k=0}^{\infty} c_k F\left(-\frac{\alpha}{2}, k - \frac{\alpha}{2}; k + 1; |z|^2\right) z^k \\ + \sum_{k=1}^{\infty} c_{-k} F\left(-\frac{\alpha}{2}, k - \frac{\alpha}{2}; k + 1; |z|^2\right) \bar{z}^k, \quad z \in \mathbb{D},$$

for some sequence  $\{c_k\}_{k=-\infty}^{\infty}$  of complex numbers satisfying

$$\limsup_{|k| \rightarrow \infty} |c_k|^{\frac{1}{|k|}} \leq 1. \quad (7)$$

In particular, the above expansion, subject to (7), converges in  $\mathcal{C}^\infty(\mathbb{D})$ , and every solution  $f$  of (1) is  $\mathcal{C}^\infty$ -smooth in  $\mathbb{D}$ .

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- For  $\alpha = 0$ , there are numerous discussions on coefficient estimates of harmonic mappings in the literature (cf. [W. Szpapel, \*J. Anal. Math.\*, 2010](#) and [S. Chen, S. Ponnusamy, X. Wang, \*J. Math. Anal. Appl.\*, 2011](#)). We investigate the problem of coefficient estimates on the solutions to (1) as follows.

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• **Theorem 2** For  $\alpha > -1$ , let  $f \in \mathcal{C}^2(\mathbb{D})$  be a solution to (1) with the series expansion of the form in Theorem A and  $\sup_{z \in \mathbb{D}} |f(z)| \leq M$ , where  $M$  is a positive constant. Then, for  $k \in \{1, 2, \dots\}$ ,

$$\left| c_k F\left(-\frac{\alpha}{2}, k - \frac{\alpha}{2}; k + 1; 1\right) \right| + \left| c_{-k} F\left(-\frac{\alpha}{2}, k - \frac{\alpha}{2}; k + 1; 1\right) \right| \leq \frac{4M}{\pi} \quad (8)$$

and

$$\left| c_0 F\left(-\frac{\alpha}{2}, -\frac{\alpha}{2}; 1; 1\right) \right| \leq M.$$

In particular, if  $\alpha = 0$ , then the estimate of (8) is sharp and all the extreme functions are

$$f_k(z) = \frac{2\varepsilon M}{\pi} \operatorname{Im} \left( \log \frac{1 + \vartheta z^k}{1 - \vartheta z^k} \right), \quad |\varepsilon| = |\vartheta| = 1.$$

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• The following result easily follows from Theorem 2 and Proposition 1.4 in [A. Olofsson, \*J. Anal. Math.\*, 2014.](#)

• **Corollary 2.1** For  $\alpha > -1$ , let  $f \in \mathcal{C}^2(\mathbb{D})$  be a solution to (1) with the series expansion of the form in Theorem A and  $\sup_{z \in \mathbb{D}} |f(z)| \leq M$ , where  $M$  is a positive constant. Then, for  $k \in \{1, 2, \dots\}$ ,

$$|c_k| + |c_{-k}| \leq \frac{4M\Gamma\left(1 + \frac{\alpha}{2}\right)\Gamma\left(k + 1 + \frac{\alpha}{2}\right)}{k!\Gamma(\alpha + 1)\pi}.$$

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## A Landau type Theorem

• For  $p \in (0, \infty]$ , the *Hardy space*  $\mathcal{H}^p$  consists of those functions  $f : \mathbb{D} \rightarrow \mathbb{C}$  such that  $f$  is measurable,  $M_p(r, f)$  exists for all  $r \in (0, 1)$  and  $\|f\|_p < \infty$ , where

$$\|f\|_p = \begin{cases} \sup_{0 < r < 1} M_p(r, f), & \text{if } p \in (0, \infty), \\ \sup_{z \in \mathbb{D}} |f(z)|, & \text{if } p = \infty \end{cases} \quad \text{and } M_p^p(r, f) = \frac{1}{2\pi} \int_0^{2\pi} |f(re^{i\theta})|^p d\theta.$$

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- The classical theorem of Landau shows that there is a  $\rho = \frac{1}{M + \sqrt{M^2 - 1}}$  such that every function  $f$ , analytic in the unit disk  $|z| < 1$  with  $f(0) = f'(0) - 1 = 0$  and  $|f(z)| < M$  in  $|z| < 1$ , is univalent in the disk  $\mathbb{D}_\rho$  and in addition, the range  $f(\mathbb{D}_\rho)$  contains a disk of radius  $M\rho^2$  (see [E. Landau, \*Math. Z.\*, 1929](#)), where  $M \geq 1$  is a constant.
- Recently, many authors considered Landau type theorem for planar harmonic mappings (see [H. Chen, P. M. Gauthier, W. Hengartner, \*Proc. AMS\*, 2000](#)), bi-harmonic mappings (see [Z. Abdulhadi, Y. Abu Muhanna, \*J. Math. Anal. Appl.\*, 2008](#)) and polyharmonic mappings (see [S. Chen, S. Ponnusamy, X. Wang, \*J. Math. Anal. Appl.\*, 2011](#)).
- Applying Theorems 1 and 2, we get the following Landau type theorem.

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• **Theorem 3** For  $\alpha \in (-1, 0]$ , let  $f \in \mathcal{C}^2(\mathbb{D})$  be a solution to (1) satisfying  $f(0) = |J_f(0)| - \lambda = 0$  and  $f \in \mathcal{H}^p$ , where  $\lambda$  is a positive constant and  $J_f$  is the Jacobian of  $f$ . Then  $f$  is univalent in  $\mathbb{D}_{\gamma_0\rho_0}$ , where  $\rho_0$  satisfies the following equation

$$\frac{\lambda}{M^*(2+\alpha)} - \frac{4M^*\rho_0}{\pi} \left[ \frac{2-\rho_0}{(1-\rho_0)^2} + \frac{2\rho_0}{(1-\rho_0)(1-\rho_0^2)^2} \right] = 0,$$

where  $\mu(\gamma) = (1+\gamma)^{\frac{\alpha+1}{p}} / \left[ \gamma(1-\gamma)^{\frac{1}{p}} \right]$ ,  $\mu(\gamma_0) = \min_{0<\gamma<1} \mu(\gamma)$  and  $M^* = c_\alpha^{\frac{1}{p}} \|f\|_p \mu(\gamma_0)$ . Moreover,  $f(\mathbb{D}_{\gamma_0\rho_0})$  contains a univalent disk  $\mathbb{D}_{\gamma_0 R_0}$  with

$$R_0 \geq \frac{2\rho_0}{3} \left[ \frac{\lambda}{M^*(2+\alpha)} - \frac{M^*\rho_0(2-\rho_0)}{\pi(1-\rho_0)^2} \right].$$

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## The main Lemmas

- Lemma 1 For  $x \in [0, 1)$ , let

$$\varphi(x) = \frac{\delta}{M(2 + \alpha)} - \frac{4Mx}{\pi} \left[ \frac{(2 - x)}{(1 - x)^2} + \frac{2x}{(1 - x)(1 - x^2)^2} \right],$$

where  $\alpha > -2$ ,  $\delta > 0$  and  $M > 0$  are constant. Then  $\varphi$  is decreasing and there is an unique  $x_0 \in (0, 1)$  such that  $\varphi(x_0) = 0$ .

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• **Lemma 2** For  $\alpha \in (-1, 0]$ , let  $f \in \mathcal{C}^2(\mathbb{D})$  be a solution to (1) satisfying  $f(0) = |J_f(0)| - \beta = 0$  and  $\sup_{z \in \mathbb{D}} |f(z)| \leq M$ , where  $M, \beta$  are positive constants and  $J_f$  is the Jacobian of  $f$ . Then  $f$  is univalent in  $\mathbb{D}_{\rho_0}$ , where  $\rho_0$  satisfies the following equation

$$\frac{\beta}{M(2 + \alpha)} - \frac{4M\rho_0}{\pi} \left[ \frac{2 - \rho_0}{(1 - \rho_0)^2} + \frac{2\rho_0}{(1 - \rho_0)(1 - \rho_0^2)^2} \right] = 0.$$

Moreover,  $f(\mathbb{D}_{\rho_0})$  contains a univalent disk  $\mathbb{D}_{R_0}$  with

$$R_0 \geq \frac{2\rho_0}{3} \left[ \frac{\beta}{M(2 + \alpha)} - \frac{M\rho_0(2 - \rho_0)}{\pi(1 - \rho_0)^2} \right].$$

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• **Lemma 3** Let  $(\Omega, A, \mu)$  be a measure space such that  $\mu(\Omega) = 1$ . If  $g$  is a real-valued function that is  $\mu$ -integrable, and if  $\chi$  is a convex function on the real line, then

$$\chi\left(\int_{\Omega} g d\mu\right) \leq \int_{\Omega} \chi \circ g d\mu.$$

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- The proof of Theorem 3 For  $z \in \mathbb{D}_r$ , we have

$$f(z) = \frac{c_\alpha}{2\pi r^\alpha} \int_0^{2\pi} \frac{(r^2 - |z|^2)^{\alpha+1}}{|r - ze^{-it}|^{2+\alpha}} f(re^{it}) dt,$$

where  $r \in (0, 1)$ . Let

$$\phi_z(r) = \frac{c_\alpha}{2\pi r^\alpha} \int_0^{2\pi} \frac{(r^2 - |z|^2)^{\alpha+1}}{|r - ze^{-it}|^{2+\alpha}} dt,$$

where  $z \in \mathbb{D}_r$ . Applying Theorem 3.1 in [A. Olofsson, \*J. Anal. Math.\*, 2014](#), we see that, for  $z \in \mathbb{D}$ ,

$$\phi_z(1) \leq \lim_{|z| \rightarrow 1^-} \phi_z(1) = 1. \quad (9)$$

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By using Jensen's inequality (see Lemma 3), for  $p \geq 1$ , we get

$$\begin{aligned}
 \left| \frac{f(z)}{\phi_z(r)} \right|^p &= \left| \frac{1}{2\pi} \int_0^{2\pi} \frac{c_\alpha}{r^\alpha \phi_z(r)} \frac{(r^2 - |z|^2)^{\alpha+1}}{|r - ze^{-it}|^{2+\alpha}} f(re^{it}) dt \right|^p \\
 &\leq \frac{1}{2\pi} \int_0^{2\pi} \frac{c_\alpha}{r^\alpha \phi_z(r)} \frac{(r^2 - |z|^2)^{\alpha+1}}{|r - ze^{-it}|^{2+\alpha}} |f(re^{it})|^p dt \\
 &\leq \frac{c_\alpha}{r^\alpha \phi_z(r)} \frac{(r^2 - |z|^2)^{\alpha+1}}{(r - |z|)^{2+\alpha}} \left( \frac{1}{2\pi} \int_0^{2\pi} |f(re^{it})|^p dt \right) \\
 &\leq \frac{c_\alpha \|f\|_p^p}{r^\alpha \phi_z(r)} \frac{(r + |z|)^{\alpha+1}}{(r - |z|)},
 \end{aligned}$$

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which implies that

$$|f(z)| \leq \left[ \frac{c_\alpha \|f\|_p^p (\phi_z(r))^{p-1}}{r^\alpha} \right]^{\frac{1}{p}} \frac{(r + |z|)^{\frac{\alpha+1}{p}}}{(r - |z|)^{\frac{1}{p}}},$$

where  $z \in \mathbb{D}_r$ . By letting  $r \rightarrow 1-$  and (9), for  $z \in \mathbb{D}$ , we have

$$|f(z)| \leq \left[ c_\alpha \|f\|_p^p (\phi_z(1))^{p-1} \right]^{\frac{1}{p}} \frac{(1 + |z|)^{\frac{\alpha+1}{p}}}{(1 - |z|)^{\frac{1}{p}}} \leq c_\alpha^{\frac{1}{p}} \|f\|_p \frac{(1 + |z|)^{\frac{\alpha+1}{p}}}{(1 - |z|)^{\frac{1}{p}}}. \quad (10)$$

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For  $\zeta \in \mathbb{D}$ , let  $F(\zeta) = f(\gamma\zeta)/\gamma$ , where  $\gamma \in (0, 1)$ . It is not difficult to know that  $F(0) = |J_F(0)| - \lambda = 0$ . By (10), for  $\zeta \in \mathbb{D}$ , we obtain

$$|F(\zeta)| = \frac{|f(\gamma\zeta)|}{\gamma} \leq c_{\alpha}^{\frac{1}{p}} \|f\|_p \frac{(1 + \gamma)^{\frac{\alpha+1}{p}}}{\gamma(1 - \gamma)^{\frac{1}{p}}},$$

which gives that

$$|F(\zeta)| \leq c_{\alpha}^{\frac{1}{p}} \|f\|_p \min_{0 < \gamma < 1} \mu(\gamma),$$

where

$$\mu(\gamma) = (1 + \gamma)^{\frac{\alpha+1}{p}} / \left[ \gamma(1 - \gamma)^{\frac{1}{p}} \right].$$

Let  $\gamma_0 \in (0, 1)$  satisfying

$$\mu(\gamma_0) = \min_{0 < \gamma < 1} \mu(\gamma).$$

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By using Lemma 2, we observe that  $F$  is univalent in  $\mathbb{D}_{\rho_0}$ , where  $\rho_0$  satisfies the following equation

$$\frac{\lambda}{M^*(2 + \alpha)} - \frac{4M^*\rho_0}{\pi} \left[ \frac{2 - \rho_0}{(1 - \rho_0)^2} + \frac{2\rho_0}{(1 - \rho_0)(1 - \rho_0^2)^2} \right] = 0,$$

where  $M^* = c_\alpha^{\frac{1}{p}} \|f\|_p \mu(\gamma_0)$ . Moreover,  $F(\mathbb{D}_{\rho_0})$  contains a univalent disk  $\mathbb{D}_{R_0}$  with

$$R_0 \geq \frac{2\rho_0}{3} \left[ \frac{\lambda}{M^*(2 + \alpha)} - \frac{M^*\rho_0(2 - \rho_0)}{\pi(1 - \rho_0)^2} \right].$$

Hence  $f$  is univalent in  $\mathbb{D}_{\gamma_0\rho_0}$  and  $f(\mathbb{D}_{\gamma_0\rho_0})$  contains a univalent disk  $\mathbb{D}_{\gamma_0 R_0}$ . The proof of this theorem is complete.

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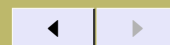
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