

1 Plane waves

The electromagnetic plane wave

$$\begin{aligned}\mathbf{E} &= \mathbf{E}_0 e^{i\mathbf{k}\cdot\mathbf{x}-i\omega t} \\ \mathbf{H} &= \mathbf{H}_0 e^{i\mathbf{k}\cdot\mathbf{x}-i\omega t}\end{aligned}\tag{1}$$

can, under certain conditions, fulfil Maxwell's equations. The physical fields correspond to the real parts of the complex-valued fields. The vectors \mathbf{E}_0 and \mathbf{H}_0 above are constant vectors and can be complex-valued. Similarly, the wave vector \mathbf{k} can be complex-valued:

$$\mathbf{k} = \mathbf{k}' + i\mathbf{k}'', \quad \mathbf{k}', \mathbf{k}'' \in \mathbb{R}^n\tag{2}$$

Inserting (2) into equation (1), we obtain

$$\begin{aligned}\mathbf{E} &= \mathbf{E}_0 e^{-\mathbf{k}''\cdot\mathbf{x}} e^{i\mathbf{k}'\cdot\mathbf{x}-i\omega t} \\ \mathbf{H} &= \mathbf{H}_0 e^{-\mathbf{k}''\cdot\mathbf{x}} e^{i\mathbf{k}'\cdot\mathbf{x}-i\omega t}\end{aligned}\tag{3}$$

In Eq. (3), $\mathbf{E}_0 e^{-\mathbf{k}''\cdot\mathbf{x}}$ and $\mathbf{H}_0 e^{-\mathbf{k}''\cdot\mathbf{x}}$ are amplitudes and $\mathbf{k}'\cdot\mathbf{x} - \omega t = \phi$ is the phase of the wave.

An equation of the form $\mathbf{k}\cdot\mathbf{x} = \text{constant}$ defines, in the case of a real-valued vector \mathbf{k} , a planar surface, whose normal is just the vector \mathbf{k} . Thus, \mathbf{k}' is perpendicular to the planes of constant phase and \mathbf{k}'' is perpendicular to the planes of constant amplitude. If $\mathbf{k}' \parallel \mathbf{k}''$, the planes coincide and the wave is *homogeneous*. If $\mathbf{k}' \not\parallel \mathbf{k}''$, the wave is *inhomogeneous*. A plane wave propagating in vacuum is homogeneous.

In the case of plane waves, Maxwell's equations can be written as

$$\begin{aligned}\mathbf{k}\cdot\mathbf{E}_0 &= 0 \\ \mathbf{k}\cdot\mathbf{H}_0 &= 0 \\ \mathbf{k}\times\mathbf{E}_0 &= \omega\mu\mathbf{H}_0 \\ \mathbf{k}\times\mathbf{H}_0 &= -\omega\epsilon\mathbf{E}_0\end{aligned}\tag{4}$$

The two upmost equations are conditions for the transverse nature of the waves: \mathbf{k} is perpendicular to both \mathbf{E}_0 and \mathbf{H}_0 . The two lowermost equations

show that \mathbf{E}_0 and \mathbf{H}_0 are perpendicular to each other. Since \mathbf{k} , \mathbf{E}_0 , and \mathbf{H}_0 are complex-valued, the geometric interpretation is not simple unless the waves are homogeneous.

It follows from Maxwell's equations (4) that, on one hand,

$$\mathbf{k} \times (\mathbf{k} \times \mathbf{E}_0) = \omega\mu\mathbf{k} \times \mathbf{H}_0 = -\omega^2\epsilon\mu\mathbf{E}_0 \quad (5)$$

and, on the other hand,

$$\mathbf{k} \times (\mathbf{k} \times \mathbf{E}_0) = \mathbf{k}(\mathbf{k} \cdot \mathbf{E}_0) - \mathbf{E}_0(\mathbf{k} \cdot \mathbf{k}) = -\mathbf{E}_0(\mathbf{k} \cdot \mathbf{k}), \quad (6)$$

so that

$$\mathbf{k} \cdot \mathbf{k} = \omega^2\epsilon\mu. \quad (7)$$

Plane wave solutions are in agreement with Maxwell's equations if

$$\mathbf{k} \cdot \mathbf{E}_0 = \mathbf{k} \cdot \mathbf{H}_0 = \mathbf{E}_0 \cdot \mathbf{H}_0 = 0 \quad (8)$$

and if

$$k'^2 - k''^2 + 2i\mathbf{k}' \cdot \mathbf{k}'' = \omega^2\epsilon\mu. \quad (9)$$

Note that ϵ and μ are properties of the medium, whereas \mathbf{k}' and \mathbf{k}'' are properties of the wave. Thus, ϵ and μ do not unambiguously determine the details of wave propagation.

In the case of a homogeneous plane wave ($\mathbf{k}' \parallel \mathbf{k}''$),

$$\mathbf{k} = (k' + ik'')\hat{\mathbf{e}}, \quad (10)$$

where k' and k'' are non-negative and $\hat{\mathbf{e}}$ is an arbitrary real-valued unit vector.

According to Eq. (7),

$$(k' + ik'')^2 = \omega^2\epsilon\mu = \frac{\omega^2 m^2}{c^2}, \quad (11)$$

where $c = 1/\sqrt{\epsilon_0\mu_0}$ is the speed of light in vacuum and m is the complex-valued refractive index

$$m = \sqrt{\frac{\epsilon\mu}{\epsilon_0\mu_0}} = m_r + im_i, \quad m_r, m_i \geq 0. \quad (12)$$

In vacuum, the wave number is $\omega/c = 2\pi/\lambda$, where λ is the wavelength. The general homogeneous plane wave takes the form

$$\mathbf{E} = \mathbf{E}_0 e^{-\frac{2\pi m_i s}{\lambda}} e^{i\frac{2\pi m_r s}{\lambda} - i\omega t} \quad (13)$$

where $s = \mathbf{e} \cdot \mathbf{x}$. The imaginary and real parts of the refractive index determine the attenuation and phase velocity $v = c/m_r$ of the wave, respectively.

2 Poynting vector

Let us study the electromagnetic field \mathbf{E} , \mathbf{H} that is time harmonic. For the physical fields (the real parts of the complex-valued fields), the Poynting vector

$$\mathbf{S} = \mathbf{E} \times \mathbf{H} \quad (14)$$

describes the direction and amount of energy transfer everywhere in the space.

Let \mathbf{n} be the unit normal vector of the planar surface element A . Electromagnetic energy is transferred through the planar surface with power $\mathbf{S} \cdot \mathbf{n} A$, where \mathbf{S} is assumed constant on the surface. For an arbitrary surface and \mathbf{S} depending on location, the power is

$$W = - \int_A \mathbf{S} \cdot \mathbf{n} dA, \quad (15)$$

where \mathbf{n} is the outward unit normal vector and the sign has been chosen so that positive W corresponds to absorption in the case of a closed surface.

The time-averaged Poynting vector

$$\langle \mathbf{S} \rangle = \frac{1}{\tau} \int_t^{t+\tau} \mathbf{S}(t') dt' \quad \tau \gg 1/\omega \quad (16)$$

is more important than the momentary Poynting vector (cf. measurements).

The time-averaged Poynting vector for time-harmonic fields is

$$\langle \mathbf{S} \rangle = \frac{1}{2} \text{Re}\{\mathbf{E} \times \mathbf{H}^*\} \quad (17)$$

and, in what follows, this is the Poynting vector meant even though the averaging is not always shown explicitly.

For a plane wave field, the Poynting vector is

$$\mathbf{S} = \frac{1}{2} \text{Re}\{\mathbf{E} \times \mathbf{H}^*\} = \text{Re}\left\{\frac{\mathbf{E} \times (\mathbf{k}^* \times \mathbf{E}^*)}{2\omega\mu^*}\right\}, \quad (18)$$

where

$$\mathbf{E} \times (\mathbf{k}^* \times \mathbf{E}^*) = \mathbf{k}^*(\mathbf{E} \cdot \mathbf{E}^*) - \mathbf{E}^*(\mathbf{k}^* \cdot \mathbf{E}). \quad (19)$$

For a homogeneous plane wave,

$$\mathbf{k} \cdot \mathbf{E} = \mathbf{k}^* \cdot \mathbf{E} = 0 \quad (20)$$

and

$$\mathbf{S} = \frac{1}{2} \text{Re}\left\{\frac{\sqrt{\epsilon\mu}}{\mu^*}\right\} |\mathbf{E}_0|^2 e^{-\frac{4\pi \text{Im}(m)z}{\lambda}} \hat{\mathbf{e}}_z. \quad (21)$$

3 Stokes parameters

Consider the following experiment for an arbitrary monochromatic light source (see Bohren & Huffman p. 46). In the experiment, we make use of a measuring apparatus and polarizers with ideal performance: the measuring apparatus detects energy flux density independently of the state of polarization and the polarizers do not change the amplitude of the transmitted wave.

Denote

$$\begin{aligned} \mathbf{E} &= \mathbf{E}_0 e^{ikz - i\omega t}, & \mathbf{E}_0 &= E_\perp \hat{\mathbf{e}}_\perp + E_\parallel \hat{\mathbf{e}}_\parallel \\ E_\perp &= a_\perp e^{-i\delta_\perp} \\ E_\parallel &= a_\parallel e^{-i\delta_\parallel} & a_\perp, a_\parallel &\geq 0, \delta_\perp, \delta_\parallel \in \mathbb{R} \end{aligned} \quad (22)$$

Experiment I

No polarizer: the flux density is proportional to

$$|\mathbf{E}_0|^2 = E_\parallel E_\parallel^* + E_\perp E_\perp^* \quad (23)$$

Experiment II

Linear polarizers \parallel and \perp :

- 1) \parallel : the amplitude of the transmitted wave is E_{\parallel} and the flux density is $E_{\parallel}E_{\parallel}^*$
- 2) \perp : the amplitude of the transmitted wave is E_{\perp} and the flux density is $E_{\perp}E_{\perp}^*$

The difference of the two measurements is $I_{\parallel} - I_{\perp} = E_{\parallel}E_{\parallel}^* - E_{\perp}E_{\perp}^*$.

Experiment III

Linear polarizers $+45^\circ$ ja -45° : The new basis vectors are

$$\begin{cases} \hat{\mathbf{e}}_+ = \frac{1}{\sqrt{2}}(\hat{\mathbf{e}}_{\parallel} + \hat{\mathbf{e}}_{\perp}) \\ \hat{\mathbf{e}}_- = \frac{1}{\sqrt{2}}(\hat{\mathbf{e}}_{\parallel} - \hat{\mathbf{e}}_{\perp}) \end{cases}$$

and

$$\begin{aligned} \mathbf{E}_0 &= E_+ \hat{\mathbf{e}}_+ + E_- \hat{\mathbf{e}}_- \\ E_+ &= \frac{1}{\sqrt{2}}(E_{\parallel} + E_{\perp}) \\ E_- &= \frac{1}{\sqrt{2}}(E_{\parallel} - E_{\perp}). \end{aligned}$$

- 1) $+45^\circ$: the amplitude of the transmitted wave is E_+ and the flux density is

$$E_+ E_+^* = \frac{1}{2}(E_{\parallel} E_{\parallel}^* + E_{\parallel} E_{\perp}^* + E_{\perp} E_{\parallel}^* + E_{\perp} E_{\perp}^*)$$

- 2) -45° : the amplitude of the transmitted wave is E_- and the flux density is

$$E_- E_-^* = \frac{1}{2}(E_{\parallel} E_{\parallel}^* - E_{\parallel} E_{\perp}^* - E_{\perp} E_{\parallel}^* + E_{\perp} E_{\perp}^*)$$

The difference of the measurements is $I_+ - I_- = E_{\parallel}E_{\perp}^* + E_{\perp}E_{\parallel}^*$.

Experiment IV

Circular polarizers R and L :

$$\begin{aligned} \hat{\mathbf{e}}_R &= \frac{1}{\sqrt{2}}(\hat{\mathbf{e}}_{\parallel} + i\hat{\mathbf{e}}_{\perp}) & \hat{\mathbf{e}}_R \cdot \hat{\mathbf{e}}_R^* &= 1 \\ \hat{\mathbf{e}}_L &= \frac{1}{\sqrt{2}}(\hat{\mathbf{e}}_{\parallel} - i\hat{\mathbf{e}}_{\perp}) & \hat{\mathbf{e}}_L \cdot \hat{\mathbf{e}}_L^* &= 1 & \hat{\mathbf{e}}_R \cdot \hat{\mathbf{e}}_L^* &= 0 \end{aligned}$$

and

$$\begin{aligned}
\mathbf{E}_0 &= E_R \hat{\mathbf{e}}_R + E_L \hat{\mathbf{e}}_L \\
E_R &= \frac{1}{\sqrt{2}}(E_{\parallel} - iE_{\perp}) \\
E_L &= \frac{1}{\sqrt{2}}(E_{\parallel} + iE_{\perp}).
\end{aligned}$$

- 1) R : the amplitude of the transmitted wave is E_R and the flux density is $E_R E_R^* = \frac{1}{2}(E_{\parallel} E_{\parallel}^* - iE_{\parallel}^* E_{\perp} + iE_{\perp}^* E_{\parallel} + E_{\perp} E_{\perp}^*)$
- 2) L : the amplitude of the transmitted wave is E_L and the flux density is $E_L E_L^* = \frac{1}{2}(E_{\parallel} E_{\parallel}^* + iE_{\parallel}^* E_{\perp} - iE_{\perp}^* E_{\parallel} + E_{\perp} E_{\perp}^*)$

The difference of the measurements is $I_R - I_L = i(E_{\perp}^* E_{\parallel} - E_{\parallel}^* E_{\perp})$.

With the help of Experiments I-IV, we have determined the Stokes parameters I , Q , U , and V :

$$\begin{aligned}
I &= E_{\parallel} E_{\parallel}^* + E_{\perp} E_{\perp}^* = a_{\parallel}^2 + a_{\perp}^2 \\
Q &= E_{\parallel} E_{\parallel}^* - E_{\perp} E_{\perp}^* = a_{\parallel}^2 - a_{\perp}^2 \\
U &= E_{\parallel} E_{\perp}^* + E_{\perp} E_{\parallel}^* = 2a_{\parallel} a_{\perp} \cos \delta \\
V &= i(E_{\parallel} E_{\perp}^* - E_{\perp} E_{\parallel}^*) = 2a_{\parallel} a_{\perp} \sin \delta \quad \delta = \delta_{\parallel} - \delta_{\perp} \quad (24)
\end{aligned}$$