

Part I: Computability on uncountable structures

Arno Pauly

Swansea University

Minicourse *Computable Analysis*, Helsinki University

Coding in the classical setting

- ▶ Turing machines give us a notion of computability over finite sequences.
- ▶ We want computability over integers, graphs, matrices, logical formulas, finite groups, . . .
- ▶ So we need to code the objects of interest over finite sequences.
- ▶ This is almost always utterly straight-forward.
- ▶ But what if we want to compute with objects from uncountable spaces such as real numbers?

Overview

- Day 1 Computability on Uncountable Structures: Computability on Cantor space, represented spaces and synthetic topology
- Day 2 Examples: The continuous functions on the unit interval; probability measures; the space of countable ordinals
- Day 3 Non-computability: An introduction to Weihrauch degrees

Outline

Type 2 Computability and represented spaces

Basic constructions on represented spaces

Synthetic topology

Admissibility

Further reading

Type-2 Machines

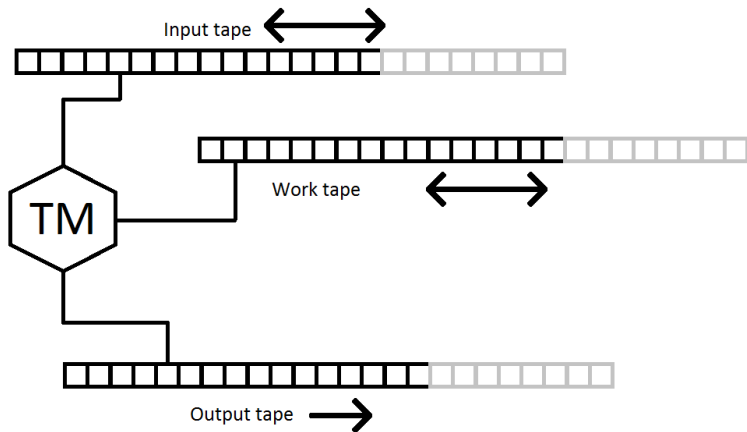


Figure: A Type-2 Machine

Alternative definition: Turing functionals

Definition

An Oracle-TM M computes $f : \subseteq \{0, 1\}^{\mathbb{N}} \rightarrow \{0, 1\}^{\mathbb{N}}$, if whenever M is provided $p \in \text{dom}(f)$ as an oracle, it computes the total function $n \mapsto f(p)(n)$.

$\{0, 1\}^{\mathbb{N}}$ carries the topology induced by the metric
 $d(p, q) = 2^{-\min\{n \mid p(n) \neq q(n)\}}$.

Theorem

A function $f : \subseteq \{0, 1\}^{\mathbb{N}} \rightarrow \{0, 1\}^{\mathbb{N}}$ is continuous iff it is computable relative to some oracle.

Represented spaces and computability

Definition

A *represented space* \mathbf{X} is a pair (X, δ_X) where X is a set and $\delta_X : \subseteq \{0, 1\}^{\mathbb{N}} \rightarrow X$ a surjective partial function.

Definition

$F : \subseteq \{0, 1\}^{\mathbb{N}} \rightarrow \{0, 1\}^{\mathbb{N}}$ is a *realizer* of $f : \subseteq \mathbf{X} \Rightarrow \mathbf{Y}$, iff $\delta_Y(F(p)) \in f(\delta_X(p))$ for all $p \in \text{dom}(f\delta_X)$.

$$\begin{array}{ccc} \{0, 1\}^{\mathbb{N}} & \xrightarrow{F} & \{0, 1\}^{\mathbb{N}} \\ \downarrow \delta_X & & \downarrow \delta_Y \\ \mathbf{X} & \xrightarrow{f} & \mathbf{Y} \end{array}$$

Definition

$f : \subseteq \mathbf{X} \Rightarrow \mathbf{Y}$ is called *computable* (continuous), iff it has a computable (continuous) realizer.

A first attempt at a definition

Idea: Just use the decimal representation of reals as a coding scheme.



Alan Turing.

On computable numbers, with an application to the Entscheidungsproblem.

Proceedings of the LMS 1936.

Why that does not work

Can we multiply by 3?

Input : 0.3333333333333333333333333333...

If we output 0.9, we could read a 4 next.

If we output 1.0, we could read a 2 next.

\Rightarrow : We cannot.

A first attempt at a definition

Idea: Code a real number $x \in \mathbb{R}$ by a sequence $(a_n)_{n \in \mathbb{N}}$ of (dyadic) rational numbers such that $|x - a_n| < 2^{-n}$.



Alan Turing.

On computable numbers, with an application to the Entscheidungsproblem: Corrections.

Proceedings of the LMS 1937.

This works!

Products and sums

- ▶ Let $\langle p, q \rangle \in \{0, 1\}^{\mathbb{N}}$ be defined via $\langle p, q \rangle(2n) = p(n)$ and $\langle p, q \rangle(2n + 1) = q(n)$.
- ▶ Given represented spaces \mathbf{X} and \mathbf{Y} , let $\mathbf{X} \times \mathbf{Y} := (X \times Y, \delta_{X \times Y})$ be defined via $\delta_{X \times Y}(\langle p, q \rangle) = (\delta_X(p), \delta_Y(q))$.
- ▶ Moreover, let $\mathbf{X} + \mathbf{Y} := (\{0\} \times X \cup \{1\} \times Y, \delta_{X+Y})$ be defined via $\delta_{X+Y}(0p) = (0, \delta_X(p))$ and $\delta_{X+Y}(1p) = (1, \delta_Y(p))$.

Function spaces

Definition

For represented spaces \mathbf{X} , \mathbf{Y} , define the function space $\mathcal{C}(\mathbf{X}, \mathbf{Y})$ by $np \in \mathbb{N}^{\mathbb{N}}$ being a name of $f : X \rightarrow Y$, iff the n -th oracle machine with oracle p realizes f .

I call the elements of $\mathcal{C}(\mathbf{X}, \mathbf{Y})$ continuous functions. This is not topological continuity!

Properties of function spaces

Proposition

Let \mathbf{X} , \mathbf{Y} , \mathbf{Z} , \mathbf{U} be represented spaces. Then the following functions are computable:

1. $\text{eval} : \mathcal{C}(\mathbf{X}, \mathbf{Y}) \times \mathbf{X} \rightarrow \mathbf{Y}$ defined via $\text{eval}(f, x) = f(x)$.
2. $\text{curry} : \mathcal{C}(\mathbf{X} \times \mathbf{Y}, \mathbf{Z}) \rightarrow \mathcal{C}(\mathbf{X}, \mathcal{C}(\mathbf{Y}, \mathbf{Z}))$ defined via $\text{curry}(f) = x \mapsto (y \mapsto f(x, y))$.
3. $\text{uncurry} : \mathcal{C}(\mathbf{X}, \mathcal{C}(\mathbf{Y}, \mathbf{Z})) \rightarrow \mathcal{C}(\mathbf{X} \times \mathbf{Y}, \mathbf{Z})$ defined via $\text{uncurry}(f) = (x, y) \mapsto f(x)(y)$.
4. $\circ : \mathcal{C}(\mathbf{Y}, \mathbf{Z}) \times \mathcal{C}(\mathbf{X}, \mathbf{Y}) \rightarrow \mathcal{C}(\mathbf{X}, \mathbf{Z})$, the composition of functions
5. $\times : \mathcal{C}(\mathbf{X}, \mathbf{Y}) \times \mathcal{C}(\mathbf{U}, \mathbf{Z}) \rightarrow \mathcal{C}(\mathbf{X} \times \mathbf{U}, \mathbf{Y} \times \mathbf{Z})$
6. $\text{const} : \mathbf{Y} \rightarrow \mathcal{C}(\mathbf{X}, \mathbf{Y})$ defined via $\text{const}(y) = (x \mapsto y)$.

Closed and open sets

Definition (Sierpiński space)

Let \mathbb{S} be defined via $\delta_{\mathbb{S}}(0^{\mathbb{N}}) = 1$ and $\delta_{\mathbb{S}}(p) = 0$ for $p \neq 0^{\mathbb{N}}$.

Definition

Introduce $\mathcal{O}(\mathbf{X})$ by identifying $U \subseteq X$ with $\chi_U \in \mathcal{C}(\mathbf{X}, \mathbb{S})$.

Introduce $\mathcal{A}(\mathbf{X})$ by identifying $A \subseteq X$ with $(X \setminus A) \in \mathcal{O}(\mathbf{X})$.

Remark: The sets in $\mathcal{O}(\mathbf{X})$ happen to be the final topology on X along δ_X .

Basic operations on sets

Proposition

Let \mathbf{X}, \mathbf{Y} be represented spaces. Then the following functions are well-defined and computable:

1. $^c : \mathcal{O}(\mathbf{X}) \rightarrow \mathcal{A}(\mathbf{X}), ^c : \mathcal{A}(\mathbf{X}) \rightarrow \mathcal{O}(\mathbf{X})$ mapping a set to its complement
2. $\cup : \mathcal{O}(\mathbf{X}) \times \mathcal{O}(\mathbf{X}) \rightarrow \mathcal{O}(\mathbf{X}), \cup : \mathcal{A}(\mathbf{X}) \times \mathcal{A}(\mathbf{X}) \rightarrow \mathcal{A}(\mathbf{X})$
3. $\cap : \mathcal{O}(\mathbf{X}) \times \mathcal{O}(\mathbf{X}) \rightarrow \mathcal{O}(\mathbf{X}), \cap : \mathcal{A}(\mathbf{X}) \times \mathcal{A}(\mathbf{X}) \rightarrow \mathcal{A}(\mathbf{X})$
4. $\bigcup : \mathcal{C}(\mathbb{N}, \mathcal{O}(\mathbf{X})) \rightarrow \mathcal{O}(\mathbf{X})$ mapping a sequence $(U_n)_{n \in \mathbb{N}}$ of open sets to their union $\bigcup_{n \in \mathbb{N}} U_n$
5. $\bigcap : \mathcal{C}(\mathbb{N}, \mathcal{A}(\mathbf{X})) \rightarrow \mathcal{A}(\mathbf{X})$ mapping a sequence $(A_n)_{n \in \mathbb{N}}$ of closed sets to their intersection $\bigcap_{n \in \mathbb{N}} A_n$
6. $^{-1} : \mathcal{C}(\mathbf{X}, \mathbf{Y}) \rightarrow \mathcal{C}(\mathcal{O}(\mathbf{Y}), \mathcal{O}(\mathbf{X}))$ mapping f to f^{-1} as a set-valued function for open sets
7. $\in : \mathbf{X} \times \mathcal{O}(\mathbf{X}) \rightarrow \mathbb{S}$ defined via $\in(x, U) = 1$, if $x \in U$.
8. $\times : \mathcal{A}(\mathbf{X}) \times \mathcal{A}(\mathbf{Y}) \rightarrow \mathcal{A}(\mathbf{X} \times \mathbf{Y})$

Compactness

Definition

A represented space \mathbf{X} is (computably) compact, if the map $\text{isEmpty}_{\mathbf{X}} : \mathcal{A}(\mathbf{X}) \rightarrow \mathbb{S}$ defined via $\text{isEmpty}_{\mathbf{X}}(\emptyset) = 1$ and $\text{isEmpty}_{\mathbf{X}}(A) = 0$ otherwise is continuous (computable).

Equivalent characterizations

1. \mathbf{X} is (computably) compact.
2. $\text{IsFull}_{\mathbf{X}} : \mathcal{O}(\mathbf{X}) \rightarrow \mathbb{S}$ is continuous (computable).
3. For every (computable) $A \in \mathcal{A}(\mathbf{X})$ the subspace \mathbf{A} is (computably) compact.
4. $\subseteq : \mathcal{A}(\mathbf{X}) \times \mathcal{O}(\mathbf{X}) \rightarrow \mathbb{S}$ is continuous (computable).
5. $\text{IsCover} : \mathcal{C}(\mathbb{N}, \mathcal{O}(\mathbf{X})) \rightarrow \mathbb{S}$ is continuous (computable).
6. $\text{FiniteSubcover} : \subseteq \mathcal{C}(\mathbb{N}, \mathcal{O}(\mathbf{X})) \Rightarrow \mathbb{N}$ is continuous (computable).
7. $\text{Enough} : \subseteq \mathcal{C}(\mathbb{N}, \mathcal{A}(\mathbf{X})) \Rightarrow \mathbb{N}$ is continuous (computable).
8. For all \mathbf{Y} , the map $\pi_2 : \mathcal{A}(\mathbf{X} \times \mathbf{Y}) \rightarrow \mathcal{A}(\mathbf{Y})$ is continuous (computable).
9. For some non-empty \mathbf{Y} (containing a computable point), the map $\pi_2 : \mathcal{A}(\mathbf{X} \times \mathbf{Y}) \rightarrow \mathcal{A}(\mathbf{Y})$ is continuous (computable).

Compact sets

Definition

Define $\mathcal{K}(\mathbf{X})$ by identifying $K \subseteq X$ with $\{U \in \mathcal{O}(\mathbf{X}) \mid K \subseteq U\} \in \mathcal{O}(\mathcal{O}(\mathbf{X}))$ whenever $K = \bigcap \{U \in \mathcal{O}(\mathbf{X}) \mid K \subseteq U\}$.

Proposition

The following are computable:

1. $\subseteq : \mathcal{K}(\mathbf{X}) \times \mathcal{O}(\mathbf{X}) \rightarrow \mathbb{S}$
2. $\cup : \mathcal{K}(\mathbf{X}) \times \mathcal{K}(\mathbf{X}) \rightarrow \mathcal{K}(\mathbf{X})$
3. $\cap : \mathcal{K}(\mathbf{X}) \times \mathcal{A}(\mathbf{X}) \rightarrow \mathcal{K}(\mathbf{X})$
4. $^{-1} : \subseteq \mathcal{C}(\mathcal{O}(\mathbf{Y}), \mathcal{O}(\mathbf{X})) \rightarrow \mathcal{C}(\mathcal{K}(\mathbf{X}), \mathcal{K}(\mathbf{Y}))$
5. $f \mapsto f : \mathcal{C}(\mathbf{X}, \mathbf{Y}) \rightarrow \mathcal{C}(\mathcal{K}(\mathbf{X}), \mathcal{K}(\mathbf{Y}))$

Proposition

\mathbf{X} is computably compact iff $\text{id} : \mathcal{A}(\mathbf{X}) \rightarrow \mathcal{K}(\mathbf{X})$ is well-defined and computable.

Overt spaces

Definition

Call \mathbf{X} *computably overt*, iff $\text{isNotEmpty}_{\mathbf{X}} : \mathcal{O}(\mathbf{X}) \rightarrow \mathbb{S}$ is computable.

Remark: $\text{isNotEmpty}_{\mathbf{X}} : \mathcal{O}(\mathbf{X}) \rightarrow \mathbb{S}$ is always continuous.

Overt sets

Definition

Define $\mathcal{V}(\mathbf{X})$ by identifying $A \subseteq X$ with $\{U \in \mathcal{O}(\mathbf{X}) \mid A \cap U \neq \emptyset\} \in \mathcal{O}(\mathcal{O}(\mathbf{X}))$ whenever $A = \overline{A}$.

Proposition

The following are computable:

1. Intersects : $\mathcal{V}(\mathbf{X}) \times \mathcal{O}(\mathbf{X}) \rightarrow \mathbb{S}$
2. $\cup : \mathcal{V}(\mathbf{X}) \times \mathcal{V}(\mathbf{X}) \rightarrow \mathcal{V}(\mathbf{X})$
3. $\overline{\cup} : \mathcal{C}(\mathbb{N}, \mathcal{V}(\mathbf{X})) \rightarrow \mathcal{V}(\mathbf{X})$
4. $\cap : \mathcal{V}(\mathbf{X}) \times \mathcal{O}(\mathbf{X}) \rightarrow \mathcal{V}(\mathbf{X})$
5. $(f, A) \mapsto \overline{f(A)} : \mathcal{C}(\mathbf{X}, \mathbf{Y}) \times \mathcal{V}(\mathbf{X}) \rightarrow \mathcal{V}(\mathbf{Y})$

Quantifying

Theorem

The following are computable:

1. $\exists : \mathcal{O}(\mathbf{X} \times \mathbf{Y}) \times \mathcal{V}(\mathbf{X}) \rightarrow \mathcal{O}(\mathbf{Y} \text{ mapping } (R, A) \text{ to } \{y \in \mathbf{Y} \mid \exists x \in A (x, y) \in R\})$
2. $\forall : \mathcal{O}(\mathbf{X} \times \mathbf{Y}) \times \mathcal{K}(\mathbf{X}) \rightarrow \mathcal{O}(\mathbf{Y} \text{ mapping } (R, A) \text{ to } \{y \in \mathbf{Y} \mid \forall x \in A (x, y) \in R\})$

T_2 separation

Definition

A represented space \mathbf{X} is (computably) T_2 , if the map $x \mapsto \{x\} : \mathbf{X} \rightarrow \mathcal{A}(\mathbf{X})$ is well-defined and continuous (computable).

Example

The cofinite space $\{\{n\} \in \mathcal{A}(\mathbb{N}) \mid n \in \mathbb{N}\}$ is **not** T_2 .

T_2 , characterization

1. \mathbf{X} is computably T_2 .
2. $\text{id} : \mathcal{K}(\mathbf{X}) \rightarrow \mathcal{A}(\mathbf{X})$ is well-defined and computable.
3. $\cap : \mathcal{K}(\mathbf{X}) \times \mathcal{K}(\mathbf{X}) \rightarrow \mathcal{K}(\mathbf{X})$ is well-defined and computable, and \mathbf{X} is T_0 .
4. $\neq : \mathbf{X} \times \mathbf{X} \rightarrow \mathbb{S}$ defined via $\neq(x, x) = 0$ and $\neq(x, y) = 1$ otherwise is computable.
5. $\Delta_{\mathbf{X}} = \{(x, x) \mid x \in \mathbf{X}\} \in \mathcal{A}(\mathbf{X} \times \mathbf{X})$ is computable.

Observation

$\mathcal{K}(\mathbf{X})$ and $\mathcal{A}(\mathbf{X})$ are computably identical, iff \mathbf{X} is a computably compact computable T_2 space.

There is much more...

Proposition

Let \mathbf{X} be (computably) compact and \mathbf{Y} (computably) T_2 . Then the continuous maps in $\mathcal{C}(\mathbf{X}, \mathbf{Y})$ are uniformly proper, i.e. the map $(f, K) \mapsto f^{-1}(K) : \mathcal{C}(\mathbf{X}, \mathbf{Y}) \times \mathcal{K}(\mathbf{Y}) \rightarrow \mathcal{K}(\mathbf{X})$ is well-defined and continuous (computable).

Standard injection

Definition

A computable canonic injection $\kappa : \mathbf{X} \rightarrow \mathcal{K}(\mathbf{X})$ is obtained via $x \mapsto (U \mapsto (x \in U))$. We denote its image by \mathbf{X}_κ .

Admissibility

Definition

Call \mathbf{X} (computably) admissible, iff $\kappa : \mathbf{X} \rightarrow \mathbf{X}_\kappa$ is (computably) continuously invertible.

- ▶ Assume that $f : X \rightarrow Y$ is continuous w.r.t. the final topologies of $\delta_{\mathbf{X}}$ and $\delta_{\mathbf{Y}}$.
- ▶ Then $f^{-1} : \mathcal{O}(\mathbf{Y}) \rightarrow \mathcal{O}(\mathbf{X})$ is well-defined and continuous.
- ▶ Hence $f : \mathcal{K}(\mathbf{X}) \rightarrow \mathcal{K}(\mathbf{Y})$ is well-defined and continuous.
- ▶ And by composition so is $(f \circ \kappa) : \mathbf{X} \rightarrow \mathbf{Y}_\kappa$.
- ▶ Now if \mathbf{Y} is admissible, then $f : \mathbf{X} \rightarrow \mathbf{Y}$ is continuous.

Proposition

The map $f^{-1} \mapsto f : \mathcal{C}(\mathcal{O}(\mathbf{Y}), \mathcal{O}(\mathbf{X})) \rightarrow \mathcal{C}(\mathbf{X}, \mathbf{Y})$ is well-defined and computable, provided that \mathbf{Y} is computably admissible.

Another warning

Even for admissible spaces, the product $\mathbf{X} \times \mathbf{Y}$ is not necessarily the topological product.

The admissible coreflector

Theorem

Let \mathbf{X} , \mathbf{Y} be represented spaces, and let \mathbf{Y} be (computably) admissible. There is a (computable) continuous map $\mathfrak{R} : \mathcal{C}(\mathbf{X}, \mathbf{Y}) \rightarrow \mathcal{C}(\mathbf{X}_\kappa, \mathbf{Y})$ such that $f = \mathfrak{R}(f) \circ \kappa$ for all $f \in \mathcal{C}(\mathbf{X}, \mathbf{Y})$.

Remark: $\mathbf{X} \mapsto \mathbf{X}_\kappa$ behaves like an effective Kolmogorov quotient.

Example

$$(\mathbb{R}_{10})_\kappa \cong \mathbb{R}$$

How to use admissibility: An example

Assume that

1. We can recognize wrong solutions (i.e. the set of correct solutions is a computable set $A \in \mathcal{A}(\mathbf{X})$).
2. We have a candidate set $K \in \mathcal{K}(\mathbf{X})$
3. We know that there is a unique solution x in K .

Then x is computable!

Standard text book, very different approach



Klaus Weihrauch:
Computable Analysis.
Springer, 2000.

Primary source



Arno Pauly:

On the topological aspects of the theory of represented spaces.

Computability, 2016, <http://arxiv.org/abs/1204.3763>.

Functional programming, cartesian closed categories and topology



Martín Escardó:

Synthetic topology of datatypes and classical spaces.

ENTCS 2004.

Implementing compactness



Martín Escardó:

Seemingly impossible functional programs.

<http://math.andrej.com/2007/09/28/seemingly-impossible-functional-programs/>